

# THE ERGODIC THEORY OF LATTICE SUBGROUPS

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**ABSTRACT.** We prove mean and pointwise ergodic theorems for general families of averages on a semisimple algebraic (or  $S$ -algebraic) group  $G$ , together with an explicit rate of convergence when the action has a spectral gap. Given any lattice  $\Gamma$  in  $G$ , we use the ergodic theorems for  $G$  to solve the lattice point counting problem for general domains in  $G$ , and prove mean and pointwise ergodic theorems for arbitrary measure-preserving actions of the lattice, together with explicit rates of convergence when a spectral gap is present. We also prove an equidistribution theorem in arbitrary isometric actions of the lattice.

For the proof we develop a general method to derive ergodic theorems for actions of a locally compact group  $G$ , and of a lattice subgroup  $\Gamma$ , provided certain natural spectral, geometric and regularity conditions are satisfied by the group  $G$ , the lattice  $\Gamma$ , and the domains where the averages are supported. In particular, we establish the general principle that under these conditions a quantitative mean ergodic theorem in  $L^2(G/\Gamma)$  for a family of averages gives rise to a quantitative solution of the lattice point counting problem in their supports. We demonstrate the new explicit error terms that we obtain by a variety of examples.

## CONTENTS

1. Main results : Semisimple Lie groups case	3
1.1. Admissible sets	3
1.2. Ergodic theorems on semisimple Lie groups	4
1.3. The lattice point counting problem in admissible domains	6
1.4. Ergodic theorems for lattice subgroups	8
2. Examples and applications	12

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2.1.	Hyperbolic lattice points problem	12
2.2.	Counting integral unimodular matrices	13
2.3.	Integral equivalence of general $n$ -forms	15
2.4.	Lattice points in $S$ -algebraic groups	17
2.5.	Examples of ergodic theorems for lattice actions	17
3.	Definitions, preliminaries, and basic tools	20
3.1.	Maximal and exponential-maximal inequalities	20
3.2.	$S$ -algebraic groups and upper local dimension	22
3.3.	Admissible and coarsely admissible sets	22
3.4.	Absolute continuity, and examples of admissible averages	25
3.5.	Balanced and well-balanced families on product groups	27
3.6.	Roughly radial and quasi-uniform sets	29
3.7.	Spectral gap and strong spectral gap	31
4.	Statement of results : general $S$ -algebraic groups	32
4.1.	Ergodic theorems for admissible sets	32
4.2.	Ergodic theorems for lattice subgroups	36
5.	Proof of ergodic theorems for $G$ -actions	38
5.1.	Iwasawa groups and spectral estimates	38
5.2.	Ergodic theorems in the presence of a spectral gap	41
5.3.	Ergodic theorems in the absence of a spectral gap, I	47
5.4.	Ergodic theorems in the absence of a spectral gap, II	49
5.5.	Ergodic theorems in the absence of a spectral gap, III	52
5.6.	The invariance principle, and stability of admissible averages	60
6.	Proof of ergodic theorems for lattice actions	63
6.1.	Induced action	63
6.2.	Reduction theorems	65
6.3.	Strong maximal inequality	67
6.4.	Mean ergodic theorem	70
6.5.	Pointwise ergodic theorem	75
6.6.	Exponential mean ergodic theorem	76
6.7.	Exponential strong maximal inequality	80
6.8.	Completion of proofs of ergodic theorems for lattices	82
6.9.	Equidistribution in isometric actions	83
7.	Comments and complements	85
7.1.	Explicit error term	85
7.2.	Exponentially fast convergence versus equidistribution	86
8.	Appendix : volume estimates and volume regularity	87
8.1.	Admissibility of standard radial averages	88
8.2.	Convolution arguments	94
8.3.	Admissible, well-balanced, boundary-regular families	96
8.4.	Admissible sets on principal homogeneous spaces	100
8.5.	Tauberian arguments and Hölder continuity	102
	References	108

## 1. MAIN RESULTS : SEMISIMPLE LIE GROUPS CASE

**1.1. Admissible sets.** Let  $G$  be a locally compact second countable (lcsc) group, and  $\Gamma \subset G$  a lattice subgroup. Consider the following four fundamental problems in ergodic theory that present themselves in this context, namely :

- (1) Prove ergodic theorems for general families of averages on  $G$ ,
- (2) Solve the lattice point counting problem (with explicit error term) for any lattice subgroup  $\Gamma$  and for general domains on  $G$ ,
- (3) Prove ergodic theorems for *arbitrary* actions of a lattice subgroup  $\Gamma$ ,
- (4) Establish equidistribution results for isometric actions of the lattice  $\Gamma$ .

Our purpose in the present paper is to give a complete solution to these problems for non-compact semisimple algebraic groups over arbitrary local fields, and any of their lattices. Our results apply also to lattices in products of such groups, and thus also to  $S$ -algebraic groups and their lattices. In fact, many of our arguments hold in greater generality still, and we will elaborate on that further in our discussion below. However, for simplicity of exposition we will begin by describing the main results, as well as some of their applications, in the case of connected semisimple Lie groups.

We start by introducing the following definition, which describes the families  $\beta_t$  that will be the subject of our analysis.

Fix any left-invariant Riemannian metric on  $G$ , and let

$$\mathcal{O}_\varepsilon = \{g \in G : d(g, e) < \varepsilon\}.$$

Let  $m_G$  denote a fixed left Haar measure on  $G$ .

**Definition 1.1.** An increasing family of bounded Borel subsets  $G_t$ ,  $t > 0$ , of  $G$  will be called *admissible* if there exists  $c > 0$  such that for all  $t$  sufficiently large and  $\varepsilon$  sufficiently small

$$\mathcal{O}_\varepsilon \cdot G_t \cdot \mathcal{O}_\varepsilon \subset G_{t+c\varepsilon}, \tag{1.1}$$

$$m_G(G_{t+\varepsilon}) \leq (1 + c\varepsilon) \cdot m_G(G_t). \tag{1.2}$$

Let us briefly note the following facts (see Prop. 3.13 and Prop. 5.24 below, as well as the Appendix for the proof).

- (1) Admissibility is independent of the Riemannian metric chosen to define it.
- (2) Many of the natural families of sets in  $G$  are admissible. In particular the radial sets  $B_t$  projecting to the Cartan-Killing

Riemannian balls on the symmetric space are admissible. Furthermore, the sets  $\{g; \log \|\tau(g)\| < t\}$  where  $\tau$  is faithful linear representation are also admissible, for any choice of linear norm  $\|\cdot\|$ .

- (3) Admissibility is invariant under translations, namely if  $G_t$  is admissible, so is  $gG_th$ , for any fixed  $g, h \in G$ .

It is natural to define also the corresponding Hölder conditions. As we shall see below, whenever a spectral gap is present, the assumption of admissibility can be weakened to Hölder admissibility.

**1.2. Ergodic theorems on semisimple Lie groups.** We define  $\beta_t$  to be the probability measures on  $G$  obtained as the restriction of Haar measure to  $G_t$ , normalized by  $m_G(G_t)$ .

The averaging operators associated to  $\beta_t$  when  $G$  acts by measure-preserving transformations of a probability space  $(X, \mu)$  are given by

$$\pi(\beta_t)f(x) = \frac{1}{m_G(G_t)} \int_{G_t} f(g^{-1}x) dm_G(g) .$$

Assume  $G$  is connected semisimple with finite center and no compact factors. Then

- (1) The family  $\beta_t$  (and  $G_t$ ) will be called (left-) radial if it is invariant under (left-) multiplication by some fixed maximal compact subgroup  $K$ , for all sufficiently large  $t$ . Standard radial averages are those defined in Definition 3.18.
- (2) The action is called irreducible if every non-compact simple factor acts ergodically.
- (3) The action is said to have a strong spectral gap if each simple factor has a spectral gap, namely admits no asymptotically invariant sequence of unit vectors (see §3.6 for a full discussion).
- (4) The sets  $G_t$  (and the averages  $\beta_t$ ) will be called balanced if for every simple factor  $H$  and every compact subset  $Q$  of its complement,  $\beta_t(QH) \rightarrow 0$ .  $G_t$  will be called well-balanced if the convergence is at a specific rate (see §3.5 for a full discussion).

Our first main result is the following pointwise ergodic theorem for admissible averages on semisimple Lie groups.

**Theorem 1.2. Pointwise ergodic theorems for admissible averages.** *Let  $G$  be a connected semisimple Lie group with finite center and no non-trivial compact factors. Let  $(X, \mu)$  be a standard Borel space with a probability-measure-preserving ergodic action of  $G$ . Assume that  $G_t$  is an admissible family.*

- (1) Assume that  $\beta_t$  is left-radial. If the action is irreducible, then  $\beta_t$  satisfies the pointwise ergodic theorem in  $L^p(X)$ ,  $1 < p < \infty$ , namely for every  $f \in L^p(X)$ , and for almost every  $x \in X$  :

$$\lim_{t \rightarrow \infty} \pi(\beta_t)f(x) = \int_X f d\mu.$$

The conclusion holds also in reducible actions of  $G$ , provided the averages are standard radial, well-balanced and boundary-regular (see §§3.4, 3.5 for the definitions).

- (2) If the action has a strong spectral gap, then  $\beta_t$  converges to the ergodic mean almost surely exponentially fast, namely for every  $f \in L^p(X)$ ,  $1 < p \leq \infty$ , and almost all  $x \in X$

$$\left| \pi(\beta_t)f(x) - \int_X f d\mu \right| \leq C_p(f, x) e^{-\theta_p t},$$

where  $\theta_p > 0$  depends explicitly on the spectral gap (and the family  $G_t$ ).

The conclusion holds also in actions of  $G$  with a spectral gap, provided the averages satisfy the additional necessary condition of being well-balanced (see §§3.5, 3.7 for the definitions).

Regarding Theorem 1.2(1), we remark that the proof of pointwise convergence in the case of reducible actions without a spectral gap is quite involved, and we have thus assumed in that case that the averages are standard radial, well-balanced and boundary-regular to make the analysis tractable. However, the reducible case will be absolutely indispensable for us below, since we will induce actions of a lattice subgroup to actions of  $G$ , and these may be reducible.

Regarding Theorem 1.2(2), we note that  $\theta_p$  depends explicitly on the spectral gap of the action, and on natural geometric parameters of  $G_t$ , and we refer to §7.1 for a full discussion including a formula for a lower bound. Furthermore, Hölder admissibility is sufficient for this part, as we will see below.

Let us now formulate the following invariance principle for ergodic actions of  $G$ , which will play an important role below, in the derivation of pointwise ergodic theorems for lattices.

**Theorem 1.3. Invariance principle.** *Let  $G, (X, \mu)$  be as in Theorem 1.2, and let  $G_t$  be an admissible family. Then for any given function  $f \in L^p(X)$  the set where pointwise convergence to the ergodic mean holds, namely*

$$\left\{ x \in X ; \lim_{t \rightarrow \infty} \frac{1}{m_G(G_t)} \int_{G_t} f(g^{-1}x) dm_G(g) = \int_X f d\mu \right\}$$

contains a  $G$ -invariant set of full measure.

We note that  $G$  is a non-amenable group, and the sets  $G_t$  are not asymptotically invariant under translations (namely do not have the Følner property). Thus the conclusion of Theorem 1.3 is not obvious, even in the case where  $X$  is a homogeneous  $G$ -action. The special case where  $G = SO^0(n, 1)$  and  $\beta_t$  are the bi- $K$ -invariant averages lifted from ball averages on hyperbolic space  $\mathbb{H}^n$  was considered earlier by [BR].

One of our applications of ergodic theorems on  $G$  is to the lattice point counting problem in  $G_t$ . The solution of the latter actually depends only on the *mean* ergodic theorem for  $\beta_t$ , which holds under more general conditions than the pointwise theorem. Because of its later significance, we therefore formulate separately the following

**Theorem 1.4. Mean ergodic theorems for admissible averages.**  
*Let  $G$  and  $(X, \mu)$  be as in Theorem 1.2, and let  $G_t$  be an admissible family.*

- (1) *If the action is irreducible or  $G_t$  are balanced, then*

$$\lim_{t \rightarrow \infty} \left\| \pi(\beta_t)f - \int_X f d\mu \right\|_{L^p(X)} = 0, \quad 1 \leq p < \infty.$$

- (2) *If the action has a strong spectral gap, or a spectral gap and the averages are well balanced, then*

$$\left\| \pi(\beta_t)f - \int_X f d\mu \right\|_{L^p(X)} \leq B_p e^{-\theta_p t}, \quad 1 < p < \infty$$

*for the same  $\theta_p > 0$  as in Theorem 1.2(2).*

### 1.3. The lattice point counting problem in admissible domains.

Let now  $\Gamma \subset G$  be any lattice subgroup; the lattice point counting problem is to determine the number of lattice points in the domains  $G_t$ . Its ideal solution calls for evaluating the main term in the asymptotic expansion, establishing the existence of the limit, and estimating explicitly the error term. Our second main result gives a complete solution to this problem for all lattices and all families of admissible domains. The proof we give below will establish the general principle asserting that a mean ergodic in  $L^2(G/\Gamma)$  for the averages  $\beta_t$  (with explicit rate of convergence) implies a solution to the  $\Gamma$ -lattice point counting problem in the admissible domains  $G_t$  (with an explicit estimate of the error term). We will show below that under certain natural assumptions this principle can be established in great generality for lattices in general lcsc groups, but will state it first for connected semisimple Lie groups.

We note that in this case, the main term in the lattice count (namely part (1) of the following theorem) was established [Ba] (for uniform lattices), [DRS] (for balls w.r.t. a norm) and [EM] (in general). Error term were considered for rotation-invariant norms in [DRS] and for more general norms very recently in [Ma]. For a comparison of part (2) of the following theorem with these results see §2.

**Theorem 1.5. Counting lattice points in admissible domains.**

*Let  $G$  be a connected semisimple Lie group with finite center, and no non-trivial compact factors. Let  $G_t$  be an admissible family of sets, and let  $\Gamma$  be any lattice subgroup. Normalize Haar measure  $m_G$  to assign measure one to a fundamental domain of  $\Gamma$  in  $G$ .*

- (1) *If  $\Gamma$  is an irreducible lattice, or the sets  $G_t$  are balanced, then*

$$\lim_{t \rightarrow \infty} \frac{|\Gamma \cap G_t|}{m_G(G_t)} = 1 .$$

- (2) *If  $(G/\Gamma, m_{G/\Gamma})$  has a strong spectral gap, or the sets  $G_t$  are well balanced, then, for all  $\varepsilon > 0$*

$$\frac{|\Gamma \cap G_t|}{m_G(G_t)} = 1 + O_\varepsilon \left( \exp \left( \frac{-t(\theta - \varepsilon)}{\dim G + 1} \right) \right) ,$$

*where  $\theta > 0$  depends on  $G_t$  and the spectral gap in  $G/\Gamma$ , via*

$$\theta = \liminf_{t \rightarrow \infty} -\frac{1}{t} \log \left\| \pi_{G/\Gamma}(\beta_t) \right\|_{L_0^2(G/\Gamma)} .$$

- Remark 1.6.* (1) Recall that the  $G$ -action on  $(G/\Gamma, m_{G/\Gamma})$  is irreducible if and only if  $\Gamma$  is an irreducible lattice in  $G$ , namely the projection of  $\Gamma$  to every simple factor of  $G$  is a dense subgroup.
- (2) The  $G$ -action on  $G/\Gamma$  always has a spectral gap, but whether it has a strong spectral gap seems to be an open problem, in general (see §3.5 for more details).
- (3) When the action has a strong spectral gap, the parameter  $\theta$  can be given explicitly in terms of the rate of volume growth of the sets  $G_t$  and the size of the gap - see Remark 5.10 and §7.1.
- (4) Note that under the normalization of  $m_G$  given in Theorem 1.5, if  $\Delta \subset \Gamma$  is a subgroup of finite index, then

$$\lim_{t \rightarrow \infty} \frac{|\Delta \cap G_t|}{m_G(G_t)} = \frac{1}{[\Gamma : \Delta]} .$$

Finally, we remark that the condition of admissibility is absolutely crucial in obtaining pointwise ergodic theorems for  $G$ , and thus also for  $\Gamma$ . This is true when the action does not have a spectral gap, but also when it does (although here Hölder-admissibility is sufficient).

However, lattice point counting results, quantitative or not, hold in significantly greater generality. Namely, it holds for families that satisfy the weaker condition  $m_G(\mathcal{O}_\varepsilon G_t \mathcal{O}_\varepsilon) \leq (1+c\varepsilon)m_G(G_t)$ , which amounts to a quantitative version of the well-roundedness condition of [DRS] and [EM]. This generalization is discussed systematically in [GN], where several applications, including to quantitative counting of lattice points in sectors, on symmetric varieties and on Adele groups are given.

**1.4. Ergodic theorems for lattice subgroups.** We now turn to our third main result, namely to the solution of the problem of establishing ergodic theorems for a general action of a lattice subgroup on a probability space  $(X, \mu)$ . This result also uses Theorem 1.2 as a basic tool; here it is applied to the action of  $G$  induced by the action of  $\Gamma$  on  $(X, \mu)$ . This argument generalizes the one used in the proof of Theorem 1.5, where we considered the action of  $G$  induced from the trivial action of  $\Gamma$  on a point. However the increased generality requires a considerable number of additional further arguments.

To formulate the result, consider the set of lattice points  $\Gamma_t = \Gamma \cap G_t$ . Let  $\lambda_t$  denote the probability measure on  $\Gamma$  uniformly distributed on  $\Gamma_t$ .

We begin with the following fundamental mean ergodic theorem for arbitrary lattice actions.

**Theorem 1.7. Mean ergodic theorem for lattice actions.**

*Let  $G$ ,  $G_t$  and  $\Gamma$ , be as in Theorem 1.5. Let  $(X, \mu)$  be an ergodic measure-preserving action of  $\Gamma$ .*

- (1) *Assume the action of  $G$  induced from the  $\Gamma$ -action on  $(X, \mu)$  is irreducible, or that  $G_t$  are balanced. Then for every  $f \in L^p(X)$ ,  $1 \leq p < \infty$ ,*

$$\lim_{t \rightarrow \infty} \left\| \frac{1}{|\Gamma_t|} \sum_{\gamma \in \Gamma_t} f(\gamma^{-1}x) - \int_X f d\mu \right\|_{L^p(X)} = 0 .$$

- (2) *Assume that the action of  $G$  induced from the  $\Gamma$ -action on  $(X, \mu)$  has a strong spectral gap, or that it has a spectral gap and  $G_t$  are well balanced. Then for every  $f \in L^p(X)$ ,  $1 < p < \infty$*

$$\left\| \frac{1}{|\Gamma_t|} \sum_{\gamma \in \Gamma_t} f(\gamma^{-1}x) - \int_X f d\mu \right\|_{L^p(X)} \leq C e^{-\delta_p t} \|f\|_{L^p(X)} ,$$

*where  $\delta_p$  is determined explicitly by the spectral gap for the induced  $G$ -action (and depends also on the family  $G_t$ ).*



One immediate application of Theorem 1.7 arises when we take  $X$  to be a transitive action on a finite space, namely  $X = \Gamma/\Delta$ ,  $\Delta$  a finite index subgroup.

**Corollary 1.8. Equidistribution in finite actions.** *Let  $G$ ,  $\Gamma$  and  $G_t$  be as in Theorem 1.5. Let  $\Delta \subset \Gamma$  be a subgroup of finite index, and  $\gamma_0$  any element in  $\Gamma$ .*

(1) *Under the assumptions of Theorem 1.5(1)*

$$\lim_{t \rightarrow \infty} \frac{1}{|\Gamma_t|} \cdot |\{\gamma \in \Gamma \cap G_t : \gamma \cong \gamma_0 \text{ mod } \Delta\}| = \frac{1}{[\Gamma : \Delta]}.$$

(2) *Under the assumptions of Theorem 1.7(2)*

$$\frac{1}{|\Gamma_t|} \cdot |\{\gamma \in \Gamma \cap G_t : \gamma \cong \gamma_0 \text{ mod } \Delta\}| = \frac{1}{[\Gamma : \Delta]} + O(e^{-\delta t})$$

*where  $\delta > 0$ , and is determined explicitly by the spectral gap in  $G/\Delta$ .*

We remark that the first conclusion in Corollary 1.8, namely equidistribution of the lattice points in  $\Gamma \cap G_t$  among the cosets of  $\Delta$  in  $\Gamma$  can also be obtained using the method of [GW], which employs Ratner's theory of unipotent flow. It is also possible to derive this result from considerations related to the mixing property of flows on  $G/\Gamma$ .

Another application of the mean ergodic theorem is in the proof of an equidistribution theorem for the corresponding averages in isometric actions of the lattice. The result is as follows.

**Theorem 1.9. Equidistribution in isometric actions of lattices.**

*Let  $G$ ,  $G_t$ , and  $\Gamma$  be as in Theorem 1.7. Let  $(S, d)$  be a compact metric space on which  $\Gamma$  acts by isometries, and assume the action is ergodic with respect to an invariant probability measure  $\mu$  whose support coincides with  $S$ . Then under the assumptions of Theorem 1.7(1), for every continuous function  $f$  on  $S$  and every point  $s \in S$*

$$\lim_{t \rightarrow \infty} \frac{1}{|\Gamma_t|} \sum_{\gamma \in \Gamma_t} f(\gamma^{-1}s) = \int_S f d\mu.$$

*and the convergence is uniform in  $s \in S$  (i.e. in the supremum norm on  $C(S)$ ).*

Let us now formulate pointwise ergodic theorems for general actions of lattices.

**Theorem 1.10. Pointwise ergodic theorems for general lattice actions.** *Let  $G$ ,  $G_t$ ,  $\Gamma$  and  $(X, \mu)$  be as in Theorem 1.7.*

- (1) Assume that the action induced to  $G$  is irreducible, and  $\beta_t$  are left-radial. Then the averages  $\lambda_t$  satisfy the pointwise ergodic theorem in  $L^p(X)$ ,  $1 < p < \infty$ , namely for  $f \in L^p(X)$  and almost every  $x \in X$  :

$$\lim_{t \rightarrow \infty} \frac{1}{|\Gamma_t|} \sum_{\gamma \in \Gamma_t} f(\gamma^{-1}x) = \int_X f d\mu$$

The same conclusion also holds when the induced action is reducible, provided  $\beta_t$  are standard radial, well-balanced and boundary-regular.

- (2) Retain the assumption of Theorem 1.7(2). Then the convergence of  $\lambda_t$  to the ergodic mean is almost surely exponentially fast, namely for  $f \in L^p(X)$ ,  $1 < p < \infty$  and almost every  $x \in X$

$$\left| \frac{1}{|\Gamma_t|} \sum_{\gamma \in \Gamma_t} f(\gamma^{-1}x) - \int_X f d\mu \right| \leq C_p(x, f) e^{-\zeta_p t}$$

where  $\zeta_p$  is determined explicitly by the spectral gaps for the induced  $G$ -action (and the family  $G_t$ ).

- Remark 1.11.* (1) Note that if  $G$  is simple, then of course any action of  $G$  induced from an ergodic action of a lattice subgroup is irreducible. However, if  $G$  is not simple, then the induced action can be reducible and then the assumption that the averages are balanced is necessary in Theorem 1.10(1). We assume in fact that they are standard radial, well-balanced and boundary-regular, as we will apply Theorem 1.2(1) to the induced action.
- (2) Note further that if  $G$  is simple and has property  $T$ , then the assumption of strong spectral gap stated in Theorem 1.10(2) is satisfied for every ergodic action of every lattice subgroup. Furthermore, in that case  $\zeta_p$  has an explicit positive lower bound depending on  $G$  and  $G_t$  only and independent of  $\Gamma$  and  $X$ .
- (3) It may be the case that whenever  $G/\Gamma$  has a strong spectral gap, so does every action of  $G$  induced from an ergodic action of the irreducible lattice  $\Gamma$  which has a spectral gap, but this problem also seems to be open.
- (4) As we shall see in §6.1, the possibility of utilizing the induced  $G$ -action to deduce information on *pointwise convergence* in the inducing  $\Gamma$ -action depends on the invariance principle stated in Theorem 1.3 for admissible averages on  $G$ .

*On the scope of the method.* In light of remarks (1) and (2) above, let us explain the reason we avoided the (considerable) temptation to

restrict our attention to simple groups and their lattices. First, such a restriction rules out of course a solution to the lattice point counting problem even for such natural examples as  $SL_2(\mathbb{Z}[\sqrt{2}])$ , which is a lattice in  $SL_2(\mathbb{R}) \times SL_2(\mathbb{R})$ . Second, even for certain lattices in the latter group, the existence of strong spectral gap in  $G/\Gamma$  is unknown (see §3.7). Thus when considering lattice points on product groups, whether the spectral gap is strong and the averages balanced or well-balanced become necessary considerations.

Third, we have formulated our ergodic theorems for  $G$  also in the case of reducible actions, but this again is unavoidable. Indeed, the ergodic theorems for the lattices are proved by induction to  $G$ , and it is unknown when the resulting action is irreducible (it is when the  $\Gamma$ -action is mixing or isometric [St]). Finally, in order to handle such obvious examples as  $SL_2(\mathbb{Z}[\frac{1}{p}])$  (which is a lattice in  $SL_2(\mathbb{R}) \times SL_2(\mathbb{Q}_p)$ ) it is necessary to extend the theory to include  $S$ -algebraic groups, a task we will take on below.

Below we will give a complete analysis valid for  $S$ -algebraic groups and their lattices in all cases, but let us here demonstrate our results in a more concrete fashion, which shows, in particular, that sets  $\Gamma_t$  satisfying all the assumptions required do exist. Indeed, let  $G$  be a connected semisimple Lie group with finite center and no compact factors. Let  $G/K$  be its symmetric space and  $d$  the Riemannian distance associated with the Cartan-Killing form, and let  $B_t = \{g \in G; d(gK, K) \leq t\}$ ,  $\beta_t$  be the Haar-uniform averages. Then  $G_t$  are admissible and well-balanced, and it has been established in [N1][N2][NS1][MNS] that in every ergodic probability measure preserving action of  $G$ , the family  $\beta_t$  satisfies the pointwise ergodic theorem in  $L^p$ ,  $1 < p < \infty$ . Furthermore, if the action has a spectral gap, then the convergence to the ergodic mean is exponentially fast, as in Theorem 1.2(2).

Now let  $\Gamma \subset G$  be any lattice subgroup. Then the following result, announced in [N5, Thm. 14.4], holds.

**Theorem 1.12. Ergodic theorems for lattice points in Riemannian balls.** *Let  $G$ ,  $B_t$  and  $\Gamma$  be as in the preceding paragraph, and  $\lambda_t$  the uniform averages on  $\Gamma \cap B_t$ . Then in every probability measure-preserving action of  $\Gamma$ ,  $\lambda_t$  satisfy the mean ergodic theorem in  $L^p$ ,  $1 \leq p < \infty$  and the pointwise ergodic theorem in  $L^p$ ,  $1 < p \leq \infty$ . If the  $\Gamma$ -action has a spectral gap, then  $\lambda_t$  satisfy the exponentially fast mean and pointwise ergodic theorem as in Theorem 1.7(2) and Theorem 1.10(2). Finally,  $\lambda_t$  satisfy the equidistribution theorem w.r.t. an ergodic invariant probability measure of full support in every isometric action of  $\Gamma$ .*

As is clear from the statements of the foregoing theorems, the distinction between actions with and without a spectral gap is fundamental in determining which ergodic theorems apply, and the two cases call for rather different methods of proof. Thus the results will be established according to the following scheme :

- (1) Ergodic theorems for general averages on semisimple  $S$ -algebraic groups in the presence of a spectral gap.
- (2) Ergodic theorems for general averages on semisimple  $S$ -algebraic groups in the absence of a spectral gap.
- (3) Stability of admissible averages on semisimple  $S$ -algebraic groups, and an invariance principle for their ergodic actions.
- (4) Mean, maximal and pointwise Ergodic theorems for lattice subgroups, in the absence of a spectral gap.
- (5) Exponentially fast pointwise ergodic theorem for lattice actions in the presence of a spectral gap.
- (6) Equidistribution for isometric lattice actions.

As we shall see below, this scheme applies in a much wider context than that of semisimple  $S$ -algebraic groups. We will formulate it in §§5.2 and 6.2 below as a general recipe to derive ergodic theorems for actions of an lsc group, and of a lattice subgroup  $\Gamma$ , provided certain natural spectral, geometric and regularity conditions are satisfied by the group  $G$ , the lattice  $\Gamma$ , and the sets  $G_t$ .

## 2. EXAMPLES AND APPLICATIONS

Let us now consider some concrete examples and applications of the results stated above, and compare our results to some precedents in the literature.

**2.1. Hyperbolic lattice points problem.** We begin by applying Theorem 1.5 to the classical lattice point counting problem in hyperbolic space. Let us call a lattice subgroup  $\Gamma$  tempered if the spectrum of the representation of the isometry group in  $L_0^2(G/\Gamma)$  is tempered.

**Corollary 2.1.** *Let  $\mathbb{H}^n$  be hyperbolic  $n$ -space taken with constant curvature  $-1$  and the resulting volume form. Let  $B_t$  be the Riemannian balls centered at a given point, and let  $\Gamma$  be any lattice. Then*

(1)

$$\frac{|\Gamma \cap B_t|}{\text{vol}(B_t)} = \frac{1}{\text{vol}(\mathbb{H}^n/\Gamma)} + O_\varepsilon \left( \exp -t \left( \frac{\theta}{n+1} - \varepsilon \right) \right)$$

$$\text{provided } \|\pi_{G/\Gamma}(\beta_t)\|_{L_0^2(G/\Gamma)} \leq C' e^{-t(\theta-\varepsilon)}.$$

(2) *In particular, if  $\Gamma$  is tempered, then*

$$\frac{|\Gamma \cap B_t|}{\text{vol}(B_t)} = \frac{1}{\text{vol}(\mathbb{H}^n/\Gamma)} + O_\varepsilon \left( \exp -t \left( \frac{n-1}{2(n+1)} - \varepsilon \right) \right).$$

We remark that the bound stated above is actually better than that provided by Theorem 1.5, as the error term here is given by  $\theta/(\dim G/K + 1)$  rather than  $\theta/(\dim G + 1)$ . This is a consequence of the fact that we have taken here bi- $K$ -invariant averages on  $G$ , so that the arguments used in the proof of Theorem 1.5 can be applied on  $G/K$  rather than  $G$ . The same bound holds for any choice of bi- $K$ -invariant admissible sets  $G_t$ . The spectral gap parameter is given by  $\theta = \frac{1}{2}(n-1)$  in the tempered case, since the convolution norm of  $\beta_t$  on  $L^2(G)$  is dominated by  $\text{vol}(B_t)^{-1/2+\varepsilon}$  (see Remark 5.10), which is asymptotic to  $\exp -t \left( \frac{1}{2}(n-1) - \varepsilon \right)$  (recall that  $\text{vol } B_t$  is asymptotic to  $c_n e^{(n-1)t}$ ).

For comparison, the best existing bound for a tempered lattice in hyperbolic  $n$ -space ( $n \geq 2$ ) is due to Selberg [Se] and Lax and Phillips [LP], and is given by

$$\frac{|\Gamma \cap B_t|}{\text{vol } B_t} = \frac{1}{\text{vol}(G/\Gamma)} + O_\varepsilon \left( \exp -t \left( \frac{n-1}{n+1} - \varepsilon \right) \right).$$

The method developed in [LP] uses detailed estimates on solutions to the wave equation, and in [Se] the method uses refined properties of the spectral expansion associated with the Harish Chandra spherical transform. In particular these methods assume that  $G_t$  are bi- $K$ -invariant sets.

On the other hand, the estimate of Theorem 1.5 holds for *any* family of admissible sets  $G_t$ . Thus the following sample corollary seems to be new, even in the classical case of  $G = PSL_2(\mathbb{R})$  (or  $G = PSL_2(\mathbb{C})$ ). Define for  $1 \leq r < \infty$ ,  $\|A\|_r = \left( \sum_{i,j=1}^2 a_{i,j}^r \right)^{1/r}$ , and  $\|A\|_\infty = \max |a_{i,j}|$ .

**Corollary 2.2.** *For any tempered finite-covolume Fuchsian group and for any  $1 \leq r \leq \infty$ , with the normalization  $\text{vol}(G/\Gamma) = 1$*

$$\frac{|\{\gamma \in \Gamma; \|\gamma\|_r \leq T\}|}{\text{vol} \{g \in SL_2(\mathbb{R}); \|g\|_r \leq T\}} = 1 + O_{\varepsilon,r} (T^{-1/4}).$$

*In particular, this holds for  $\Gamma = PSL_2(\mathbb{Z})$ .*

**2.2. Counting integral unimodular matrices.** Let  $G = SL_n(\mathbb{R})$   $n \geq 2$  be the group of unimodular matrices, and  $\Gamma = SL_n(\mathbb{Z})$  the group of integral matrices. A natural choice of balls here are those defined by taking the defining representation and the rotation-invariant linear

norm on  $M_n(\mathbb{R})$  given by  $(\text{tr } A^t A)^{1/2}$ . Let  $B'_T$  denote the norm ball of radius  $T$  intersected with  $SL_n(\mathbb{R})$ . Here the best result to date is due to [DRS] and is given by

$$\frac{|\Gamma \cap B'_T|}{\text{vol } B'_T} = 1 + O_\varepsilon \left( T^{-\frac{1}{n+1} + \varepsilon} \right)$$

Letting  $t = \log T$ , the family  $B_t = B'_{e^t}$  is admissible. For our estimate, we need to bound  $\theta$ , the rate of decay of  $\|\pi_{G/\Gamma}(\beta_t)\|$  in  $L_0^2(G/\Gamma)$ . For  $n = 2$ ,  $\theta = 1/2 + \varepsilon$  as noted above, since the representation is tempered. For  $n \geq 3$ ,  $SL_n(\mathbb{R})$  has property  $T$ , and we can simply use a bound valid for all of its representations simultaneously (provided only that they contain no invariant unit vectors). Note that in the case of  $L_0^2(SL_n(\mathbb{R})/SL_n(\mathbb{Z}))$  this also happens to be the best possible estimate, since the spherical function with slowest decay does in fact occur in the spectrum. According to [DRS], every non-constant spherical function on  $SL_n(\mathbb{R})$  is in  $L^p$  for  $p > 2(n-1)$ . This implies (see Theorem 5.4 below) that the matrix coefficients of  $\pi$  have an estimate in terms of  $\Xi_G^{1/(n-1)+\varepsilon}$ , where  $\Xi_G$  is the Harish Chandra function. Using the standard estimate for  $\Xi_G$  (see. e.g. [GV] and also Remark 5.10)

$$\|\pi(\beta_t)\| \leq (C_0 \text{vol}(B_t)^{-1/2+\varepsilon_0})^{1/(n-1)} \leq C \exp \left( -t \left( \frac{n^2 - n}{2(n-1)} - \varepsilon \right) \right)$$

where the last estimate uses the fact that (see [DRS])

$$\text{vol}(B'_T) = \text{vol} \{g \in SL_n(\mathbb{R}) ; \|g\|_2 \leq T\} \cong c_n T^{n^2-n}.$$

Therefore we have the estimate  $\theta = n/2 - \varepsilon$ , so that  $\theta/(\dim G + 1) = (1 - \varepsilon)/(2n)$  and  $\theta/(\dim G/K + 1) = (1 - \varepsilon)/(n + 1)$ . Thus we recapture the bound given by [DRS], for the case of balls defined by the Euclidean norm  $(\text{tr } A^t A)^{1/2}$ . This bound holds whenever the balls are bi- $K$ -invariant. More generally, letting  $n_e$  denote the least even integer greater than  $n - 1$ , we have

**Corollary 2.3.** *For any family of admissible sets  $B_t \subset SL_n(\mathbb{R})$ , and in particular those defined by any norm on  $M_n(\mathbb{R})$ , and for any lattice subgroup  $\Gamma$ , the following bound holds:*

$$\frac{|\Gamma \cap B_t|}{\text{vol } B_t} = 1 + O_\varepsilon \left( \text{vol}(B_t)^{-1/(2n^2 n_e) + \varepsilon} \right)$$

We note that the method of [DRS] utilizes the commutativity of the algebra of bi- $K$ -invariant measures on  $G$ . Extending this method beyond the case of bi- $K$ -invariant sets is in principle possible but would require further elaboration regarding the spectral analysis of  $K$ -finite functions.

Recently, F. Maucourant [Ma] has obtained a bound for the lattice point counting problem for certain simple groups and certain norms, subject to some constraints. Thus for the standard representation of  $SL_n(\mathbb{R})$ , when  $n \geq 7$  the error estimate obtained in [Ma] is  $1/(6n) + \varepsilon$  which is weaker than the estimate above. That is the case also for  $3 \leq n \leq 6$ . The case  $n = 2$  is not addressed in [Ma].

### 2.3. Integral equivalence of general $n$ -forms.

2.3.1. *Binary forms.* Let us revisit the problem of integral equivalence of binary forms considered in [DRS]. Let  $W_n$  denote the vector space of binary forms of degree  $n \geq 3$

$$W_n = \{f(x, y) = a_0x^n + a_1x^{n-1}y + \cdots + a_ny^n\}.$$

$SL_2(\mathbb{R})$  acts on  $W_n(\mathbb{R})$  by acting linearly on the variables of the form, and when  $n \geq 3$  the stability group of a generic form is finite. Two forms are in the same  $SL_2(\mathbb{R})$ -orbit iff they are equivalent under a linear substitution, and two forms are in the same  $SL_2(\mathbb{Z})$ -orbit iff they are integrally equivalent. Fix *any* norm on  $W_n(\mathbb{R})$ , one example being the norm considered in [DRS]

$$\|f\|^2 = \|(a_0, \dots, a_n)\|^2 = \sum_{i=0}^n \binom{n}{i}^{-1} a_i^2$$

The orbits of  $SL_2(\mathbb{R})$  are closed, and for each orbit we can consider the lattice point counting problem, or equivalently, the problem of counting forms integrally equivalent to a given form. Thus fix some  $f_0$  with finite stabilizer and non-zero discriminant, denote  $B'_T = \{f; f \cong_{\mathbb{R}} f_0, \|f\| \leq T\}$ , and note that it has been established in [DRS] that when the level sets of the form  $f_0$  are compact,  $\text{vol}(B'_T) \sim cT^{2/n}$ . We further assume that the form satisfies  $f_0(x, y) \neq 0$  for  $(x, y) \neq (0, 0)$ . We then have the following corollary of Theorem 1.5.

**Corollary 2.4.** *Notation being as above, the number of form integrally equivalent with  $f_0$  of norm at most  $T$  is estimated by*

$$\left| \frac{|\{f; f \cong_{\mathbb{Z}} f_0, \|f\| \leq T\}|}{\text{vol}(B'_T)} - \frac{1}{|St_{SL_2(\mathbb{Z})}(f_0)|} \right| \leq C(\varepsilon, n, f_0) \text{vol}(B'_T)^{-1/8+\varepsilon} \leq C'(\varepsilon, n, f_0) T^{-1/(4n)+\varepsilon}.$$

Indeed, the problem under consideration is simply that of counting the points  $\|\tau_n(\gamma)f_0\| \leq T$  where  $\gamma \in SL_2(\mathbb{Z})$ , for a particular choice of finite dimensional representation  $\tau_n$  of  $SL_2(\mathbb{R})$ , and a particular choice

of norm on the representation space. Thus the corollary is an immediate consequence of the fact that the sets  $B_t = B'_{e_t}$  are admissible (see the Appendix, §8.4) together with Corollary 2.3 and the fact that the representation of  $SL_2(\mathbb{R})$  on  $SL_2(\mathbb{R})/SL_2(\mathbb{Z})$  is tempered.

We note that the existence of the limit was established in [DRS, Thm. 1.9]. The method of proof employed there can in principle also be made effective and produce some error estimate.

**2.3.2. Integral equivalence of forms in many variables.** Our consideration are not limited to binary forms, and we can consider the problem of integral equivalence, as well as simultaneous integral equivalence, of  $n$ -forms in any number of variables. Thus let  $W_{n,k}$  be the real vector space of all degree  $n$  forms in  $k$  variables.  $SL_k(\mathbb{R})$  admits a representation  $\sigma_{n,k}$  on  $W_{n,k}$ , by acting linearly on the variables. Fix any norm on  $W_{n,k}$ . As before,  $k_e$  denotes the least even integer greater than  $k - 1$ .

Consider a form  $f_0$  with compact stability group. Let us assume that  $f_0(x) \neq 0$  for  $x \neq 0$ , so that the projection of the vectors  $uf_0$  onto the highest weight subspace of  $W_{n,k}$  never vanishes, as  $u$  ranges over a fixed maximal compact subgroup. Let  $B'_T$  denote the set of forms integrally equivalent to  $f_0$  and of norm at most  $T$ . Then  $B_t = B'_{e_t}$  is an admissible family (see the Appendix §8.4, where a volume asymptotic is also established). Hence Corollary 2.3 applies and yields the following

**Corollary 2.5. Integral equivalence of forms in many variables.**

*Notation and Assumptions being as in the preceding paragraph, we have*

$$\left| \frac{|\{f; f \cong_{\mathbb{Z}} f_0, \|f\| \leq T\}|}{\text{vol}(B'_T)} - \frac{1}{|St_{SL_n(\mathbb{Z})}(f_0)|} \right| \leq C(\varepsilon, n, k, f_0) \text{vol}(B'_T)^{-1/(2k^2k_e)+\varepsilon}.$$

Now let  $f_1, \dots, f_N$  be a fixed (but arbitrary) ordered basis of  $W_{n,k}$  ( $N = \dim W_{n,k}$ ). We can consider ordered bases  $f'_1, \dots, f'_N$  which are integrally equivalent to it, namely  $f_i \cong_{\mathbb{Z}} f'_i$ ,  $1 \leq i \leq N$ . Let  $B'_T = \{g \in SL_k(\mathbb{R}); \|gf'_i\| \leq T, 1 \leq i \leq N\}$ . Then  $B_t = B'_{e_t}$  is in fact a family of norm balls and any such family is admissible (see the Appendix) so we have, again from Corollary 2.3, the following

**Corollary 2.6. Simultaneous integral equivalence.** *Notation and assumption being as in the preceding paragraph, we have*

$$\left| \frac{|\{(f'_1, \dots, f'_N); f'_i \cong_{\mathbb{Z}} f_i, \|f'_i\| \leq T, 1 \leq i \leq N\}|}{\text{vol } B'_T} - 1 \right| \leq C_{\varepsilon} \text{vol}(B'_T)^{-1/(2k^2k_e)+\varepsilon}.$$



**2.4. Lattice points in  $S$ -algebraic groups.** All of our results will in fact be formulated and proved in the context of  $S$ -algebraic groups. Let us demonstrate them in the following simple case, as a motivation for the developments below.

Let  $p$  be a prime, and consider  $G_n = PSL_n(\mathbb{R}) \times PSL_n(\mathbb{Q}_p)$  and the  $S$ -arithmetic lattice  $\Gamma_n = PSL_n(\mathbb{Z}[\frac{1}{p}])$ . Take the norm on  $M_n(\mathbb{R})$  whose square is  $\text{tr } A^t A$ , and its (well-defined) restriction to  $PSL_n(\mathbb{R})$ . For  $A \in PSL_n(\mathbb{Q}_p)$  let  $|A|_p = \max_{1 \leq i, j \leq n} |a_{i,j}|_p$ , where  $|a|_p$  is the  $p$ -adic absolute value of  $a \in \mathbb{Q}_p$ , normalized as usual by  $|p|_p = \frac{1}{p}$ . If  $A \in M_n(\mathbb{Z})$ , we write  $(A, p) = 1$  if  $(a_{i,j}, p) = 1$  for some entry  $a_{i,j}$ . Define the height function on  $G_n$  by  $H(A, B) = \|A\| |B|_p$ .

Let  $C_T$  be of integral matrices with Euclidean norm bounded by  $T$ , and with  $\det A$  a power of  $p^n$  and  $(A, p) = 1$ , namely

$$C_T = \{A \in M_n(\mathbb{Z}); \text{tr } A^t A \leq T^2, \det A \in p^{\mathbb{N}}, (A, p) = 1\}.$$

**Proposition 2.7.** *The family  $C_T$  satisfies*

$$\frac{|C_T|}{\text{vol}\{g \in G; H(g) \leq T\}} = 1 + O_{\varepsilon, p, n}\left(T^{-\frac{1}{2n} + \varepsilon}\right)$$

The proposition is a consequence of Corollary 7.1 and the fact that the set  $C_T$  in question is in one-to-one correspondence with set of lattice points in balls  $B_t$  ( $t = \log T$ ) in  $G$  defined by the natural height function. Indeed, for  $y = (u, v) \in G$  the height is  $H(y) = \sqrt{\text{tr } u^t u} \cdot |v|_p$ . Clearly if  $u \in PSL_n(\mathbb{Z}[\frac{1}{p}])$  and  $|u|_p = p^k$  (where  $k \geq 0$ ) then  $A = p^k u \in M_n(\mathbb{Z})$ ,  $(A, p) = 1$ , and  $\det A = p^{kn} \det u \in p^{\mathbb{N}}$ . Also  $\|A\| = \|p^k u\| = p^k \|u\| = H(\gamma)$  where  $\gamma = (u, u) \in \Gamma$ , so that  $C_T$  maps bijectively with  $\{\gamma \in \Gamma; H(\gamma) \leq T\} = \Gamma \cap B'_T$ , where  $B'_T = \{y \in G; H(y) \leq T\}$ .

Now consider the basis of open sets at the identity in  $G$  given by  $\mathcal{O}_\varepsilon = \mathcal{U}_\varepsilon \times \mathcal{K}_p$ , the product of Riemannian balls  $\mathcal{U}_\varepsilon$  on  $PSL_n(\mathbb{R})$  and the compact open neighbourhood

$$\mathcal{K}_p = \left\{v \in PSL_n(\mathbb{Q}_p); |v - I|_p \leq 1\right\}.$$

Defining  $B_t = B'_{e^t}$ , the family  $B_t$  is admissible w.r.t. to  $\mathcal{O}_\varepsilon$ . This follows from Theorem 3.14(4), since the height is defined by a product of two norms. The unitary representation of  $G_n$  on  $L^2_0(G_n/\Gamma_n)$  is strongly  $L^{2(n-1)+\varepsilon}$ , and hence (since  $\beta_t$  are radial)  $\|\pi_0(\beta_t)\| \leq \text{vol}(B_t)^{-1/(2(n-1))+\varepsilon}$  (see Remark 5.10). A direct calculation of the volume of  $B_T$  shows that  $\text{vol}(B'_T) \leq C_\varepsilon T^{n^2-n+\varepsilon}$ , and this gives the error term above.

**2.5. Examples of ergodic theorems for lattice actions.**

2.5.1. *Exponentially fast convergence on the  $n$ -torus.* Fix a norm on  $M_n(\mathbb{R}^n)$ , and consider the corresponding norm-balls  $G_t \subset SL_n(\mathbb{R})$ , and the averages  $\lambda_t$  on  $SL_n(\mathbb{Z}) \cap G_t$

The following result is a direct corollary of Theorem 1.10, and the well-known fact that the action of  $SL_n(\mathbb{Z})$  on  $\mathbb{T}^n$  admits a spectral gap.

**Corollary 2.8.** *Consider the action of  $SL_n(\mathbb{Z})$  of  $(\mathbb{T}^n, m)$ , where  $m$  is Lebesgue measure. The averages  $\lambda_t$  satisfy for every  $f \in L^p(X)$ ,  $1 < p < \infty$  for almost every  $x \in X$*

$$\left| \lambda_t f(x) - \int_{\mathbb{T}^n} f dm \right| \leq C_p(f, x) e^{-\eta_n t}$$

where  $\eta_n > 0$  is explicit.

2.5.2. *Exponentially fast convergence in the space of unimodular lattices.* Let  $\Gamma$  be a lattice in a simple group  $H$  and  $\tau : H \rightarrow SL_n(\mathbb{R})$  a rational representation with finite kernel. Then the averages  $\lambda_t^H$  on  $\tau(H) \cap B_t$  ( $B_t$  defined w.r.t. a norm on  $M_n(\mathbb{R})$ ) converges exponentially fast to the ergodic mean, in any of the actions of  $\Gamma$  of  $SL_n(\mathbb{R})/\Delta$ ,  $\Delta$  a lattice subgroup. In particular letting  $\Delta = SL_n(\mathbb{Z})$ , the homogeneous space  $\mathcal{L}_n = SL_n(\mathbb{R})/SL_n(\mathbb{Z})$  can be identified with the space of unimodular lattices in  $\mathbb{R}^n$ . For such a lattice  $L \in \mathcal{L}_n$  let  $f(L)$  be the number of vectors in  $L$  whose length (w.r.t. the standard Euclidean norm) is at most one. Then for  $n \geq 2$ ,  $f \in L^p(\mathcal{L}_n)$ ,  $1 \leq p < n$  and we let  $\kappa_n = \int_{\mathcal{L}_n} f(L) dm(L)$  denote the average number of vectors of length at most one in a unimodular lattice  $L$ . Note that by Siegel's formula  $\kappa_n$  equals the volume of the unit ball in  $\mathbb{R}^n$ .

We can now appeal to Theorem 1.7 and Theorem 1.10 and apply them to the averages  $\lambda_t^H$ . We conclude

**Corollary 2.9.** *Let  $n \geq 2$  and  $1 < p < n$ . Then for almost every unimodular lattice  $L \in \mathcal{L}_n$ , we have*

$$\frac{\#\{\gamma \in \Gamma \cap H_t; |\#(\gamma L \cap B_1(0)) - \kappa_n| \geq \delta\}}{\#\{\gamma \in \Gamma \cap H_t\}} \leq C_p \delta^{-p} \|f\|_{L^p(\mathcal{L}_n)}^p e^{-\zeta_{p,n} t}$$

where  $\zeta_{p,n} > 0$  is explicit and depends on the spectral gap of the  $H$ -action on  $L^2(H/\Gamma \times \mathcal{L}_n)$  and the admissible family  $H_t$ .

2.5.3. *Equidistribution and exponentially fast convergence.* Let us consider now the case where the lattice  $\Gamma$  acts isometrically on a compact metric space, preserving a ergodic probability measure of full support. Two important families of examples are given by

1) The action of  $\Gamma$  on any of its profinite completions, with the invariant probability measure being Haar measure on the compact group.

In particular, this includes the congruence completion when  $\Gamma$  is arithmetic.

2) The action of  $\Gamma$  on the unit sphere in  $\mathbb{C}^n$  or  $\mathbb{R}^n$ , via a finite-dimensional unitary or orthogonal representation with a dense orbit on the unit sphere (when such exist).

We note that combining Theorem 1.9 and Theorem 1.10, the following interesting phenomenon emerges.

**Corollary 2.10.** *Let  $\Gamma$  be a lattice subgroup in a connected almost simple non-compact Lie group with proeprty  $T$ . Let  $G_t$  be admissible and  $\lambda_t$  the averages uniformly distributed on  $G_t \cap \Gamma$ . Then in every isometric action of  $\Gamma$  on a compact metric space  $S$ , ergodic with respect to a probability measure  $m$  of full support, the following holds. For every continuous function  $f \in C(S)$ ,  $\lambda_t f(s)$  converges to  $\int_S f dm$  for every  $s \in S$ , and converges exponentially fast to  $\int_S f dm$  for almost every  $s \in S$ . The exponential rate of convergence depends only on  $G_t$  and  $G$ , and is independent of  $S$  and  $\Gamma$ .*

2.5.4. *Ergodic theorems for free groups.* Let us note some further ergodic theorems which follow from Theorem 1.10.

- (1) The index 6 principal level 2 congruence group  $\Gamma(2)$  of  $SL_2(\mathbb{Z})$  is a free group on two generators. Theorem 1.10 thus gives new ergodic theorems for *arbitrary actions* of free groups, where the averages are taken are uniformly distributed on say norm balls. If the free group action has a spectral gap, the convergence is exponentially fast. These averages are completely different than the averages w.r.t. a word metric on the free group discussed in [N0][NS].
- (2) Note that for the averages just described, the phenomenon of periodicity (see [N5, §10.5]) associated with the existence of the sign character of the free group does not arise : the limit is always the ergodic mean.

Thus in particular Theorem 1.8 implies that for any norm on  $M_2(\mathbb{R})$ , norm balls become equidistributed among the cosets of any finite index subgroup of  $\Gamma(2) \cong \mathbb{F}_2$ , at an exponentially fast rate.

- (3) Similar comments also apply for example to the lattice  $\Gamma = PSL_2(\mathbb{Z}) = \mathbb{Z}_2 * \mathbb{Z}_3 \subset PSL_2(\mathbb{R})$  itself, and again the averages in question are different from the word-metric ones discussed in [N0]. Another family of examples are lattices in  $PGL_3(\mathbb{Q}_p)$ , to which our results stated in §4 apply. In particular this includes the lattices acting simply transitively on the vertices of

the Bruhat-Tits building, generalizing [N5, Thm. 11.10] for these lattices.

### 3. DEFINITIONS, PRELIMINARIES, AND BASIC TOOLS

**3.1. Maximal and exponential-maximal inequalities.** Let  $G$  be a locally compact second countable (lcsc) group, with a left-invariant Haar measure  $m_G$ . Let  $(X, \mathcal{B}, \mu)$  be a standard Borel space with a Borel measurable  $G$ -action preserving the probability measure  $\mu$ . There is a natural isometric representation  $\pi_X$  of  $G$  on the spaces  $L^p(\mu)$ ,  $1 \leq p \leq \infty$ , defined by

$$(\pi_X(g)f)(x) = f(g^{-1}x), \quad g \in G, f \in L^p(\mu).$$

To each finite Borel measure  $\beta$  on  $G$ , we associate the bounded linear operator

$$(\pi_X(\beta)f)(x) = \int_G f(g^{-1}x) d\beta(g)$$

acting on  $L^p(\mu)$ . In particular, given an increasing sequence  $G_t$ ,  $t > 0$ , of Borel subsets of positive finite measure of  $G$ , we consider the Borel probability measures

$$\beta_t = \frac{1}{m_G(G_t)} \int_{G_t} \delta_g dm_G(g), \quad (3.1)$$

and the operators  $\pi_X(\beta_t)$  are the Haar-uniform averages over the sets  $G_t$ .

**Definition 3.1. Maximal inequalities and ergodic theorems.**

Let  $\nu_t$ ,  $t > 0$  be a one-parameter family of absolutely continuous probability measures on  $G$  such that the map  $t \mapsto \nu_t$  is continuous in the  $L^1(G)$ -norm. The maximal function  $\sup_{t>t_0} |\pi_X(\nu_t)f|$ ,  $f \in L^\infty(X)$  is then measurable. We define :

- (1) The family  $\nu_t$  satisfies the *strong maximal inequality* in  $(L^p(\mu), L^r(\mu))$ ,  $p \geq r$ , if there exist  $t_0 \geq 0$  and  $C_{p,r} > 0$  such that for every  $f \in L^p(\mu)$ ,

$$\left\| \sup_{t>t_0} |\pi_X(\nu_t)f| \right\|_{L^r(\mu)} \leq C_{p,r} \|f\|_{L^p(\mu)}.$$

- (2) The family  $\nu_t$  satisfies the *mean ergodic theorem* in  $L^p(\mu)$  if for every  $f \in L^p(\mu)$ ,

$$\left\| \pi_X(\nu_t)f - \int_X f d\mu \right\|_{L^p(\mu)} \rightarrow 0 \quad \text{as } t \rightarrow \infty.$$

- (3) The family  $\nu_t$  satisfies the *pointwise ergodic theorem in  $L^p(\mu)$*  if for every  $f \in L^p(\mu)$ ,

$$\pi_X(\nu_t)f(x) \rightarrow \int_X f d\mu \quad \text{as } t \rightarrow \infty$$

for  $\mu$ -almost every  $x \in X$ .

- (4) The family  $\nu_t$  satisfies the *exponentially fast mean ergodic theorem in  $(L^p(\mu), L^r(\mu))$* ,  $p \geq r$ , if there exist  $C_{p,r} > 0$  and  $\theta_{p,r} > 0$  such that for every  $f \in L^p(\mu)$ ,

$$\left\| \pi_X(\nu_t)f - \int_X f d\mu \right\|_{L^r(\mu)} \leq C_{p,r} e^{-t\theta_{p,r}} \|f\|_{L^p(\mu)}.$$

- (5) The family  $\nu_t$  satisfies *exponential strong maximal inequality in  $(L^p(\mu), L^r(\mu))$* ,  $p \geq r$ , if there exist  $t_0 \geq 0$ ,  $C_{p,r} > 0$ , and  $\theta_{p,r} > 0$  such that for every  $f \in L^p(\mu)$ ,

$$\left\| \sup_{t \geq t_0} e^{t\theta_{p,r}} \left| \pi_X(\nu_t)f - \int_X f d\mu \right| \right\|_{L^r(\mu)} \leq C_{p,r} \|f\|_{L^p(\mu)}.$$

- (6) The family  $\nu_t$  satisfies *exponentially fast pointwise ergodic theorem in  $(L^p(\mu), L^r(\mu))$* ,  $p \geq r$ , if there exist  $t_0 \geq 0$ , and  $\theta_{p,r} > 0$  such that for every  $f \in L^p(\mu)$ ,

$$\left| \pi_X(\nu_t)f(x) - \int_X f d\mu \right| \leq B_{p,r}(x, f) e^{-t\theta_{p,r}} \quad \text{for } \mu\text{-a.-e. } x \in X$$

with the estimator  $B_{p,r}(x, f)$  satisfying the norm estimate

$$\|B_{p,r}(\cdot, f)\|_{L^r(\mu)} \leq C_{p,r} \|f\|_{L^p(\mu)}.$$

*Remark 3.2.* The main motivation to consider the exponential strong maximal inequality in  $(L^p(\mu), L^r(\mu))$  is that it implies the exponentially fast pointwise ergodic theorem in  $(L^p(\mu), L^r(\mu))$ , together with norm convergence to the ergodic mean, at an exponential rate.

We recall, in comparison, that the ordinary strong maximal inequality only implies pointwise convergence almost surely provided that we establish also the existence of a dense subspace where almost sure pointwise convergence holds. In addition, convergence in norm requires a separate further argument.

*Remark 3.3.* If the mean ergodic theorem holds in  $L^p(\mu)$ , using approximation by bounded functions and Hölder inequality, one can deduce the mean ergodic theorem in  $L^{p'}(\mu)$  for  $1 \leq p' \leq p$ . Similarly, the strong maximal inequality (resp. the exponentially fast mean ergodic theorem, the exponential strong maximal inequality) in  $(L^p(\mu), L^r(\mu))$  implies the strong maximal inequality (resp. exponentially fast mean ergodic

theorem, the exponential strong maximal inequality) in  $(L^{p'}(\mu), L^{r'}(\mu))$  for  $p' \geq p$  and  $1 \leq r' \leq r$ .

**3.2.  $S$ -algebraic groups and upper local dimension.** We now define the class of  $S$ -algebraic groups which will be our main focus.

**Definition 3.4.  $S$ -algebraic groups.**

- (1) Let  $F$  be a locally compact non-discrete field, and let  $G$  be the group of  $F$ -points of a semisimple linear algebraic group defined over  $F$ , with positive  $F$ -rank (namely containing an  $F$ -split torus of positive dimension over  $F$ ). We assume in addition that  $G$  is algebraically connected, and does not have non-trivial anisotropic (i.e. compact) algebraic factor groups defined over  $F$ . We will also assume, for simplicity, that  $G^+$  is of finite index in  $G$  (see Remark 4.6).
- (2) By an  $S$ -algebraic group we mean any finite product of the groups described in (1).

The unitary representation theory of  $S$ -algebraic groups has a number of useful features which we will use extensively below. Another property of  $S$ -algebraic groups which is crucial for handling their lattice points is the finiteness of their upper local dimension, as defined by natural choices of neighborhood bases. Let us introduce the following

**Definition 3.5.** For a family of neighborhoods  $\{\mathcal{O}_\varepsilon\}_{0 < \varepsilon < 1}$  of  $e$  in an lsc group  $G$  such that  $\mathcal{O}_\varepsilon$ 's are symmetric, bounded, and increasing with  $\varepsilon$ , we let

$$\varrho_0 \stackrel{\text{def}}{=} \limsup_{\varepsilon \rightarrow 0^+} \frac{\log m_G(\mathcal{O}_\varepsilon)}{\log \varepsilon} < \infty. \quad (3.2)$$

- Remark 3.6.*
- (1) When  $M$  is a Riemannian manifold and  $\mathcal{O}_\varepsilon$  are the balls w.r.t. the Riemannian metric, the condition  $m_M(\mathcal{O}_\varepsilon) \geq C_\rho \varepsilon^\rho$ ,  $\varepsilon > 0$  is equivalent to  $\dim(M) \leq \rho$ .
  - (2) When  $G$  is an  $S$ -algebraic group, we will always take  $\mathcal{O}_\varepsilon$  to be the sets  $\mathcal{U}_\varepsilon \times K_0$ , where  $\mathcal{U}_\varepsilon$  is the family of Riemannian balls in the Archimedean component of  $G$  (if it exists), and  $K_0$  a fixed compact open subgroup of the totally disconnected component of  $G$ . Thus the local dimension of  $\mathcal{O}_\varepsilon$  is the dimension of the Archimedean component.

**3.3. Admissible and coarsely admissible sets.** We begin our discussion of admissibility by introducing a coarse version of it, which will be useful in what follows.

**Definition 3.7. Coarse admissibility.** Let  $G$  be an lcsc group with left Haar measure  $m_G$ . An increasing family of bounded Borel subsets  $G_t$  ( $t \in \mathbb{R}_+$  or  $t \in \mathbb{N}_+$ ) of  $G$  will be called *coarsely admissible* if

- For every bounded  $B \subset G$ , there exists  $c = c_B > 0$  such that for all sufficiently large  $t$ ,

$$B \cdot G_t \cdot B \subset G_{t+c}. \quad (3.3)$$

- For every  $c > 0$ , there exists  $d > 0$  such that for all sufficiently large  $t$ ,

$$m_G(G_{t+c}) \leq d \cdot m_G(G_t). \quad (3.4)$$

It will be important in our considerations later on that coarse admissibility implies at least a certain minimal amount of volume growth for our family  $G_t$ , provided that the group is compactly generated. This property will play a role in the spectral estimates that will arise in the proofs of Theorem 4.2 and Theorem 4.3. Thus let us note the following.

**Proposition 3.8. Coarse admissibility implies growth.** *When  $G$  is compactly generated, coarse admissibility for an increasing family of bounded Borel subset  $G_t$ ,  $t > 0$ , of  $G$  implies that for any bounded symmetric generating set  $S$  of  $G$ , there exist  $a = a(S) > 0$ ,  $b = b(S) \geq 0$  such that  $S^n \subset G_{an+b}$ .*

*Proof.* Let  $S$  be a compact symmetric generating set. Taking  $B$  to be a bounded open set containing the identity together with  $G_{t_0} \cup G_{t_0}^{-1}$ , and applying condition (3.3) we conclude that  $G_{t_0+c}$  contains an open neighborhood of the identity. Then, assuming without loss of generality that  $e \in S$  we have  $S \subset SG_{t_0+c}S \subset G_{t_1}$ . Applying condition (3.3) repeatedly, we conclude that  $S^n \subset G_{t_1+nc_1}$  and the required property follows.  $\square$

**Remark 3.9. Sequences in totally disconnected groups** If  $G$  is totally disconnected, and  $K \subset G$  is a compact open subgroup, then  $G/K$  is a discrete countable metric space. If  $G_t \subset G$ ,  $t \in \mathbb{R}_+$  is an increasing family of bounded sets, then their projections to  $G/K$  will yield only a sequence of distinct sets. Since it is the large scale behaviour of the sets that we are mostly interested in, it is natural to assume that in the totally disconnected case the family  $G_t$  is in fact countable, and we then parametrize it by  $G_t$ ,  $t \in \mathbb{N}_+$ . This convention will greatly simplify our notation below.

We now consider the following abstract notion of admissible families, which (as we shall see) generalizes the one introduced in §1.

**Definition 3.10. Admissible families.**

- (1) *Admissible 1-parameter families.* Let  $G$  be an lcsc group, fix a family of neighborhoods  $\{\mathcal{O}_\varepsilon\}_{0 < \varepsilon < 1}$  of  $e$  in  $G$  such that  $\mathcal{O}_\varepsilon$ 's are symmetric, bounded, and decreasing with  $\varepsilon$ .

An increasing 1-parameter family of bounded Borel subset  $G_t$ ,  $t \in \mathbb{R}_+$ , on an lcsc group  $G$  will be called *admissible* (w.r.t. to the family  $\mathcal{O}_\varepsilon$ ) if it is coarsely admissible and there exist  $c > 0$ ,  $t_0 > 0$  and  $\varepsilon_0 > 0$  such that for  $t \geq t_0$  and  $0 < \varepsilon \leq \varepsilon_0$

$$\mathcal{O}_\varepsilon \cdot G_t \cdot \mathcal{O}_\varepsilon \subset G_{t+c\varepsilon}, \quad (3.5)$$

$$m_G(G_{t+\varepsilon}) \leq (1 + c\varepsilon) \cdot m_G(G_t), \quad (3.6)$$

- (2) *Admissible sequences.* An increasing sequence bounded Borel subset  $G_t$ ,  $t \in \mathbb{N}_+$ , on an lcsc totally disconnected group  $G$  will be called *admissible* if it is coarsely admissible, and there exists  $t_0 > 0$  and a compact open subgroup  $K_0$  such that for  $t \geq t_0$

$$K_0 G_t K_0 = G_t. \quad (3.7)$$

Let us note the following regarding admissibility.

*Remark 3.11.* (1) When  $G$  is connected and  $\mathcal{O}_\varepsilon$  are Riemannian balls every  $\mathcal{O}_\varepsilon$  generates  $G$ , and so it is clear that admissibility of the 1-parameter family  $G_t$  implies coarse admissibility (and thus also the minimal growth condition). However this argument fails for  $S$ -algebraic groups which have a totally disconnected simple component, and so we have required coarse admissibility explicitly in the definition.

- (2) Condition (3.6) is of course equivalent to the function  $\log m_G(G_t)$  being *uniformly* locally Lipschitz continuous, for sufficiently large  $t$ . Furthermore, note that

$$\begin{aligned} \|\beta_{t+\varepsilon} - \beta_t\|_{L^1(G)} &= \int_G \frac{|m_G(G_t)\chi_{G_{t+\varepsilon}} - m_G(G_{t+\varepsilon})\chi_{G_t}|}{m_G(G_t) \cdot m_G(G_{t+\varepsilon})} dm_G = \\ &= \frac{2(m_G(G_{t+\varepsilon}) - m_G(G_t))}{m_G(G_{t+\varepsilon})}. \end{aligned}$$

It follows that admissibility implies that the map  $t \mapsto \beta_t$  is uniformly locally Lipschitz continuous as a map from  $[t_0, \infty)$  to the Banach space  $L^1(G)$ . The converse also holds, provided we assume in addition that the ratio of  $m_G(G_{t+\varepsilon})$  and  $m_G(G_t)$  is uniformly bounded for  $t \geq t_0$  and  $\varepsilon \leq \varepsilon_0$ .

- (3) We note that we can relax the Lipschitz conditions in the definition of admissibility to the corresponding Hölder conditions. Such averages will be called Hölder-admissible, and will be discussed further below.



### 3.4. Absolute continuity, and examples of admissible averages.

Admissible 1-parameter families possess a regularity property which will be crucial in the proof of ergodic theorems in the absence of a spectral gap, and thus in Theorem 4.2 and Theorem 4.7.

To define the property, let us first note that a 1-parameter family  $G_t$  gives rise to the gauge  $|\cdot| : G \rightarrow \mathbb{R}_+$  defined by  $|g| = \inf \{s > 0 ; g \in G_s\}$ . If the family  $G_t$  satisfies the condition  $\cap_{r>t} G_r = G_t$  for every  $t \geq t_0$ , then conversely the family  $G_t$  is determined by the gauge, namely  $G_t = \{g \in G ; |g| \leq t\}$  for  $t \geq t_0$ . Note that clearly admissibility implies that  $\cap_{r>t} G_r$  can only differ from  $G_t$  by a set of measure zero. Clearly the resulting family is still admissible, and so we can and will assume from now on that  $G_t$  is indeed determined by its gauge.

**Proposition 3.12. Absolute continuity.** *An admissible 1-parameter family  $G_t$  (w.r.t. a basis  $\mathcal{O}_\varepsilon$ ,  $0 < \varepsilon < \varepsilon_0$ ) on an lcsc group  $G$  has the following property. The map  $g \mapsto |g|$  from  $G$  to  $\mathbb{R}_+$  given by the associated gauge maps Haar measure on  $G$  to a measure on  $[t_0, \infty)$  which is absolutely continuous with respect to linear Lebesgue measure.*

*Proof.* The measure  $\eta$  induced on  $\mathbb{R}_+$  by the map  $g \mapsto |g|$  is by definition  $\eta(J) = m_G(\{g \in G ; |g| \in J\})$ , for any Borel set  $J \subset \mathbb{R}_+$ . Assume that  $J \subset [t_0, t_1)$  and that  $\ell(J) = 0$ , namely  $J$  has linear Lebesgue measure zero, and let us show that  $\eta(J) = 0$ . Indeed, for any  $\kappa > 0$  there exists a covering of  $J$  by a sequence of intervals  $I_i$ , with  $\sum_{i=1}^\infty \ell(I_i) < \kappa$ . Subdividing the intervals if necessary, we can assume that  $\ell(I_i) < \varepsilon_0$ . By (3.6), for all  $\varepsilon < \varepsilon_0$  and  $t \in [t_0, t_1)$

$$\begin{aligned} \eta((t, t + \varepsilon]) &= m_G(\{g ; t < |g| \leq t + \varepsilon\}) = m_G(G_{t+\varepsilon}) - m_G(G_t) \\ &\leq c\varepsilon m_G(G_t) \leq cm_G(G_{t_1})\ell((t, t + \varepsilon]) . \end{aligned}$$

Denoting  $cm_G(G_{t_1})$  by  $C$ , we see that

$$\eta(J) \leq \sum_{i=1}^\infty \eta(I_i) \leq C \sum_{i=1}^\infty \ell(I_i) \leq C\kappa$$

and since  $\kappa$  is arbitrary it follows that  $\eta(J) = 0$  and thus  $\eta$  is absolutely continuous w.r.t.  $\ell$ .  $\square$

Let us now verify the first assertion made regarding admissibility in §1. The second assertion is discussed immediately below and the third is proved in Lemma 5.24.

**Proposition 3.13.** *When  $G$  is a connected Lie group and  $\mathcal{O}_\varepsilon$  are the balls defined by a left-invariant Riemannian metric, admissibility is independent of the Riemannian metric chosen to define it (but the constant  $c$  may change).*

*Proof.* We will verify that (3.5) is still satisfied, possibly with another constant  $c$  (but keeping  $\varepsilon_0$  and  $t_0$  the same) if we choose another Riemannian metric. Fix such a Riemannian metric and denote its balls by  $\mathcal{O}'_\varepsilon$ . First note that it suffices to verify (3.5) for all  $\varepsilon < a$  where  $a$  is any positive constant. Indeed then for  $t \geq t_0$  and  $\varepsilon < a$

$$\mathcal{O}'_{2\varepsilon} G_t \mathcal{O}'_{2\varepsilon} = \mathcal{O}'_\varepsilon \mathcal{O}'_\varepsilon G_t \mathcal{O}'_\varepsilon \mathcal{O}'_\varepsilon \subset \mathcal{O}'_\varepsilon G_{t+c'\varepsilon} \mathcal{O}'_\varepsilon \subset G_{t+2c'\varepsilon}$$

Here we have used the property  $(\mathcal{O}')_\varepsilon^n = \mathcal{O}'_{n\varepsilon}$  which is valid for invariant Riemannian metrics. It follows that  $\mathcal{O}'_\varepsilon G_t \mathcal{O}'_\varepsilon \subset G_{t+c'\varepsilon}$  holds for all  $0 < \varepsilon \leq \varepsilon_0$ .

Now note that it is possible to choose  $a > 0$  small enough so that for  $\varepsilon < a$  there exists a fixed  $m$  independent of  $\varepsilon$  such that

$$\mathcal{O}'_\varepsilon \subset \mathcal{O}_\varepsilon^m = \mathcal{O}_{m\varepsilon}.$$

This fact follows by applying the exponential map in a sufficiently small ball in the Lie algebra of  $G$ , and using the fact that any two norms on the Lie algebra are equivalent. It then follows that for  $\varepsilon < a$ ,  $t \geq t_0$

$$\mathcal{O}'_\varepsilon G_t \mathcal{O}'_\varepsilon \subset \mathcal{O}_\varepsilon^m G_t \mathcal{O}_\varepsilon^m \subset G_{t+m\varepsilon}$$

as required, with  $c' = mc$ . □

Admissible averages exist in abundance on  $S$ -algebraic groups. We refer to §8.1 in the Appendix for a proof of the following result.

**Theorem 3.14.** *For an  $S$ -algebraic group  $G = G(1) \cdots G(N)$  as in Definition 3.4, the following families of sets  $G_t \subset G$  are admissible, where  $a_i$  are any positive constants.*

- (1) *Let  $S$  consist of infinite places, and let  $G(i)$  be a closed subgroup of the isometry group of a symmetric space  $X_i$  of nonpositive curvature equipped with the Cartan–Killing metric. For  $u_i, v_i \in X_i$ , define*

$$G_t = \{(g_1, \dots, g_N) : \sum_i a_i d_i(u_i, g_i \cdot v_i) < t\}.$$

- (2) *Let  $S$  consist of infinite places, and let  $\rho_i : G(i) \rightarrow \mathrm{GL}(V_i)$  be proper rational representations. For norms  $\|\cdot\|_i$  on  $\mathrm{End}(V_i)$ , define*

$$G_t = \{(g_1, \dots, g_N) : \sum_i a_i \log \|\rho_i(g_i)\|_i < t\}.$$

- (3) *For infinite places, let  $X_i$  be the symmetric space of  $G(i)$  equipped with the Cartan–Killing distance  $d_i$ , and for finite places, let  $X_i$*

be the Bruhat-Tits building of  $G(i)$  equipped with the path metric  $d_i$  on its 1-skeleton. For  $u_i \in X_i$ , define

$$G_t = \{(g_1, \dots, g_N) : \sum_i a_i d_i(u_i, g_i \cdot u_i) < t\}.$$

- (4) Let  $\rho_i : G(i) \rightarrow \mathrm{GL}(V_i)$  be proper representations, rational over the fields of definition  $F_i$ . For infinite places, let  $\|\cdot\|_i$  be a Euclidean norm on  $\mathrm{End}(V_i)$ , and assume that  $\rho_i(G(i))$  is self-adjoint :  $\rho_i(G(i))^t = \rho_i(G(i))$ . For finite places, let  $\|\cdot\|_i$  be the max-norm on  $\mathrm{End}(V_i)$ . Define

$$G_t = \{(g_1, \dots, g_N) : \sum_i a_i \log \|\rho_i(g_i)\|_i < t\}.$$

An important class of families are those defined by height functions on  $S$ -algebraic groups. In Theorem 8.19 (Appendix, §8.5) will establish the following

**Theorem 3.15. Heights are Hölder-admissible.** *For an  $S$ -algebraic group  $G = G(1) \cdots G(N)$  as in Definition 3.4, let  $\rho_i : G(i) \rightarrow \mathrm{GL}(V_i)$  be proper representations, rational over the fields of definition  $F_i$ . For infinite places, let  $\|\cdot\|_i$  be any Euclidean norm on  $\mathrm{End}(V_i)$ . For finite places, let  $\|\cdot\|_i$  be the max-norm on  $\mathrm{End}(V_i)$ . Define (for any positive constants  $a_i$ )*

$$G_t = \{(g_1, \dots, g_N) : \sum_i a_i \log \|\rho_i(g_i)\|_i < t\}.$$

*Then  $G_t$  are Hölder-admissible.*

### 3.5. Balanced and well-balanced families on product groups.

In any discussion of ergodic theorems for averages  $\nu_t$  on a product group  $G = G_1 \times G_2$ , it is necessary to discuss the behaviour of the two projections  $\nu_t^1$  and  $\nu_t^2$  to the factor groups. Indeed, consider the case where one of these projections, say  $\nu_t^1$ , assigns a fixed fraction of its measure to a bounded set for all  $t$ . Then choosing an ergodic action of  $G_1$ , we can view it as an ergodic action of the product in which  $G_2$  acts trivially, and it is clear that the ergodic theorems will fail for  $\nu_t$  in this action. Thus it is necessary to require one of the following two conditions. Either the projections of the averages  $\nu_t$  to the non-compact factors do not assign a fixed fraction of their measure to a bounded set, or alternatively that the action is irreducible, namely every non-compact factor acts ergodically. This unavoidable assumption is reflected in the following definitions.

**Definition 3.16. balanced and well-balanced averages.**

Let  $G = H_1 \cdots H_N$  be an almost direct product of  $N$  non-compact compactly generated subgroups. For a set  $I$  of indices  $I \subset [1, N]$ , let  $J$  denote its complement, and  $H_I = \prod_{i \in I} H_i$ . Let  $G_t$  be an increasing family of sets contained in  $G$ .

- (1)  $G_t$  will be called balanced if for every  $I$  satisfying  $1 < |I| < N$ , and every compact set  $Q$  contained in  $H_I$

$$\lim_{t \rightarrow \infty} \frac{m_G(G_t \cap H_J Q)}{m_G(G_t)} = 0.$$

- (2) An admissible family  $G_t$  will be called well-balanced if there exists  $a > 0$  and  $\eta > 0$  such that for all  $I$  satisfying  $1 < |I| < N$

$$\frac{m_G(G_{an} \cap H_J \cdot S_I^n)}{m_G(G_{an})} \leq C e^{-\eta n}$$

where  $S_I$  is a compact generating set of  $H_I$ , consisting of products of compact generating sets of its component groups.

The condition of being well-balanced is independent of the choices of compact generating sets in the component groups, but the various constants may change. An explicit sufficient condition for a family of sets  $G_t$  defined by a norm on a semisimple Lie group to be well-balanced is given in §7.3. In addition, we note the following important natural examples of admissible well-balanced families of averages, and state an estimate on their boundary measures which will play an important role in the proof of Theorem 4.2. A complete proof of Theorem 3.17 will be given in the Appendix.

**Theorem 3.17.** *Let  $G = G(1) \cdots G(N)$  be an  $S$ -algebraic group and  $\ell_i$  denote the standard  $CAT(0)$ -metric on either the symmetric space  $X_i$  or the Bruhat–Tits building  $X_i$  associated to  $G(i)$ . For  $p > 1$  and  $u_i \in X_i$ , define*

$$G_t = \{(g_1, \dots, g_N) : \sum_i \ell_i(u_i, g_i u_i)^p < t^p\}.$$

Let  $m$  be a Haar measure  $G$ .

- (i) *There exist  $\alpha, \beta > 0$  such that for every nontrivial projection  $\pi : G \rightarrow L$ ,*

$$m(G_t \cap \pi^{-1}(L_{\alpha t})) \ll e^{-\beta t} \cdot m_t(G_t),$$

*namely the averages are well balanced.*

- (ii) *If  $G$  has at least one Archimedean factor, then the family  $G_t$  is admissible, and writing  $m = \int_0^\infty m_t dt$  where  $m_t$  is a measure supported on  $\partial G_t$ , the following estimate holds :*

There exist  $\alpha, \beta > 0$  such that for every nontrivial projection  $\pi : G \rightarrow L$ ,

$$m_t(\partial G_t \cap \pi^{-1}(L_{\alpha t})) \ll e^{-\beta t} \cdot m_t(\partial G_t),$$

namely the averages are boundary-regular.

Let us introduce the following definition :

**Definition 3.18. Standard radial averages.** Let  $G$  be an  $S$ -algebraic group as in Definition 3.4, and represent  $G$  as a product  $G = G_1 \cdots G_N$  of its simple components. We will refer to any of the families defined in Theorem 3.14, Theorem 8.19 and Theorem 3.17 as standard radial averages.

If the family satisfies in addition the estimate in Theorem 3.17 (ii) it will be called boundary-regular.

**3.6. Roughly radial and quasi-uniform sets.** We now define several other stability properties for families of sets  $G_t$  that will be useful in the arguments below.

**Definition 3.19. Quasi-uniform families.** An increasing 1-parameter family of bounded Borel subset  $G_t$ ,  $t > 0$ , of  $G$  will be called *quasi-uniform* if it satisfies the following two conditions.

- Quasi-uniform local stability. For every  $\varepsilon > 0$ , there exists a neighborhood  $\mathcal{O}$  of  $e$  in  $G$  such that for all sufficiently large  $t$ ,

$$\mathcal{O} \cdot G_t \subset G_{t+\varepsilon}. \quad (3.8)$$

- Quasi-uniform continuity. For every  $\delta > 0$ , there exist  $\varepsilon > 0$  such that for all sufficiently large  $t$ ,

$$m_G(G_{t+\varepsilon}) \leq (1 + \delta) \cdot m_G(G_t), \quad (3.9)$$

Note that (3.9) is equivalent to the function  $\log m_G(G_t)$  being quasi-uniformly continuous in  $t$ , and implies that  $t \mapsto \beta_t$  is quasi-uniformly continuous in the  $L^1(G)$ -norm. If the ratio of  $m_G(G_{t+\varepsilon})$  and  $m_G(G_t)$  is uniformly bounded for  $0 < \varepsilon \leq \varepsilon_0$  the converse holds as well.

An important ingredient in our analysis below will be the existence of a radial structure on the groups under consideration. Thus let  $G$  be an lsc group, and  $K$  a compact subgroup. Sets which are bi-invariant under translations by  $K$  will be used in order to dominate sets which are not necessarily bi- $K$ -invariant.

In particular, we shall utilize special bi- $K$ -invariant sets, called ample sets, which play a key role in the ergodic theorems proved in [N4], which we will use below. We recall the definitions.

**Definition 3.20. Roughly radial sets and ample sets.** Let  $K \subset G$  be a fixed compact subgroup,  $\mathcal{O}$  a fixed neighbourhood of  $e \in G$ , and  $C, D$  positive constants.

- (1)  $B \subset G$  is called left radial (or more precisely  $K$ -radial) if it satisfies  $KB = B$ , where  $K$  is of finite index in a maximal compact subgroup of  $G$ .
- (2) [N3] A measurable set  $B \subset G$  of positive finite measure will be called roughly radial (or more precisely  $(K, C)$ -radial) provided that  $m_G(KBK) \leq Cm_G(B)$ .
- (3) (see [N4]) A measurable set  $B \subset G$  of positive finite measure is called ample (or more precisely  $(\mathcal{O}, D, K)$ -ample) if it satisfies  $m_G(K\mathcal{O}BK) \leq Dm_G(B)$ .

To illustrate the definition of ampleness, consider first the case where  $G$  is a connected semisimple Lie group. We can fix a maximal compact subgroup  $K$  of  $G$ , and consider the symmetric space  $S = G/K$ , with the distance  $h$  derived from the Riemannian metric associated with the Killing form. Ampleness can be equivalently defined as follows. For a  $K$ -invariant set  $B \subset G/K$ , consider the  $r$ -neighborhood of  $B$  in the symmetric space, given by

$$U_r(B) = \{gK \in G/K; h(gK, B) < r\}.$$

Then  $B$  is  $(\mathcal{O}_r, D, K)$ -ample iff  $m_{G/K}(U_r(B)) \leq Dm_{G/K}(B)$ , where  $\mathcal{O}_r$  is the lift to  $G$  of a ball of radius  $r$  and center  $K$  in  $G/K$ .

The following simple facts are obvious from the definition, but since they will be used below we record them for completeness.

**Proposition 3.21.** *Any family of coarsely admissible sets on an lcsc group is  $(K, C)$ -radial for some finite  $C$  and a (good) maximal compact subgroup  $K$ , as well as  $(\mathcal{O}, D, K)$ -ample, for some neighborhood  $\mathcal{O}$  and  $D > 0$ .*

*Proof.* By definition of coarse admissibility, for the compact set  $K$  there exists  $c \geq 0$  with  $K \cdot G_t \cdot K \subset G_{t+c}$ . Therefore  $m_G(KG_tK) \leq dm_G(G_t)$ , so that the family  $G_t$  is  $(K, d)$ -radial. The fact that  $G_t$  are ample sets is proved in the same way.  $\square$

Let us note that when  $G$  is totally disconnected, and there exists a compact open subgroup  $Q \subset \mathcal{O}_\varepsilon$  satisfying  $QG_tQ = G_t$  for all  $t \geq t_0$ , then  $G_t$  are  $(K, C)$ -radial. Indeed  $Q$  is of finite index in a good maximal compact subgroup  $K$ . Denoting the index by  $N$ , we have

$$KG_tK = \cup_{i,j=1}^N k_i Q G_t Q k_j \subset \cup_{i,j=1}^N k_i G_t k_j.$$

It follows that  $m_G(KG_tK) \leq N^2 m_G(G_t)$  and  $G_t$  is  $(K, N^2)$ -radial.

**3.7. Spectral gap and strong spectral gap.** We recall the definition of spectral gaps, as follows.

**Definition 3.22. Spectral gaps.**

- (1) A strongly continuous unitary representation  $\pi$  of an lcsc group  $G$  is said to have a spectral gap if  $\|\pi(\mu)\| < 1$ , for some (or equivalently, all) absolutely continuous symmetric probability measure  $\mu$  whose support generates  $G$  as a group.
- (2) Equivalently,  $\pi$  has a spectral gap if the Hilbert space does not admit an asymptotically- $G$ -invariant sequence of unit vectors, namely a sequence satisfying  $\lim_{n \rightarrow \infty} \|\pi(g)v_n - v_n\| = 0$  uniformly on compact sets in  $G$ .
- (3) A measure preserving action of  $G$  on a  $\sigma$ -finite measure space  $(X, m)$  is said to have a spectral gap if the unitary representation  $\pi_X^0$  of  $G$  in the space orthogonal to the space of  $G$ -invariant functions has a spectral gap. Thus in the case of an ergodic probability-preserving action, the representation in question is on the space  $L_0^2(X)$  of function of zero integral.
- (4) An lcsc group  $G$  is said to have *property T* [Ka] provided every strongly continuous unitary representation which does not have  $G$ -invariant unit vectors has a spectral gap.

If  $G = G_1 G_2$  is a (almost) direct product group, and there does not exist a sequence on unit vectors which is asymptotically invariant under every  $g \in G$ , it may still be the case that there exists such a sequence asymptotically invariant under the elements of a subgroup of  $G$ , for example  $G_1$  or  $G_2$ . It is thus natural to introduce the following

**Definition 3.23. Strong spectral gaps.** Let  $G = G_1 \cdots G_N$  be an almost direct product of  $N$  lcsc subgroups. A strongly continuous unitary representation  $\pi$  of  $G$  has a strong spectral gap (w.r.t. the given decomposition) if the restriction of  $\pi$  to every almost direct factor  $G_i$  has a spectral gap.

*Remark 3.24.* (1) Let  $G_1 = G_2 = SL_2(\mathbb{R})$ ,  $G = G_1 \times G_2$  and  $\pi = \pi_1 \otimes \pi_2$ , where  $\pi_1$  has a spectral gap and  $\pi_2$  does not (but has no invariant unit vectors). It is possible to construct two admissible families  $G_t$  and  $G'_t$  on  $G$ , such that  $\|\pi(\beta_t)\| \leq Ce^{-\theta t}$ , but  $\|\pi(\beta'_t)\| \geq b > 0$ . For example,  $G_t$  can be taken as the inverse images of the families of balls of radius  $t$  in  $\mathbb{H} \times \mathbb{H}$ , w.r.t. the Cartan-Killing metric. For a construction of  $G'_t$ , one can use (in the obvious way) the non-balanced averages constructed in §7.2.

- (2) In order to obtain conclusions which assert that the mean, maximal or pointwise theorem hold for  $\beta_t$  with an exponential rate, it is of course necessary that in the representation  $\pi_X^0$  in  $L_0^2(X, \mu)$   $\beta_t$  have the exponential decay property, namely  $\|\pi_X^0(\beta_t)\| \leq Ce^{-\theta t}$ ,  $\theta > 0$ . In Theorem 5.11 we will give sufficient conditions for the latter property to hold.
- (3) Consider the special case  $X = G/\Gamma$ , where  $G$  is a semisimple Lie group and  $\Gamma$  a lattice subgroup. It is a standard corollary of the theory of elliptic operators on compact manifolds that if  $\Gamma$  is co-compact, then the (positive) Laplacian  $\Delta$  on  $G/\Gamma$  has a spectral gap above zero, namely  $\|\exp(-\Delta)\| < 1$ . It then follows that the  $G$ -action on  $L_0^2(G/\Gamma)$  has a spectral gap. It was shown that the same holds for any lattice, including non-uniform ones (see [BG] and [Be, Lem. 3]).
- (4) When  $\Gamma$  is a uniform lattice, it has been established in [KM, Thm 1.12] that  $L_0^2(G/\Gamma)$  has a strong spectral gap. Nevertheless, whether  $G/\Gamma$  always has this property is still an open problem, even for irreducible lattices in  $SL_2(\mathbb{R}) \times SL_2(\mathbb{R})$ , which are arithmetic by Margulis's theorem.

This motivated the formulation of our results in a way which makes the dependence on the strong spectral gap explicit, namely this assumption is required only for averages which are not well-balanced.

#### 4. STATEMENT OF RESULTS : GENERAL $S$ -ALGEBRAIC GROUPS

**4.1. Ergodic theorems for admissible sets.** Let us now formulate the two basic ergodic theorems for actions of the group  $G$  which we will prove in the following section. As usual, it is the maximal and exponential-maximal inequalities that will serve as our main technical tool in the proof of the ergodic theorems. The maximal inequalities will also be essential later on in establishing the connection between the averages on the group and those on the lattice. We will need the following

**Definition 4.1. Weak mixing.** A measure-preserving action of  $G$  on  $(X, \mu)$  is called

- (1) weak-mixing if the unitary representation in  $L_0^2(X)$  does not contain non-trivial finite-dimensional subrepresentations.
- (2) totally weak-mixing if for every non-trivial normal subgroup, the only finite dimensional subrepresentation it admits is the trivial one (possibly with multiplicity greater than one), or



equivalently, if in the space orthogonal to its invariants no finite-dimensional subrepresentations occur.

- (3) We will apply these notions below also to arbitrary unitary representations.

We will use below the notation and terminology established in §1 and §3. In the absence of a spectral gap, we have :

**Theorem 4.2.** *Let  $G$  be an  $S$ -algebraic group as in Definition 3.4. Let  $(X, \mu)$  be a totally weak-mixing action of  $G$ , and let  $\{G_t\}$  be a coarsely admissible 1-parameter family, or sequence. Then the averages  $\beta_t$  satisfy the strong maximal inequality in  $(L^p, L^r)$  for  $p \geq r \geq 1$ ,  $(p, r) \neq (1, 1)$ . Furthermore, if the  $G$ -action is irreducible,  $\beta_t$  satisfy,*

- (1) *the mean ergodic theorem in  $L^p$  for  $p \geq 1$ ,*
- (2) *the pointwise ergodic theorem in  $L^p$  for  $p > 1$ , provided  $G_t$  are admissible and left-radial.*

*The conclusions still hold when the action is reducible, provided that  $\beta_t$  are left-radial and balanced (for the mean theorem) or standard radial, well balanced and boundary-regular (for the pointwise theorem).*

We note that by Theorem 3.17, many natural radial averages do indeed satisfy all the conditions required in Theorem 4.2. In the presence of a spectral gap, we have

**Theorem 4.3.** *Let  $G$  be an  $S$ -algebraic group as in Definition 3.4. Let  $(X, \mu)$  be a totally weak-mixing action of  $G$  on a probability space. Let  $G_t$  be a Hölder admissible 1-parameter family, or an admissible sequence when  $S$  consists of finite places. Assume that either the representation of  $G$  on  $L_0^2(X)$  has a strong spectral gap, or that it has a spectral gap and the family  $G_t$  is well-balanced. Then the averages  $\beta_t$  satisfy*

- (1) *the exponential mean ergodic theorem in  $(L^p, L^r)$  for  $p \geq r \geq 1$ ,  $(p, r) \neq (1, 1)$ ,*
- (2) *the exponential strong maximal inequality in  $(L^p, L^r)$  for  $p > r \geq 1$ .*
- (3) *the exponentially fast pointwise ergodic theorem in  $(L^p, L^r)$  for  $p > r \geq 1$ .*

By Theorem 3.14 and Theorem 3.17 Hölder-admissible well-balanced families do exist in great abundance.

Let us note the following regarding the necessity of the assumptions in Theorem 4.2 and Theorem 4.3.

**Remark 4.4. On the exponential decay of operator norms.**

- (1) When  $G$  is a product of simple groups but is not simple, the assumption that  $G_t$  is balanced in Theorem 4.2 and well-balanced in Theorem 4.3 is obviously necessary in both cases. In the first case, we can simply take an ergodic action of  $G = G_1 \times G_2$  which is trivial on one factor. In the second, we can take an ergodic action with a spectral gap for  $G$ , but such that one of the factors admits an asymptotically invariant sequence of unit vectors of zero integral.
- (2) In general, it will be seen below that the only property needed to prove Theorem 4.3 for a 1-parameter Hölder-admissible family acting in  $L_0^2(X)$ , is the exponential decay of the operator norms:  $\|\pi_X^0(\beta_t)\| \leq Ce^{-\theta t}$ . It will be proved in Theorem 5.11 below that this estimate holds for totally weakly mixing actions under the strong spectral gap assumption, or when the action has a spectral gap and the averages are well-balanced.
- (3) We note that for averages given in explicit geometric form, exponential decay of the operator norms  $\|\pi_X^0(\beta_t)\|$  can often be established directly, see e.g. [N3, Thm. 6]. The exponentially fast mean ergodic theorem for averages on  $G$  holds in much greater generality and does not require admissibility. This fact is very useful in the solution of lattice point counting problems. We refer to [GN] for a full discussion and further applications.
- (4) Similarly, the radially assumptions in Theorem 4.2 is made specifically in order to estimate certain spectral expressions that arise in the proof of the pointwise ergodic theorem, see remark 3.20. At issue is the estimate of  $\|\pi(\partial\beta_t)\|$ , where  $\partial\beta_t$  is a singular probability measure supported on the boundary of  $G_t$ . We establish the required estimate for left-radial admissible averages in irreducible actions, or for standard radial well-balanced averages in reducible actions. This accounts for the statement of Theorem 4.2.

*Remark 4.5. Weak mixing.*

- (1) The assumption of weak-mixing of  $G$  is necessary in Theorem 4.2 and in Theorem 4.3, even for simple algebraic groups. Indeed, it suffices to consider  $G = PGL_2(\mathbb{Q}_p)$ , and note that it admits a continuous character  $\chi_2$  onto  $\mathbb{Z}_2 = \{\pm 1\}$ . It is easily seen that for the natural radial averages  $\beta_n$  on  $G$  (projecting onto the balls on the Bruhat-Tits tree), the sequence  $\chi_2(\beta_n)$  *does not* converge at all.  $\chi_2(\beta_{2n})$  does in fact converge, but not to the ergodic mean. Thus in general, the limiting value, if it exists, of  $\tau(\beta_t)$  (or subsequences thereof) in finite dimensional

representations  $\tau$  must be incorporated explicitly into the formulation of the ergodic theorems for  $G$ . We refer to [N5, §10.5] for a fuller discussion.

Furthermore, note that obviously the ergodic action of  $G$  on the two-point space  $G/\ker \chi_2$  has a spectral gap, so weak mixing is essential also in Theorem 4.3 even for simple algebraic groups.

- (2) Alternatively, another formulation of Theorem 4.2 for simple algebraic groups (in  $L^2$ , say) is that convergence to the ergodic mean (namely zero) holds for an arbitrary ergodic action, when we consider the functions in the orthogonal complement of the space spanned by all finite-dimensional subrepresentations. The complete picture requires evaluating the limits of  $\tau(\beta_t)$  for finite-dimensional non-trivial representations  $\tau$  (if they exist!).

Similarly, in Theorem 4.3 if in fact  $\|\tau(\beta_t)\| \leq C \exp(-\theta t)$  for finite dimensional non-trivial  $\tau$ , we obtain the same conclusion.

*Remark 4.6. The group  $G^+$ .*

- (1) Consider an algebraic group  $G$  defined over a local field  $F$  which is  $F$ -isotropic, almost simple and algebraically connected as in Definition 3.4. Then  $G$  contains a canonical co-compact normal subgroup denoted  $G^+$ , which can be defined as the group generated by the unipotent radicals of a pair of opposite minimal parabolic  $F$ -subgroups of  $G$  (see e.g. [M, §§1.5, 2.3] for a discussion).

We recall that if  $F = \mathbb{C}$ , then  $G^+ = G$ , and when  $F = \mathbb{R}$ ,  $G^+$  is the connected component of the identity in the Hausdorff topology (which is of finite index in  $G$ ). When the characteristic of  $F$  is zero,  $[G : G^+] < \infty$ . We note that it is often the case that  $G = G^+$  even in the totally disconnected case. Thus when  $G$  is simply connected and almost  $F$ -simple then  $G^+ = G$ , and this includes for example the groups  $SL_n(F)$  and  $Sp_{2n}(F)$  (see e.g. [M, §§1.4, 2.3]).

- (2) A key property of  $G^+$  is that it does not admit any proper finite index subgroup (see e.g. [M, Cor. 1.5.7]). As a result, it follows that  $G^+$  does not admit any non-trivial finite-dimensional unitary representations. Put otherwise, an irreducible unitary representation of  $G$  is finite-dimensional if and only if it admit a  $G^+$ -invariant unit vector. In particular, every ergodic action of  $G^+$  is weakly mixing, and if it is irreducible, each component is weak-mixing.
- (3) We note the following fact : when  $[G : G^+] < \infty$  clearly every irreducible non-trivial unitary representation of  $G^+$  appears as a

subrepresentation of the representation of  $G$  obtained from it by induction. The induced representation has no  $G^+$ -invariant unit vectors, and hence its matrix coefficients satisfy the estimates that irreducible infinite-dimensional unitary representations of  $G$  without  $G^+$ -invariant unit vectors satisfy. In particular, the  $K$ -finite matrix coefficients are in  $L^p(G^+)$  (see Theorem 5.6).

**4.2. Ergodic theorems for lattice subgroups.** Theorems 4.3 and 4.2 will be used to derive corresponding results for *arbitrary* measure-preserving actions of lattice subgroups of  $G$ , provided that  $G$  does not admit non-trivial finite-dimensional unitary representation  $\tau$ . This condition is necessary, and without it the formulation of ergodic theorems for the lattice must take into account the possible limiting values of  $\tau(\lambda_t)$ , as noted in Remark 4.5. Thus we will formulate our results for lattices  $\Gamma$  contained in  $G^+$ , since  $G^+$  does have the desired property. When  $G$  is a Lie group, this amounts just to assuming the lattice is contained in the connected component in the Hausdorff topology. In general,  $\Gamma \cap G^+$  is a subgroup of finite index in  $\Gamma$ , since  $\Gamma$  is finitely generated and every finitely generated subgroup of  $G/G^+$  is finite.

In the absence of a spectral gap, we will prove the following :

**Theorem 4.7.** *Let  $G$  be an  $S$ -algebraic group, as in Definition 3.4, and let  $\Gamma$  be a lattice subgroup contained in  $G^+$ . Let  $G_t$  be an admissible 1-parameter family (or an admissible sequence) in  $G^+$  and  $\Gamma_t = \Gamma \cap G_t$ . Let  $(X, \mu)$  be an arbitrary ergodic probability measure-preserving action of  $\Gamma$ . Then the averages  $\lambda_t$  satisfy the strong maximal inequality in  $(L^p, L^r)$  for  $p \geq r \geq 1$ ,  $(p, r) \neq (1, 1)$ . If the action induced to  $G^+$  is irreducible,  $\lambda_t$  also satisfies*

- (1) *the mean ergodic theorem in  $L^p$  for  $p \geq 1$ ,*
- (2) *the pointwise ergodic theorem in  $L^p$  for  $p > 1$ , assuming in addition that  $G_t$  are left-radial.*

*The same conclusions hold when the induced action is reducible, provided the family  $G_t$  is left-radial and balanced (for the mean theorem) or standard radial, well-balanced and boundary-regular (for the pointwise theorem).*

In the presence of a spectral gap, we will prove the following :

**Theorem 4.8.** *Let  $G$  be an  $S$ -algebraic group as in Definition 3.4 and  $\Gamma$  be a lattice contained in  $G^+$ . Let  $\{G_t\}_{t>0}$  be a Hölder-admissible 1-parameter family (or an admissible sequence) in  $G^+$ , and  $\Gamma_t = \Gamma \cap G_t$ . Let  $(X, \mu)$  be an arbitrary probability measure-preserving action of  $\Gamma$ .*

Assume that either the representation of  $G^+$  induced by the representation of  $\Gamma$  on  $L^2(X)$  has a strong spectral gap, or that it has a spectral gap and the family  $G_t$  is well-balanced. Then  $\lambda_t$  satisfy

- (1) the exponential mean ergodic theorem in  $(L^p, L^r)$  for  $p \geq r \geq 1$ ,  $(p, r) \neq (1, 1)$ ,
- (2) the strong exponential maximal inequality in  $(L^p, L^r)$  for  $p > r \geq 1$ ,
- (3) the exponentially fast pointwise ergodic theorem : for every  $f \in L^p(X)$ ,  $1 < p < \infty$  and almost every  $x \in X$

$$\left| \pi_X(\lambda_t)f(x) - \int_X f d\mu \right| \leq B_r(f, x)e^{-\zeta_p t}$$

where  $\zeta_p > 0$  and  $B_r(f, \cdot) \in L^r(X)$ ,  $r < p$ .

*Remark 4.9.* (1) Regarding the assumptions of Theorem 4.7, we note that the action of  $G^+$  induced from the  $\Gamma$ -action is indeed often (but perhaps not always) irreducible. In addition to the obvious case where  $G$  is simple, irreducibility holds (at least for groups over fields of zero characteristic) whenever the lattice is irreducible and the  $\Gamma$ -action is mixing [St, Cor. 3.8]. Another important case where it holds is when the lattice is irreducible and the  $\Gamma$ -action is via a dense embedding in a compact group [St, Thm. 2.1], or more generally when the  $\Gamma$ -action is isometric.

(2) Regarding the assumptions of Theorem 4.8, we note that the unitary representation of  $G$  induced from the unitary representation of  $\Gamma$  on  $L^2(X)$  always has a spectral gap provided the  $\Gamma$ -action on  $(X, \mu)$  does, and it often (but perhaps not always) has a strong spectral gap. Indeed, by [M, Ch. III, Prop. 1.11] if the lsc group  $G$  has a spectral gap in  $L_0^2(G/\Gamma)$  and the  $\Gamma$ -representation on  $L_0^2(X)$  has a spectral gap, then so does the representation induced to  $G$ . The existence of a spectral gap in  $L_0^2(G/\Gamma)$  has long been established for all lattices in  $S$ -algebraic groups. Thus when the sets  $G_t$  are well-balanced and left-radial, the conclusions of Theorem 4.8 hold provided only that the action of  $\Gamma$  on  $(X, \mu)$  has a spectral gap. If the induced action is irreducible and  $G$  has property  $T$ , then the induced representation has a strong spectral gap and any admissible family  $G_t$  will do.

Natural radial averages which satisfy all the required properties and thus also the ergodic theorems exist in abundance. To be concrete, let us concentrate on one family of examples, and generalize Theorem 1.12 to the  $S$ -algebraic context.

Let  $G$  be an  $S$ -algebraic group as in Definition 3.4 and  $\ell$  denote the standard  $CAT(0)$ -metric on the symmetric space  $X$  or the Bruhat–Tits building  $X$  (or their product) associated to  $G$ . Let  $\Gamma \subset G$  be a lattice subgroup,  $\Gamma_t = G_t \cap \Gamma$ , and  $\lambda_t$  the uniform averages on  $\Gamma_t$ .

**Theorem 4.10.** *Notation being as in the preceding paragraph, the averages  $\lambda_t$  satisfy the mean, maximal and pointwise ergodic theorems in every ergodic action of  $\Gamma$  (as in Theorem 4.7). If the action has a spectral gap, then  $\lambda_t$  satisfy the exponentially fast mean, maximal and pointwise ergodic theorems (as in Theorem 4.8).*

*In addition, in every isometric action of  $\Gamma$  on a compact metric space, preserving an ergodic probability measure of full support, the averages  $\lambda_t$  become equidistributed (as in Theorem 6.14).*

Theorem 4.10 is an immediate consequence of Theorem 3.17, Theorem 4.7, Theorem 4.8 and Theorem 6.14.

We note, however, that the arguments in the Appendix employed to prove Theorem 3.17 apply whenever certain growth and regularity conditions are met, and so Theorem 4.10 can in fact be extended to more general families of averages.

## 5. PROOF OF ERGODIC THEOREMS FOR $G$ -ACTIONS

Our purpose in this section is to prove the ergodic theorems for admissible averages on  $G$  stated in Theorem 4.3 and Theorem 4.2. Clearly we have to distinguish two cases, namely whether the action of  $G$  on  $X$  has a spectral gap or not. The arguments that will be employed below in these two cases are quite different, but both use spectral theory in a material way. We will begin by recalling the relevant facts from spectral theory. Since we would like to consider all  $S$ -algebraic groups, we will work in the generality of groups admitting an Iwasawa decomposition, which we proceed to define. This set-up will have the added advantage that it incorporates a large class of subgroups of groups of automorphism of products of Bruhat–Tits buildings. This class contains more than all semisimple algebraic groups and  $S$ -algebraic groups and is of considerable interest.

**5.1. Iwasawa groups and spectral estimates.** Let us begin by defining the class of groups to be considered.

**Definition 5.1. Groups with an Iwasawa decomposition.**

- (1) An lcsc group  $G$  has an Iwasawa decomposition if it has two closed amenable subgroups  $K$  and  $P$ , with  $K$  compact and  $G = KP$ .

- (2) The Harish Chandra  $\Xi$ -function associated with the Iwasawa decomposition  $G = KP$  of the unimodular group  $G$  is given by

$$\Xi(g) = \int_K \delta^{-1/2}(gk) dk$$

where  $\delta$  is the left modular function of  $P$ , extended to a left- $K$ -invariant function on  $G = KP$ . (Thus if  $m_P$  is left Haar measure on  $P$ ,  $\delta(p)m_P$  is right invariant, and  $dm_G = dm_K \delta(p) dm_P$ ).

**Convention.** The definition of an Iwasawa group involves a choice of a compact subgroup and an amenable subgroup. When  $G$  is the  $F$ -rational points of a semisimple algebraic group defined over a locally compact non-discrete field  $F$ ,  $G$  admits an Iwasawa decomposition, and we can and will always choose below  $K$  to be a good maximal compact subgroup, and  $P$  a corresponding minimal  $F$ -parabolic group. This choice will be naturally extended in the obvious way to  $S$ -algebraic groups.

**Spectral estimates.** Iwasawa groups possess a compact subgroup admitting an amenable complement, and so it is natural to consider the decomposition of a representation of  $G$  to  $K$ -isotypic subspace. In general let  $G$  be an lsc group,  $K$  a compact subgroup, and  $\pi : G \rightarrow \mathcal{U}(\mathcal{H})$  be a strongly continuous unitary representation, where  $\mathcal{U}(\mathcal{H})$  is the unitary group of the Hilbert space  $\mathcal{H}$ .

**Definition 5.2.**  $K$ -finite vectors and strongly  $L^p$ -representations.

- (1) A vector  $v \in \mathcal{H}$  is called  $K$ -finite (or  $\pi(K)$ -finite) if its orbit under  $\pi(K)$  spans a finite dimensional space.
- (2) The unitary representation  $\sigma$  of  $G$  is weakly contained in the unitary representation  $\pi$  if for every  $F \in L^1(G)$  the estimate  $\|\sigma(F)\| \leq \|\pi(F)\|$  holds. Clearly, if  $\sigma$  strongly contained in  $\pi$  (namely equivalent to a subrepresentation), then it is weakly contained in  $\pi$ .
- (3)  $\pi$  is called strongly  $L^p$  if there exists a dense subspace  $\mathcal{J} \subset \mathcal{H}$ , such that the matrix coefficients  $\langle \pi(g)v, w \rangle$  belong to  $L^p(G)$ , for  $v, w \in \mathcal{J}$ .

We recall the following spectral estimates, which will play an important role below.

**Theorem 5.3.** Tensor powers and norm estimates.

- (1) [CHH, Thm. 1] *If  $\pi$  is strongly  $L^{2+\varepsilon}$  for all  $\varepsilon > 0$  then  $\pi$  is weakly contained in the regular representation  $\lambda_G$ .*

- (2) [Co][H] (see [HT] for a simple proof) If  $\pi$  is strongly  $L^p$ , and  $n$  is an integer satisfying  $n \geq p/2$ , then  $\pi^{\otimes n}$  is strongly contained in  $\infty \cdot \lambda_G$ .
- (3) [N3, Thm 1.1, Prop. 3.7] If  $\pi$  is strongly  $L^p$ , and  $n_e$  is an even integer satisfying  $n_e \geq p/2$ , then  $\|\pi(\mu)\| \leq \|\lambda_G(\mu)\|^{1/n_e}$  for every probability measure  $\mu$  on  $G$ . If the probability measures  $\mu$  and  $\mu'$  satisfy  $\mu \leq C\mu'$  as measures on  $G$ , then  $\|\pi(\mu)\| \leq C' \|\lambda_G(\mu')\|^{1/n_e}$ .

We begin by stating the following basic spectral estimates for Iwasawa groups, which are straightforward generalizations of [CHH].

**Theorem 5.4.** *Let  $G = KP$  be a unimodular lcsc group with an Iwasawa decomposition, and  $\pi$  a strongly continuous unitary representation of  $G$ . Let  $v$  and  $w$  be two  $K$ -finite vectors, and denote the dimensions of their spans under  $K$  by  $d_v$  and  $d_w$ . Then the following estimates hold, where  $\Xi$  is the Harish Chandra  $\Xi$ -function.*

- (1) *If  $\pi$  is weakly contained in the regular representation, then*

$$|\langle \pi(g)v, w \rangle| \leq \sqrt{d_v d_w} \|v\| \|w\| \Xi(g).$$

- (2) *If  $\pi$  is strongly  $L^{2k+\varepsilon}$  for all  $\varepsilon > 0$ , then*

$$|\langle \pi(g)v, w \rangle| \leq \sqrt{d_v d_w} \|v\| \|w\| \Xi(g)^{\frac{1}{k}}.$$

*Proof.* Part (1) is stated in [CHH, Thm. 2] for semisimple algebraic groups, but the same proof applies for any unimodular Iwasawa group.

Part (2) is stated in [CHH] for irreducible representations of semisimple algebraic groups, but the same proof applies to an arbitrary representation of unimodular Iwasawa groups, since it reduces to (1) after taking a  $k$ -fold tensor product.  $\square$

*Remark 5.5.* (1) The quality of the estimate in Theorem 5.4 depends of course on the structure of  $G$ . For example, if  $P$  is normal in  $G$  (so that  $G$  is itself amenable) then  $P$  is unimodular if  $G$  is. Then  $\delta(g) = 1$  for  $g \in G$  and the estimate is trivial.

- (2) In the other direction, Theorem 5.4 will be most useful when the Harish Chandra function is indeed in some  $L^p(G)$ ,  $p < \infty$ , so that Theorem 5.3 applies.
- (3) For semisimple algebraic groups the  $\Xi$ -function is in fact in  $L^{2+\varepsilon}$  for all  $\varepsilon > 0$ , a well-known result due to Harish Chandra [HC1][HC2][HC3].
- (4) When  $\Xi$  is in some  $L^q$ ,  $q < \infty$ , Theorem 5.4(1) implies that any representation a tensor power of which is weakly contained



in the regular representation is strongly  $L^p$  for some  $p$ . This assertion uses of course also the density of  $K$ -finite vectors, which is a consequence of the Peter-Weyl theorem.

We remark that according to Theorem 5.3(3), it is possible to bound the operator norm of a given measure by that of its radialization. Thus in particular for a Haar-uniform probability measures on a  $(K, C)$ -radial set the norm is bounded in terms of the Haar-uniform probability measure on its radialization.

We now state the following result, which summarizes a number of results due to [Co][HM][BW]. in a form convenient for our purposes.

**Theorem 5.6.  $L^p$ -representations** [Co][HM][BW].

*Let  $F$  be an locally compact non-discrete field. Let  $G$  denote the  $F$ -rational point of an algebraically connected semisimple algebraic group which is almost  $F$ -simple. Let  $\pi$  be a unitary representation of  $G$ . without non-trivial finite-dimensional  $G$ -invariant subspaces (or equivalently without  $G^+$ -invariant unit vectors)*

- (1) *If the  $F$ -rank of  $G$  is at least 2 then  $\pi$  is strongly  $L^p$ , for some fixed  $p < \infty$  depending only on  $G$ .*
- (2) *If the  $F$ -rank of  $G$  is 1, then any unitary representation  $\pi$  admitting a spectral gap (equivalently, which does not contain an asymptotically invariant sequence of unit vectors) is strongly  $L^p$  for some  $p = p(\pi) < \infty$ . In particular, every irreducible infinite-dimensional representation has this property.*

*Proof.* When  $\pi$  is irreducible, both parts are stated in [Co, Thms. 2.4.2, 2.5.2] in the Archimedean case, and in [HM, Thm. 5.6] in general (based on the theory of leading exponents in [BW]). The passage to general unitary representations with a spectral gap via a direct integral argument presents no difficulty.  $\square$

We remark that an explicit estimate of the relevant exponent  $p$  is given by [Li][LZ] in the Archimedean case, and by [Oh], in general. The pointwise bounds for  $K$ -finite matrix coefficients developed in [CHH], [H], [HT] and in the general case in [Oh, §5.7], imply the bound stated in Theorem 5.4(2), showing that the matrix coefficients are indeed in  $L^p(G)$ , where  $p$  depend only on  $G$ .

Note that any faithful unitary representation of an  $S$ -algebraic group (as in Definition 3.4) with property  $T$  is strongly  $L^p$ .

**5.2. Ergodic theorems in the presence of a spectral gap.** When a spectral gap is present, a strong exponential maximal inequality holds for general Hölder families of probability measures  $\nu_t$  on  $G$ . For a proof

we refer to [MNS] and [N3], where the relation between the rate of exponential decay and the parameters  $p$  and  $r$  below is fully explicated.

**Theorem 5.7. Exponential maximal inequality in the presence of a spectral gap**[N3, Thm. 4]. *Let  $G$  be an lcsc group, and assume that the family of probability measures  $\nu_t$ , is uniformly locally Hölder continuous in the total variation norm, namely  $\|\nu_{t+\varepsilon} - \nu_t\| \leq C\varepsilon^a$ , for all  $t \geq t_0$  and  $0 < \varepsilon \leq \varepsilon_0$ . Assume also that it is roughly monotone, namely  $\nu_t \leq C\nu_{[t]+1}$ , where  $C$  is fixed.*

- (1) *Assume that  $\pi_X^0(\nu_t)$  have exponentially decaying norms in  $L_0^2(X)$ . Then the strong exponential maximal inequality in  $(L^p, L^r)$  holds in any probability-measure-preserving action of  $G$ , and thus also the exponential pointwise ergodic theorem holds in  $(L^p, L^r)$ , for any  $p > r > 1$ .*
- (2) *In particular, if the representation  $\pi_X^0$  on  $L_0^2$  is strongly  $L^p$ , and  $\nu_t$  have exponentially decaying norms as convolution operators on  $L^2(G)$ , then the previous conclusion holds.*

*Remark 5.8.* For future reference, let us recall the following simple observation, noted already in [N3, Thm. 2]. For any sequence of averages  $\nu_n$ , the exponential-maximal inequality in  $(L^p, L^p)$ ,  $1 < p < \infty$  is an immediate consequence of the exponential decay condition on the norms  $\|\pi_X^0(\nu_t)\|$ . So is the exponentially fast pointwise ergodic theorem, and both follow simply by considering the bounded operator  $\sum_{n=0}^{\infty} e^{n\theta/2} \pi_X^0(\nu_n)$  on  $L_0^2(X)$ , and then using Riesz-Thorin interpolation.

The next step is to establish the exponential decay conditions on the norms, when the averages are admissible. Note that according to Theorem 5.3(3), it is possible to bound the operator norm of (say) a given Haar-uniform probability measures on a  $(K, C)$ -radial and in terms of its radialization. We formulate this fact as follows.

**Proposition 5.9. Radialization estimate.** *Let  $G = KP$  be an lcsc unimodular Iwasawa group and  $\pi$  a strongly  $L^p$ -representation. Let  $B$  be a set of positive finite measure and  $\beta = \chi_B / \text{vol}(B)$ .*

- (1) *If  $B$  is bi- $K$ -invariant, then  $\|\lambda(\beta)\| = \frac{1}{m(B)} \int_B \Xi_G(g) dm_G(g)$ .*
- (2) *If  $B$  is a  $(K, C)$ -radial set and  $\tilde{B} = KBK$ , then*

$$\|\pi(\beta)\| \leq C' \left( \frac{\int_{KBK} \Xi(g) dm_G(g)}{\text{vol}(KBK)} \right)^{1/n_e} = C' \left\| \lambda(\tilde{\beta}) \right\|^{1/n_e}$$

*provided  $n_e$  is even and  $n_e > p/2$ .*

*Both statements hold of course for every absolutely continuous  $(K, C)$ -radial probability measure (with the obvious definition of  $(K, C)$ -radial measures).*

*Proof.* Let us first recall the following estimate from [CHH], dual to C. Herz majorization principle. The spectral norm of the convolution operator  $\lambda_G(F)$  on  $L^2(G)$  is estimated by :

$$\|\lambda_G(F)\| \leq \int_G \left( \int_K \int_K |F(kgk')|^2 dk dk' \right)^{1/2} \Xi(g) dg$$

for any measurable function  $F$  on  $G$  for which the right hand side is finite.

Now consider a set  $B$  which is  $(K, C)$ -radial. Clearly a comparison of the convolutions with the normalized probability measures immediately gives

$$\|\lambda_G(\beta)\| \leq \frac{C}{\text{vol}(KBK)} \|\lambda_G(\chi_{KBK})\| .$$

Utilizing the previous inequality for the bi- $K$ -invariant measure  $\tilde{\beta}$  uniformly distributed on  $KBK$ , we clearly obtain

$$\|\lambda_G(\beta)\| \leq \frac{C}{\text{vol}(KBK)} \int_{KBK} \Xi(g) dm_G(g) .$$

The equality in (1) for bi- $K$ -invariant sets  $\tilde{B}$  follows from the fact that since  $P$  is amenable, The representation of  $G$  on  $G/P$  induced from the trivial representation of  $P$  is weakly contained in the regular representation of  $G$ .  $\Xi$  is a diagonal matrix coefficient of the latter representation, by definition, so that  $\frac{1}{m(\tilde{B})} \int_{\tilde{B}} \Xi(g) dm_G(g) \leq \|\lambda(\tilde{\beta})\|$ .

Now by definition of weak containment, the same inequality hold also for unitary representations  $\pi$  which are weakly contained in the regular representation. Taking tensor powers and using Theorem 5.3, we obtain a norm bound for  $\|\pi(\beta)\|$  in  $L^p$ -representations as well.  $\square$

**Remark 5.10. Bounding spectral norms using the Kunze-Stein phenomenon.**

To complement the latter result let us recall, as noted in [N3, Thm. 3,4], that it is also possible to estimate the operator norm of general (not necessarily  $(K, C)$ -radial) averages using the Kunze-Stein phenomenon, provided the representation is strongly  $L^p$ ,  $p < \infty$ .

1) Indeed, for the spectral norm, namely when  $f \in C_c(G)$  and  $\beta = \chi_B/m_G(B)$  ( $B$  a bounded set), we have by the Kunze-Stein phenomenon, provided  $1 < p < 2$

$$\|\beta * f\|_{L^2(G)} \leq C_p \|\beta\|_{L^p(G)} \|f\|_{L^2(G)} .$$

Taking  $p = 2 - \varepsilon$ , and  $f$  ranging over functions of unit  $L^2(G)$ -norm, we conclude that

$$\|\lambda(\beta)\| \leq C'_\varepsilon \|\beta\|_{L^{2-\varepsilon}(G)} = C'_\varepsilon m_G(B)^{-(1-\varepsilon)/(2-\varepsilon)} \leq C''_\varepsilon m_G(B)^{-1/2+\varepsilon}.$$

2) If the representation  $\pi$  satisfies  $\pi^{\otimes n_e} \subset \infty \cdot \lambda_G$  (e.g. if it is strongly  $L^p$  and  $n_e$  is even with  $n_e \geq p/2$ ) then by part 1) and Theorem 5.3(3) (see also [N3, Thm. 4])  $\pi(\beta) \leq C_\varepsilon m_G(B)^{-1/(2n_e)+\varepsilon}$ .

3) It follows from (2) that if  $\beta_t$  is a family of Haar-uniform averages on the sets  $G_t$ , and  $\pi^{\otimes n_e} \subset \infty \cdot \lambda_G$ , then the rate of volume growth of  $G_t$  determines the largest parameter  $\theta$  satisfying  $\|\pi(\beta_t)\| \leq A_\varepsilon e^{(-\theta+\varepsilon)t}$  (for every  $\varepsilon > 0$ ) as follows :

$$\theta = \liminf_{t \rightarrow \infty} -\frac{1}{t} \log \|\pi(\beta_t)\| = \frac{1}{2n_e} \limsup_{t \rightarrow \infty} \frac{1}{t} \log m_G(B_t).$$

We now turn to establishing the necessary decay estimates for the norms of the operators  $\pi(\beta_t)$ , for admissible families  $G_t$ .

**Theorem 5.11. Exponential decay of operator norms.** *Let  $G$  be  $S$ -algebraic as in Definition 3.4, and let  $\sigma$  be totally weak-mixing unitary representation of  $G$ . Let  $G_t$  be a coarsely admissible 1-parameter family or sequence. Assume that  $\sigma$  has a strong spectral gap, or that it has a spectral gap and  $G_t$  are well-balanced. Then for some  $C$  and  $\delta = \delta_\sigma > 0$  depending on  $\sigma$  and  $G_t$ ,*

$$\|\sigma(\beta_t)\| \leq C e^{-\delta t}.$$

*Proof.* We have already shown in Proposition 3.21 that coarsely admissible families are  $(K, C)$ -radial, and so let us use Proposition 5.9. If the representation  $\sigma$  happens to be strongly  $L^p$ , then denoting the radializations by  $\tilde{G}_t = KG_tK$ , the norm of  $\sigma(\beta_t)$  is estimated by

$$\|\sigma(\beta_t)\| \leq C' \left( \frac{\int_{\tilde{G}_t} \Xi_G(g) dm_G(g)}{m_G(\tilde{G}_t)} \right)^{1/n_e}$$

where  $n_e > p/2$  is even and  $\Xi_G$  is the Harish Chandra  $\Xi$ -function.

Recall that coarsely admissible sets satisfy the minimal growth condition  $S^n \subset G_{an+b}$ . It follows of course that their radializations satisfy  $\tilde{S}^n \subset \tilde{G}_{a'n+b'}$ , for a compact bi- $K$ -invariant generating set  $\tilde{S}$ . The standard estimates of  $\Xi_G(g)$  (see [HC1], [HC2],[HC3]) now imply that  $\|\sigma(\beta_t)\|$  decays exponentially.

Now the assumption that the representation is strongly  $L^p$  is satisfied when the representation is totally weak mixing and has a strong spectral gap. Indeed this follows immediately from Theorem 5.6, noting that (almost) every irreducible representation appearing in the direct

integral decomposition of  $\sigma$  w.r.t. a simple subgroup must infinite dimensional.

We note that the strong spectral gap assumption is necessary here. Indeed, consider the tensor product of an irreducible principal series representation of  $G = PSL_2(\mathbb{Q}_p)$  and a weak mixing representation of  $G$  which admits an asymptotically invariant sequence of unit vectors. Then  $\sigma$  has a spectral gap (as a representation of  $G \times G$ ) and is totally weak mixing but is not strongly  $L^p$  for any finite  $p$ .

To handle the case where  $\sigma$  totally weak mixing but is not strongly  $L^p$ , let us recall that we now assume  $G_t$  are well-balanced, and also coarsely admissible. It follows that the radialized sets  $\tilde{G}_t$  are also well-balanced. Indeed, since  $G_t \subset KG_tK \subset G_{t+c}$  for every coarsely admissible family, clearly also (referring to Definition 3.16)  $G_t \cap H_I Q \subset KG_tK \cap H_I Q \subset G_{t+c} \cap H_I Q$  for every compact subset  $Q$  of  $H_J$ . Taking  $t = an$ ,  $Q = S_I^n$  and using that  $G_t$  are well-balanced, the claim follows. Now, if  $\bar{\sigma}$  is the representation conjugate to  $\sigma$ , then for any probability measure  $\nu$  on  $G$  and every vector  $u$  we have

$$\begin{aligned} \|\sigma(\nu)u\|^2 &= \langle \sigma(\nu^* * \nu)u, u \rangle \leq \int_G |\langle \sigma(g)u, u \rangle| d(\nu^* * \nu)(g) \\ &\leq \left( \int_G (\langle \sigma(g)u, u \rangle)^2 d(\nu^* * \nu) \right)^{1/2} \\ &= (\langle \sigma \otimes \bar{\sigma}(\nu^* * \nu)(u \otimes \bar{u}), u \otimes \bar{u} \rangle)^{1/2} \leq \|\sigma \otimes \bar{\sigma}(\nu)(u \otimes \bar{u})\|. \end{aligned}$$

Hence it suffices to prove that  $\|\sigma \otimes \bar{\sigma}(\beta_t)\|$  decays exponentially. But note that the diagonal matrix coefficients which we are now considering are all non-negative. Thus for each vector  $u$ , and every  $(K, C)$ -radial measure  $\nu$ , using Jensen's inequality and the previous argument

$$\begin{aligned} \|\sigma(\nu)u\|^4 &= \langle \sigma(\nu^* * \nu)u, u \rangle^2 \leq |\langle \sigma \otimes \bar{\sigma}(\nu^* * \nu)(u \otimes \bar{u}), u \otimes \bar{u} \rangle| \\ &\leq C^2 \langle \sigma \otimes \bar{\sigma}(\tilde{\nu}^* * \tilde{\nu})(u \otimes \bar{u}), u \otimes \bar{u} \rangle = C^2 \|\sigma \otimes \bar{\sigma}(\tilde{\nu})(u \otimes \bar{u})\|^2. \end{aligned}$$

We conclude that if the norm of  $\sigma \otimes \bar{\sigma}(\tilde{\beta}_t)$  decays exponentially, so does the norm of  $\sigma(\beta_t)$ . Now if  $\sigma$  has a spectral gap, and is totally weak-mixing, then  $\sigma \otimes \bar{\sigma}$  has the same properties. This claim follows from Theorem 5.6 and Theorem 5.4. Indeed if an asymptotically invariant sequence of unit vectors exists in  $\sigma \otimes \bar{\sigma}$ , then there is also such a sequence which consists of  $K$ -invariant vectors, so that we can restrict attention to the spherical spectrum. But a sequence consisting of convex sums of products of normalized positive definite spherical functions cannot converge to 1 uniformly on compact sets unless the individual spherical functions that occur in them have the same property, and this

contradicts the spectral gap assumption on  $\sigma$ . Total weak-mixing follows from a direct integral decomposition and the fact that the tensor product of two irreducible infinite-dimensional unitary representations of an simple group do not have finite-dimensional subrepresentations.

Thus we are reduced to establishing the norm decay of a bi- $K$ -invariant coarsely admissible family in a totally weak-mixing unitary representation with a spectral gap, which we continue to denote by  $\sigma$ .

To estimate the norm of  $\sigma(\tilde{\beta}_t)$  under these conditions write  $G = G_1 \times G_2$  where  $G_1$  has property  $T$  and  $G_2$  is a product of groups of split rank one. Any irreducible unitary representation of  $G$  is a tensor product of irreducible unitary representations of  $G_1$  and  $G_2$ . Since  $\tilde{\beta}_t$  are bi- $K$ -invariant measure, we can clearly restrict our attention to infinite-dimensional spherical representations, namely those containing a  $K$ -invariant unit vector, and then estimate the matrix coefficient given by the spherical function. The non-constant spherical functions  $\varphi_s(g)$  on  $G$  are given by  $\varphi_{s_1}(g_1)\varphi_{s_2}(g_2)$ , where at least one of the factors is non-constant. If  $\varphi_{s_1}(g_1)$  is non-constant, then again it is a multiple of spherical function on the simple components of  $G_1$ , one of which is non-constant.  $\varphi_{s_1}(g_1)$  is then bounded, according to Theorem 5.4 and Theorem 5.6, by the function  $\Phi : g_1 \mapsto \Xi_{G/H}(p_{G/H}(g_1))^{1/n}$  for some fixed  $n$  depending only on  $G_1$ , where  $G/H$  is one of the simple factors of  $G_1$  and  $p_{G/H}$  the projection onto it. Using the standard estimate of the  $\Xi$ -function, together with our assumption that the  $G_t$  are well-balanced, we conclude that for  $\eta_1 > 0$  depending only on  $G_1$  and  $G_t$

$$\frac{1}{m_G(G_t)} \int_{G_t} |\varphi_s(g)| dm_G(g) \leq C e^{-\eta_1 t}.$$

Now, if  $\varphi_{s_1}(g_1)$  is constant, then the representation of  $G$  in question is trivial on  $G_1$  and factors to a non-trivial irreducible representation of  $G_2$ . The spherical functions of the complementary series on a split rank one group are the only ones we need to consider, and they have a simple parametrization as a subset of an interval. We can take to be say  $[0, 1]$ , where 0 corresponds to the Harish Chandra function and 1 to the constant function. The function  $\varphi_{s_2}(g_2)$  is a product of spherical functions on the real rank factors. The assumption that the original representation  $\sigma = \pi_X^0$  has a spectral gap implies that for some  $\delta > 0$ , the parameter of at least one factor is outside  $[1 - \delta, 1]$ . The bound  $\delta$  depends only on the original representation  $\sigma$  and is uniform over the representations of  $G_2$  that occur. It is then easily seen using the estimate of the  $\Xi$ -function in the split rank one case and the fact that  $\tilde{G}_t$  are well-balanced, that  $\varphi_s(g)$  also satisfies the foregoing estimate, for some  $\eta'_1 > 0$ . This gives a uniform bound over all irreducible unitary

spherical representations of  $G$  that are weakly contained in  $\sigma$ , and it follows that  $\|\pi_X^0(\tilde{\beta}_t)\| \leq Ce^{-\eta t}$ , where  $\eta = \eta(\sigma) > 0$ .  $\square$

*Proof of Theorem 4.3.*

We now check that the assumption of Theorem 5.7 are satisfied for the 1-parameter families of averages and the representations under consideration. Clearly, admissible families are also roughly monotone, and are uniformly locally Lipschitz continuous in the  $L^1(G)$ -norm, as noted already in 3.11(2). Furthermore, coarsely admissible (and in particular, admissible) families satisfy the exponential decay condition in  $L_0^2(X)$  in the representation under consideration, in view of Theorem 5.11. Thus the exponentially fast mean, pointwise and maximal ergodic theorems holds for 1-parameter admissible families.

Finally, the case of sequence of admissible averages does not require an appeal to Theorem 5.7, just to the remark following it, as well as to Theorem 5.11.

This concludes the proof of all parts of Theorem 4.3.  $\square$

*Remark 5.12. Hölder families.* Clearly Theorem 4.3 remains valid with the same proof provided that  $G_t$  satisfy, for some  $0 < a \leq 1$  the following Hölder condition :

$$m_G(G_{t+\varepsilon}) \leq (1 + c\varepsilon^a) \cdot m_G(G_t) \quad (5.1)$$

rather than the Lipschitz condition above.

Furthermore, we can also weaken condition (3.5) in the definition of admissibility by the Hölder condition

$$\mathcal{O}_\varepsilon \cdot G_t \cdot \mathcal{O}_\varepsilon \subset G_{t+c\varepsilon^a} . \quad (5.2)$$

The Hölder assumptions are sufficient also for the proof of Theorem 4.8 as well as parts (4) and (5) of Theorem 6.3 and part (4) of Theorem 6.4.

**5.3. Ergodic theorems in the absence of a spectral gap, I.** We now turn to the proof of Theorem 4.2 and consider actions which do not necessarily admit a spectral gap. We start by proving the strong maximal inequality for admissible families (and some more general ones), and in the section that follows we establish pointwise convergence (to the ergodic mean) on a dense subspace. In the course of that discussion the mean ergodic theorem, namely norm convergence (to the ergodic mean) on a dense subspace will be apparent. As is well-known these three ingredients suffice to prove Theorem 4.2 completely (see e.g. [N5] for a full discussion).

5.3.1. *The maximal inequality.* Consider a family  $\beta_t$  of probability measures on  $G$ , where  $\beta_t$  is the Haar-uniform average on  $G_t$ , and  $G_t$  are coarsely admissible. As noted in Proposition 3.21 coarse admissibility implies that  $G_t$  are a family of  $(K, C)$ -radial sets, with  $C$  fixed and independent of  $t$ . As before, we denote by  $\tilde{\beta}_t$  the Haar uniform averages of the sets  $\tilde{G}_t = KG_tK$ , and again note that  $\beta_t \leq C\tilde{\beta}_t$  as measures on  $G$ . Hence for  $f \geq 0$  we have almost surely

$$f_{\beta}^*(x) = \sup_{t>t_0} \pi_X(\beta_t)f(x) \leq C \sup_{t>t_0} \pi_X(\tilde{\beta}_t)f(x) = Cf_{\tilde{\beta}}^*(x) ,$$

so that it suffices to prove the maximal inequalities for the averages  $\tilde{\beta}_t$ . Furthermore, if  $G_t$  is coarsely admissible then clearly so are the sets  $\tilde{G}_t = KG_tK$ .

Recall now that that coarse admissibility implies  $(\mathcal{O}_r, D)$ -ampleness for some constants  $(r, D)$ , as noted in Proposition 3.21.

Thus the maximal inequality for  $\tilde{\beta}_t$  follows from the following

**Theorem 5.13. Maximal inequality for ample sets**(see [N4, Thm 3]). *Let  $G$  be an  $S$ -algebraic group as in Definition 3.4, and let  $K$  be of finite index in a maximal compact subgroup. Let  $(X, \mu)$  be a totally weak-mixing probability measure preserving action of  $G$ . For set  $E \subset G$  of positive finite measure, let  $\nu_E$  denote the Haar-uniform average supported on  $E$ . Fix positive constants  $r > 0$  and  $D > 1$ , and consider the maximal operator*

$$\mathcal{A}^*f(x) = \sup \{ |\pi_X(\nu_E)f(x)| : E \subset G \text{ and } E \text{ is } (\mathcal{O}_r, D, K)\text{-ample} \} .$$

*Then  $\mathcal{A}^*f$  satisfies the maximal inequality  $(1 < p \leq \infty)$*

$$\|\mathcal{A}^*f\|_{L^p(X)} \leq B_p(G, r, D, K) \|f\|_{L^p(X)} .$$

*Proof.* Theorem 5.13 is proved in full for connected semisimple Lie groups with finite center in [N4]. The same proof applies to our present more general context without essential changes, as we now briefly note. First, if  $G$  is a totally disconnected almost simple algebraically connected non-compact algebraic group with property  $T$ , the analog of [N4, Thm. 2], namely the exponential-maximal inequality for the cube averages defined there follows from the exponential decay of the norm of the *sequence* (in this case) of cube averages. The exponential decay in the space orthogonal to the invariants is assured by our assumption that the action is totally weak-mixing, which implies that Theorem 5.6 and Theorem 5.4 can be applied. Then standard estimate of the  $\Xi$ -function yield the desired conclusion for the cube averages.

Second, for groups of split rank one the maximal inequality for the sphere averages is established in [NS] when the Bruhat-Tits tree has



even valency, and the same method gives the general case (using the description of the spherical function given e.g. in [N0]). The fact that the maximal inequality for cube averages holds on product groups if it holds for the components is completely elementary, as in [N4, §2]. Finally, the fact that the maximal inequality for cube averages implies the maximal inequality for ample set in a given group depends only on analysis of the volume density associated with the Cartan decomposition and thus only on the root system, and the argument in [N4, §4] generalizes without difficulty.

This establishes the maximal inequality for every  $S$ -algebraic group as in Definition 3.4.  $\square$

#### 5.4. Ergodic theorems in the absence of a spectral gap, II.

**5.4.1. Pointwise convergence on a dense subspace.** In the present subsection we will make crucial use of the absolute continuity property established for admissible 1-parameter of averages in Proposition 3.12. This property implies that  $t \mapsto \beta_t$  is almost surely differentiable (in  $t$ , and w.r.t. the  $L^1(G)$ -norm) with globally bounded derivative.

When  $\beta_t$  are the Haar uniform averages on an increasing family of compact sets  $G_t$ , Almost sure differentiability is equivalent with the almost sure existence of the limit

$$\lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} \frac{m_G(G_{t+\varepsilon}) - m_G(G_t)}{m_G(G_t)},$$

and for admissible families the limit is uniformly bounded as a function of  $t$ . The almost sure differentiability will allow us to make use of a certain Sobolev-type argument developed originally in [N1].

The uniform local Lipschitz continuity for the averages is a somewhat stronger property than uniform local Hölder continuity, which was the underlying condition in the case where the action has a spectral gap. However, the Lipschitz condition allows us to dispense with the assumption of exponential decay of  $\|\pi_X^0(\beta_t)\|$ .

We note however that the (ordinary) strong  $L^p$ -maximal inequality holds for much more general averages, namely under the sole conditions that  $G_t$  are  $(K, C)$ -radial and their radializations are  $(\mathcal{O}_r, D)$ -ample averages on an  $S$ -algebraic group. It is only pointwise convergence on a dense subspace that requires the additional regularity assumption of almost sure differentiability, which follows from the uniform local Lipschitz condition.

Finally, we remark that the argument we give below is based solely on the spectral estimates described in the previous sections. Thus it does not require extensive considerations related to classification of

unitary representations, and applies to all semisimple algebraic groups (and other Iwasawa groups).

Let  $(G, m_G)$  denote an lcsc group  $G$  with a left Haar measure. Let  $N_t$ ,  $t \in \mathbb{R}_+$  be an admissible family. Then  $N_t$  is an increasing family of bounded sets of positive measure, satisfying, without loss of generality  $N_t = \cap_{s>t} N_s$ . Let  $g \mapsto |g|$  be the gauge  $|g| = \inf \{s; g \in N_s\}$ . This condition implies that  $N_t$  are determined by their gauge via  $N_t = \{g \in G; |g| \leq t\}$ . Thus the gauge is a measurable proper function with values in  $\mathbb{R}_+$ . Define  $\nu_t$ ,  $t \in \mathbb{R}_+$  to be the one-parameter family of probability measures with compact supports on  $G$ , absolutely continuous w.r.t. Haar measure, whose density is given by the function  $\frac{1}{m_G(N_t)} \chi_{N_t}(g)$ . The map  $t \mapsto \nu_t$  is a uniformly locally Lipschitz function from  $\mathbb{R}_+$  to  $L^1(G)$ , w.r.t. the norm topology, by assumption.

We let  $S_t = \{g; |g| = t\}$ , and clearly  $N_t = \coprod_{0 < s \leq t} S_s$  is a disjoint union. The map  $g \mapsto |g|$  projects Haar measure on  $G$  onto a measure on  $\mathbb{R}_+$ , which is absolutely continuous measure w.r.t. Lebesgue measure on  $\mathbb{R}_+$ , by Proposition 3.12. The measure disintegration formula gives the representation  $m_G = \int_0^\infty m_r dr$ , where  $m_r$  is a measure on  $S_r$ , defined for almost all  $r$ . Thus we can write for any  $F \in C_c(G)$

$$\begin{aligned} \nu_t(F) &= \frac{\int_{N_t} F dm_G}{m_G(N_t)} = \frac{1}{m_G(N_t)} \int_0^t \frac{m_r(F)}{m_r(S_r)} m_r(S_r) dr = \\ &= \int_0^t \partial \nu_r(F) \psi_t(r) dr . \end{aligned}$$

Here  $\partial \nu_r = m_r/m_r(S_r)$  is a probability measure on  $S_r$  (for almost every  $r$ ), and the density  $\psi_t(r)$  is given by  $\psi_t(r) = m_r(S_r)/m_G(N_t)$ . Here  $\psi_t(r)$  is a measurable function, defined almost surely w.r.t. Lebesgue measure on  $\mathbb{R}_+$ , and is almost surely positive for  $r \leq t$ . For any given continuous function  $F \in C_c(G)$ ,  $\nu_t(F)$  is an absolutely continuous function on  $\mathbb{R}_+$ , given by integration against the  $L^1$ -density  $\partial \nu_r(F) \psi_t(r)$  (which is almost everywhere defined). In particular,  $\nu_t(F)$  is differentiable almost everywhere, and its derivative is given, almost everywhere, as follows

**Proposition 5.14.** *Assume  $N_t$  give rise to an absolutely continuous measure on  $\mathbb{R}_+$ , as above. Then for almost all  $t$  :*

$$\frac{d}{dt}(\nu_t(F)) = \frac{m_t(S_t)}{m_G(N_t)} (\partial \nu_t - \nu_t)(F)$$

*Proof.* We compute :

$$\begin{aligned}
\frac{d}{dt}\nu_t &= \frac{d}{dt} \left( \frac{1}{m_G(N_t)} \int_0^t m_r dr \right) = \\
&\left( \frac{1}{m_G(N_t)} \right)' \int_0^t m_r dr + \frac{1}{m_G(N_t)} \cdot m_t = \\
&-\frac{m_G(N_t)'}{m_G(N_t)^2} \int_0^t m_r dr + \frac{m_t(S_t)}{m_G(N_t)} \partial\nu_t = \\
&= \frac{m_t(S_t)}{m_G(N_t)} (\partial\nu_t - \nu_t)
\end{aligned}$$

We have used that  $m_G(N_t)' = m_t(S_t)$  for almost all  $t$ , which is a consequence of the Lebesgue differentiation theorem on the real line.  $\square$

Let us note that when a uniform local Lipschitz condition is satisfied by  $\log m_G(N_t)$ , namely when  $m_G(N_{t+\varepsilon}) \leq (1 + c\varepsilon)m_G(N_t)$  for  $0 < \varepsilon \leq 1/2$ , and all  $t \geq 1$ , it results in a uniform estimate of the ratio of the “area of the sphere” (i.e.  $S_t$ ) to the “volume of the ball” (i.e.  $N_t$ ). Thus we have :

**Corollary 5.15.** *Assume that  $N_t$  is an admissible 1-parameter family. Then*

$$\frac{m_G(N_{t+\varepsilon}) - m_G(N_t)}{m_G(N_t)} = \frac{\int_t^{t+\varepsilon} m_r(S_r) dr}{m_G(N_t)} \leq c\varepsilon$$

so that for almost all  $t$  we have the uniform bound

$$\frac{m_t(S_t)}{m_G(N_t)} = \frac{1}{m_G(N_t)} \lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} \int_t^{t+\varepsilon} m_r(S_r) dr \leq c .$$

The existence of the derivative almost everywhere of  $\nu_t(F)$  imply, in particular, that for every  $F \in C(G)$ , and for every  $t > s > 0$ , the following identities hold :

$$\nu_t(F) = \int_0^t \frac{d}{dr} \nu_r(F) dr \text{ and } \nu_t(F) - \nu_s(F) = \int_s^t \frac{d}{dr} \nu_r(F) dr .$$

It follows that corresponding equalities hold between the underlying (signed) measures on  $G$ , namely

$$\nu_t = \int_0^t \frac{d}{dr} \nu_r dr = \int_0^t \frac{m_r(S_r)}{m_G(N_r)} (\partial\nu_r - \nu_r) dr .$$

Thus the derivative  $\frac{d}{dr} \nu_r$  is a multiple of the difference between two probability measures on  $G$  (for almost every  $r$ ). Every bounded measure on  $G$  naturally gives rise to a bounded operator on the representation space. We can thus conclude the following relations between the corresponding operators defined in any  $G$ -action.

**Corollary 5.16. Differentiable vectors.**

- (1) *For any strongly continuous unitary representation, and any vector  $u \in \mathcal{H}$ ,  $r \mapsto \pi(\nu_r)u$  is almost surely differentiable in  $r$  (strongly, namely in the norm topology), and the following holds:  $\pi(\nu_t)u = \int_0^t \frac{d}{dr} \pi(\nu_r)u dr$  and  $\pi(\nu_t)u - \pi(\nu_s)u = \int_s^t \frac{d}{dr} \pi(\nu_r)u dr$ .*
- (2) *Consider a measurable  $G$ -action on a standard Borel probability space, and a function  $u(x) \in L^p(X)$ ,  $1 \leq p < \infty$  for which  $g \mapsto u(g^{-1}x)$  is continuous in  $g$  for almost every  $x \in X$ . Then the expression  $\pi(\nu_t)u(x) = \int_G u(g^{-1}x) d\nu_t(g)$  is differentiable in  $t$  for almost every  $x \in X$  and almost every  $r \in \mathbb{R}_+$ , and the following almost sure identities hold :*

$$\pi(\nu_t)u(x) = \int_0^t \frac{d}{dr} \pi(\nu_r)u(x) dr$$

and

$$\pi(\nu_t)u(x) - \pi(\nu_s)u(x) = \int_s^t \frac{d}{dr} \pi(\nu_r)u(x) dr .$$

*Remark 5.17.* Note that in Corollary 5.16(2), the space of vectors  $u$  satisfying the assumptions is norm dense in the corresponding Banach space. Indeed, the subspace contains  $C_c(G) * L^\infty(X)$  which is clearly norm dense in  $L^p(X)$ .

Our spectral approach uses direct integral decomposition for the representation of  $G$  in  $L^2(X)$ , and we thus assume that  $G$  is a group of type I. As is well-known, this assumption is satisfied by all  $S$ -algebraic groups. We note further that typically, for an Iwasawa group  $G = KP$ ,  $K$  is large in  $G$ , namely in every *irreducible* representation  $\pi$  of  $G$ , the space of  $(K, \tau)$ -isotypic vectors in  $\mathcal{H}_\pi$  is finite dimensional for every irreducible representation  $\tau$  of  $K$ . Again, this property holds for every  $S$ -algebraic group.

**5.5. Ergodic theorems in the absence of a spectral gap, III.**

We can now state the following convergence theorem for admissible families of averages.

**Theorem 5.18. Pointwise convergence on a dense subspace for admissible families.** *Let  $G$  be an lcsc group of type I,  $K$  a compact subgroup. Let  $\nu_t$  be an admissible family of averages on  $G$ .*

*Consider a  $K$ -finite vector  $u$  in the  $\tau$ -isotypic component under  $K$ , in an irreducible infinite-dimensional unitary representation  $\pi$  of  $G$  (we do not assume  $\tau$  is irreducible). Assume that for every such  $\pi$  there*

exists  $\delta = \delta_\pi > 0$  and a positive constant  $C_\pi(\tau, \delta)$  (both independent of  $u$ ), such that

$$\int_{t_0}^{\infty} e^{\delta r} (\|\pi(\nu_r)u\| + \|\pi(\partial\nu_r)u\|)^2 dr \leq C_\pi(\tau, \delta) \|u\|^2.$$

Then in any measure-preserving weak-mixing action of  $G$  on  $(X, \mu)$  there exist closed subspaces  $\mathcal{H}_{\tau, \delta} \subset L_0^2(X)$  where  $\pi(\nu_t)f(x) \rightarrow 0$  almost surely for  $f \in \mathcal{H}_{\tau, \delta}$ . The convergence is of course also in the  $L^2$ -norm. Furthermore the union

$$\bigcup_{\delta > 0, \tau \in \widehat{K}} \mathcal{H}_{\tau, \delta}$$

is dense in  $L_0^2(X)$ .

*Proof.* Our proof of Theorem 5.18 is divided into two parts, as follows.

1) First part of proof : Direct integrals.

Any unitary representation  $\pi$  is of the form  $\pi = \int_{z \in \Sigma_\pi}^{\oplus} \pi_z dE(z)$ , where  $\Sigma_\pi \subset \widehat{G}$  is the spectrum of the representation, and  $E$  the corresponding (projection valued) measure. Furthermore the Hilbert space of the representation admits a direct integral decomposition  $\mathcal{H}_\pi = \int_{z \in \Sigma_\pi}^{\oplus} \mathcal{H}_z dE(z)$ . In particular, any vector  $u \in \mathcal{H}$  can be identified with a measurable section of the family  $\{\mathcal{H}_z; z \in \Sigma_\pi\}$ , namely  $u = \int_{z \in \Sigma_\pi}^{\oplus} u_z dE(z)$ , where  $u_z \in \mathcal{H}_z$  for  $E$ -almost all  $z \in \Sigma_\pi$ . Clearly,  $u$  belongs to the  $\tau$ -isotypic component of  $\pi$  if and only if  $\pi_z$  belongs to the  $\tau$ -isotypic of  $\pi_z$  for  $E$ -almost all  $z$ . To see this note that  $u$  is characterized by the equation  $u = \pi(\chi_\tau)u$ , where  $\chi_\tau$  is the character of the representation  $\tau$  on  $K$ , namely as being in the range of a self-adjoint projection operator. The projection operator commutes with all the spectral projections, since the latter commute with all the unitary operator  $\pi(g)$ ,  $g \in G$  and hence also with their linear combinations. This implies  $\pi(\chi_\tau)u_z = u_z$   $E$ -almost surely. Given another vector  $v$  in the  $\tau$ -isotypic component we conclude that the following spectral representation is valid :

$$\langle \pi(g)u, v \rangle = \int_{z \in \Sigma_\pi} \langle \pi_z(g)u_z, v_z \rangle dE_{u,v}(z)$$

where  $E_{u,v}$  is the associated (scalar) spectral measure. Thus the  $K$ -finite vectors of  $\pi$  (with variance  $\tau$  under  $K$ ) are integrals (w.r.t. the spectral measure), of  $K$ -finite vectors with the same variance, associated with irreducible unitary representations  $\pi_z$  of  $G$ .

2) Second part of proof : Sobolev space argument.

Our second step is a Sobolev space argument, following [N1, §7.1]. We assume the estimate stated in Theorem 5.18 for every irreducible non-trivial representation  $\pi$ . Given  $\tau \in \widehat{K}$ , for each  $\pi$  we define  $\delta_\pi$  to

be one half of the supremum of all  $\delta$  that satisfy the estimate stated in Theorem 5.18, with some finite constant  $C_\pi(\tau, \delta)$ , (for all  $u$  in the  $\tau$ -isotypic component).

We note that this function of  $\pi$  is measurable w.r.t. the spectral measure, and therefore for this choice of  $\delta = \delta_\pi$ , the estimator (for  $u$  in the  $\tau$ -isotypic component)

$$C_\pi(\tau, \delta_\pi) = 2 \sup_{\|u\|=1} \int_{t_0}^{\infty} e^{r\delta_\pi} (\|\pi(\nu_r)u\| + \|\pi(\partial\nu_r)u\|)^2 dr$$

is also measurable w.r.t. the spectral measure. Therefore we can consider the measurable sets

$$A(\tau, \delta, N) = \{z \in \Sigma_\pi; \delta_{\pi_z} > \delta, C_{\pi_z}(\tau, \delta_{\pi_z}) \leq N\}$$

and the corresponding closed spectral subspaces

$$\mathcal{H}(\tau, \delta, N) = \int_{A(\tau, \delta, N)}^{\oplus} \mathcal{H}_z dE(z).$$

Thus in particular in these subspaces the decay of  $\tau$ -isotypic  $K$ -finite matrix coefficients is exponentially fast, with at least a fixed positive rate, determined by  $\delta$ .

Now note that the subspace of differentiable vectors in  $A(\tau, \delta, N)$  which are invariant under the projection  $\pi(\chi_\tau)$  is norm dense in the  $\tau$ -isotypic subspace. Indeed, the subspace  $\pi(\chi_\tau * C_c(G))(A(\tau, \delta, N))$  consists of differentiable  $\tau$ -isotypic vectors and is dense in the  $\tau$ -isotypic subspace. Furthermore, given a differentiable vector  $u$  in the  $\tau$ -isotypic subspace,  $u_z$  is also differentiable, for  $E$ -almost all  $z \in \Sigma_\pi$  (w.r.t. the spectral measure) since for  $f \in C_c(G)$

$$\pi(\chi_\tau * f * \chi_\tau)u = \int_{z \in A(\tau, \delta, N)} \pi_z(\chi_\tau * f * \chi_\tau)u_z dE(z).$$

We can now use the first part of Corollary 5.16, together with standard spectral theory, and conclude that for a differentiable vector  $u \in A(\tau, \delta, N)$ , the following spectral identity holds, for every  $t > s > 0$ , and for every  $u, v \in L^2(X)$  :

$$\begin{aligned} \langle (\pi(\nu_t) - \pi(\nu_s))u, v \rangle &= \int_{z \in \Sigma_\pi} \langle (\pi_z(\nu_t) - \pi_z(\nu_s))u_z, v_z \rangle dE_{u,v}(z) = \\ &= \int_{z \in \Sigma_\pi} \int_s^t \left\langle \frac{d}{dr} \pi_z(\nu_r)u_z, v_z \right\rangle dr dE_{u,v}(z). \end{aligned}$$

Now using Corollary 5.16(2) and the fact that  $v$  above is allowed to range over  $L^2(X)$ , for each  $t$  and  $s$  we have the following equality of functions in  $L^2(X)$ , namely for almost all  $x \in X$  :

$$\pi(\nu_t)u(x) - \pi(\nu_s)u(x) = \int_s^t \frac{d}{dr} \pi(\nu_r)u(x) dr$$

so that for any  $t > s \geq M$ , for almost all  $x \in X$  :

$$|\pi(\nu_t)u(x) - \pi(\nu_s)u(x)| \leq \int_M^\infty \left| \frac{d}{dr} \pi(\nu_r)u(x) \right| dr .$$

The averages  $\nu_t$  form an continuous family in the  $L^1(G)$ -norm, consisting of absolutely continuous measures on  $G$ , and the function  $t \mapsto \pi(\nu_t)u(x)$  therefore is a continuous function of  $t$  for almost every  $x \in X$ . Restricting attention to these points  $x$ , we conclude that for all  $M > 0$  and almost every  $x$

$$\limsup_{t,s \rightarrow \infty} |\pi(\nu_t)u(x) - \pi(\nu_s)u(x)| \leq \int_M^\infty \left| \frac{d}{dr} \pi(\nu_r)u(x) \right| dr$$

and thus the set

$$\left\{ x ; \limsup_{t,s \rightarrow \infty} |\pi(\nu_t)u(x) - \pi(\nu_s)u(x)| > \zeta \right\}$$

is contained in the set

$$\left\{ x ; \int_M^\infty \left| \frac{d}{dr} \pi(\nu_r)u(x) \right| dr > \zeta \right\} .$$

We estimate the measure of the latter set by integrating over  $X$ , and using the Cauchy-Schwartz inequality. We obtain, for any  $\zeta > 0$  and  $M > 0$ , the following estimate:

$$\begin{aligned} & \mu \left\{ x ; \int_M^\infty \left| \frac{d}{dr} \pi(\nu_r)u(x) \right| dr > \zeta \right\} \\ & \leq \frac{1}{\zeta} \int_X \left( \int_M^\infty \left| \frac{d}{dr} \pi(\nu_r)u(x) \right| dr \right) d\mu(x) \leq \frac{1}{\zeta} \int_M^\infty \left\| \frac{d}{dr} \pi(\nu_r)u \right\|_{L^2(X)} dr \\ & \leq \frac{\exp(-M\delta/4)}{\zeta} \int_M^\infty e^{-r\delta/4} e^{r\delta/2} \left\| \frac{d}{dr} \pi(\nu_r)u \right\|_{L^2(X)} dr \\ & \leq \frac{2 \exp(-M\delta/2)}{\zeta \sqrt{M\delta/2}} \left( \int_M^\infty e^{r\delta} \left\| \frac{d}{dr} \pi(\nu_r)u \right\|_{L^2(X)}^2 dr \right)^{1/2} . \end{aligned}$$

Using Proposition 5.14, it suffices to show the finiteness of the following expression

$$\int_M^\infty e^{r\delta} \left\| \frac{m_r(S_r)}{m_G(N_r)} (\partial\nu_r - \nu_r) u \right\|_{L^2(X)}^2 dr .$$

Using our assumption that the  $K$ -finite vector  $u$  has its spectral support in the set  $A(\tau, \delta, N)$ , we can write the last expression as

$$\int_M^\infty e^{\delta r} \int_{z \in A(\tau, \delta, N)} \left\| \frac{m_r(S_r)}{m_G(N_r)} \pi_z(\nu_r - \partial \nu_r) u_z \right\|_{\mathcal{H}_z}^2 dE_{u,u}(z) dr .$$

Using the uniform bound given in Corollary 5.15, we can estimate by

$$\leq \int_M^\infty e^{\delta r} \int_{z \in A(\tau, \delta, N)} c^2 (\|\pi_z(\nu_r) u_z\| + \|\pi_z(\partial \nu_r) u_z\|)^2 dE_{u,u}(z) dr$$

and thus by definition of the space  $A(\tau, \delta, N)$ , and the fact that  $u$  is spectrally supported in this subspace, the last expression is bounded by  $c^2 N \|u\|^2 < \infty$ .

We have established that  $\pi(\nu_t)u(x)$  converges almost surely (exponentially fast) to the ergodic mean, namely to zero. The fact that

$$\cup_{\delta > 0, \tau \in \widehat{K}} \mathcal{H}_{\tau, \delta}$$

is dense in  $L_0^2(X)$  is a standard fact in spectral theory.

This concludes the proof of Theorem 5.18.  $\square$

To complete the proof of Theorem 4.2, we now need to verify that the assumptions of Theorem 5.18 are satisfied by  $S$ -algebraic groups. We begin with following

**Theorem 5.19.** *Let  $G = G_1 \cdots G_N$  be an  $S$ -algebraic group as in Definition 3.4. Let  $G_r$  be any family of bounded Borel sets, and  $\nu_r$  the Haar-uniform probability measures. Let  $\pi = \pi_1 \otimes \cdots \otimes \pi_N$ , where each  $\pi_i$  is an irreducible unitary representation of  $G_i$  without  $G_i^+$ -invariant unit vectors. Then there exist  $\delta = \delta_\pi > 0$  and a constant  $C_1$  (depending only on  $G$  and the family  $G_r$ ) such that for every  $\tau$ -isotypic vector  $u$*

- (1) *When  $G_r$  is a coarsely admissible 1-parameter family (or sequence), we have*

$$\|\pi(\nu_r)u\| \leq C_1(\dim \tau) e^{-\delta r} \|u\| ,$$

- (2) *When  $G_r$  is a left- $K$ -radial admissible family,  $K$  a good maximal compact subgroup, for almost every  $r$  we have*

$$\|\pi(\partial \nu_r)u\| \leq C_1(\dim \tau) e^{-\delta r} \|u\| ,$$

- (3) *In particular, when  $G_r$  is left-radial and admissible, there exists a constant  $C_\pi(\tau, \delta) < \infty$  such that*

$$\int_{t_0}^\infty e^{\delta r} (\|\pi(\nu_r)u\| + \|\pi(\partial \nu_r)u\|)^2 dr \leq C_\pi(\tau, \delta) \|u\|^2 .$$



*Proof.* 1) By Theorem 5.6,  $\pi$  is strongly  $L^p$  for some  $p = p(\pi) < \infty$ , and then if  $n \geq p/2$  then  $\pi^{\otimes n} \subset \infty \cdot \lambda_G$ . Assume without loss of generality that  $n$  is even, and then (see [N3, Thm. 1.1]), since  $\langle \pi(g)u, u \rangle$  is real-valued, using Jensen's inequality we obtain

$$\begin{aligned} \|\pi(\nu_r)u\|^{2n} &= \left( \int_G \langle \pi(g)u, u \rangle d(\nu_r^* * \nu_r) \right)^n \\ &\leq \int_G (\langle \pi(g)u, u \rangle)^n d(\nu_r^* * \nu_r) = \int_G \langle \pi^{\otimes n}(g)u^{\otimes n}, u^{\otimes n} \rangle d(\nu_r^* * \nu_r) \\ &\leq \dim(\tau)^n \|u\|^{2n} \int_G \Xi(g) d(\nu_r^* * \nu_r) \end{aligned}$$

where we have used the estimate given in Theorem 5.4(1) for  $K$ -finite matrix coefficients in representations (weakly) contained in the regular representation. Now  $\Xi$  is non-negative, and  $G_r$  are assumed coarsely admissible and hence  $(K, C)$ -radial. Thus we can multiply the last estimate by  $C^2$  and then replace  $\nu_r$  by their radializations  $\tilde{\nu}_r$ .

Since we are considering  $S$ -algebraic groups, we can assume without loss of generality that  $K$  is a good maximal compact subgroup so that  $(G, K)$  is a Gelfand pair. Then  $\Xi$  defines a homomorphism of the commutative convolution algebra of bi- $K$ -invariant functions  $L^1(K \backslash G/K)$ . We can therefore conclude that

$$\|\pi(\nu_r)u\|^{2n} \leq C^2 (\dim \tau)^n \|u\|^{2n} \left( \int_G \Xi(g) d\tilde{\nu}_r(g) \right)^2.$$

Coarse admissibility implies the property of minimal growth for  $G_r$  and their radializations  $\tilde{G}_r$ , namely  $S^n \subset G_{an+b}$ , for a compact generating set  $S$ . Thus the desired result follow from the standard estimates of the  $\Xi$ -function of an  $S$ -algebraic group, which shows that the integral of  $\Xi_G$  on  $G_r$  decay exponentially in  $r$ .

2) Now consider the case of  $\partial\nu_r$ , which is a singular measure on  $G$ , supported on the “sphere”  $S_r$ . Arguing as in (1) before we still have

$$\|\pi(\partial\nu_r)u\|^{2n} \leq \dim(\tau)^n \|u\|^{2n} \int_G \Xi(g) d(\partial\nu_r^* * \partial\nu_r)$$

Now  $\Xi$  is bi- $K$ -invariant for a good maximal compact subgroup  $K$ , and  $G_r$  (and thus  $\nu_r$ ) are assumed to be left- $K$ -invariant. It follows that  $m_K * \partial\nu_r = \partial\nu_r$  for almost every  $r$ , and hence

$$\begin{aligned} \int_G \Xi(g) d(\partial\nu_r^* * \partial\nu_r) &= \int_G m_K * \Xi * m_K(g) d(\partial\nu_r^* * m_K * \partial\nu_r) \\ &= \left( \int_G \Xi(g) d\partial\nu_r \right)^2 \end{aligned}$$

But  $\partial\nu_r = m_r/m_r(G_r)$  is a probability measure supported on  $S_r$ , and clearly the property of minimal growth for  $G_r$  implies that  $S_r$  is contained in the complement of  $S^{a_1[r]+b_1}$  for some  $a_1 > 0$ . Therefore again the standard estimates of the  $\Xi$ -function yield the desired result.

3) The last part is an immediate consequence of the previous two.  $\square$

*Completion of the proof of Theorem 4.2.*

The last step in the proof of Theorem 4.2 is to consider the various alternatives stated in its assumptions.

If the action is irreducible and totally weak mixing, then any irreducible unitary representation  $\pi_z$  of  $G$  appearing in the direct integral decomposition of  $\pi_X^0$  is indeed strongly  $L^p$  for some finite  $p$ . This follows from Theorem 5.6 since  $\pi_z$  is then a tensor product of infinite dimensional irreducible representations of the simple constituent groups. In that case Theorem 5.19, parts (1) and (2) apply, and the proof of the mean and the pointwise ergodic theorem for left-radial admissible 1-parameter families in irreducible actions is complete, taking into account also that the maximal inequality is covered in all cases by Theorem 5.13.

Note that, still in the irreducible case, we can apply part (1) of Theorem 5.19 to a coarsely admissible sequence, and this immediately yields the mean ergodic theorem and pointwise convergence almost surely on the dense subspace of vectors appearing there. Again using Theorem 5.13, this completes the proof of the mean and pointwise ergodic theorem for coarsely admissible sequences in irreducible actions.

Otherwise the action may be reducible, and we seek to prove the mean theorem when the left-radial averages are balanced and the pointwise theorem when they are standard radial and well-balanced. In the present case, each  $\pi_z$  is a tensor product of infinite dimensional irreducible representations of some of the simple subgroups, and the trivial representations of the others. We can repeat the argument used in the first part of the proof of Theorem 5.19, and establish that  $\|\pi_z(\beta_t)u\| \rightarrow 0$  using the assumption that  $\beta_t$  and hence  $\tilde{\beta}_t$  are balanced, and  $\|\pi_z(\beta_t)u\| \rightarrow 0$  exponentially fast when  $\beta_t$  are well-balanced. Indeed, instead of integrating against  $\Xi_G(g)$  we will now be integrating against the  $\Xi$ -function lifted from some simple factor group, using the argument in the second part of the proof of Theorem 5.11. By the balanced or well-balanced assumption, the standard estimates of the  $\Xi$ -function yield the desired norm decay conclusion.

The last argument required to complete the proof of the pointwise theorem is the estimate of  $\|\pi(\partial\beta_t)\|$ , when the averages are standard

radial, well-balanced and boundary-regular. In this case each distance  $\ell$  (or  $d$ ) on a factor group  $L$  obeys the estimate provided by Theorem 3.17(ii), namely  $m_t(\partial G_t \cap L_{at}) \leq Ce^{-\beta t} m_t(\partial G_t)$ . The total measure  $m_t(S_t)$  on  $\partial G_t \subset G$  is obtained as an iterated integral over the factor groups. Integrating against the  $\Xi$ -function lifted from a factor group, and using the decay of the  $\Xi$ -function, the required estimate follows.

This concludes the proof of all parts of Theorem 4.2 (and of course also Theorem 1.4).

- Remark 5.20.* (1) In principle, our analysis applies to a general almost surely differentiable family of averages  $\nu_t$  (absolutely continuous w.r.t. Haar measure), and not only those arising from Haar uniform averages on admissible sets  $G_t$  as in Theorem 5.18.
- (2) We need only assume that the irreducible representations of  $G$  giving rise to the spectral decomposition of  $L^2(X)$  satisfy the spectral estimates we have employed, and not necessarily all representations of  $G$ . This is useful when considering a homogeneous space  $X = G/\Gamma$ , when  $G$  is an adèle group, for example.

*Remark 5.21. Singular averages.* An important problem that arises naturally here is to extend the foregoing analysis to averages which are singular w.r.t. Haar measure. An obvious first step would be to establish a pointwise ergodic theorem for the family of “spherical averages” supported on the boundaries  $\partial G_t$  of the sets  $G_t$ . However, to prove such results it is necessary to establish estimates for the *derivatives* of the  $\tau$ -spherical functions. While the matrix coefficients themselves obey uniform decay estimates which are independent of the representation (provided, say, that it is  $L^p$ , see Theorems 5.3 and 5.4), this is no longer the case for their derivatives. For example, consider the principal series representations  $\text{Ind}_{MAN}^G 1 \otimes i\eta$  induced from a unitary character of  $A$  and the trivial representation of  $MN$ . These representations have matrix coefficients whose derivatives exhibit explicit dependence on the character  $\eta$  parametrizing the representation. Consequently, sufficiently sharp *derivative* estimates for matrix coefficients are inextricably tied up with classification, or at least parametrization, of the irreducible unitary representations of the group (see [CN] for more on this point).

We have avoided appealing to classification theory and refrained from establishing such derivative estimates in the present paper. Instead we have utilized the fact that restricting to *Haar uniform averages* on admissible sets, the distribution  $\frac{d}{dt}\nu_t$  is a signed measure, so that we

need only use estimates of the spherical functions themselves in order to estimate it.

### 5.6. The invariance principle, and stability of admissible averages.

5.6.1. *The set of convergence.* It will be essential in our argument below to establish that for a family of admissible averages, the set where pointwise convergence of  $\pi(\beta_t)f(x)$  holds contains a  $G$ -invariant set, for each fixed function  $f$ .

Let  $G$  be a locally compact second countable group with left Haar measure  $m_G$ . Consider a measure-preserving action of  $G$  on a standard Borel space  $(X, \mathcal{B}, \nu)$ . For Borel subsets  $G_t \subset G$  and  $g \in G$ , consider probability measures

$$\beta_t^g = \frac{1}{m_G(G_t)} \int_{gG_t} \delta_h dm_G(h) \quad \text{and} \quad \beta_t = \beta_t^e.$$

Let us formulate the following invariance principle which applies to all quasi-uniform families. This result generalizes [BR], where the case of ball averages on  $SO(n, 1)$  was considered.

**Theorem 5.22.** *Let  $G$  be an lcsc group, and suppose that  $\{G_t\}_{t>0}$  is a quasi-uniform family, with  $\beta_t$  satisfying the pointwise ergodic theorem in  $L^p(\nu)$ . Then for every  $f \in L^p(\nu)$ , there exists a  $G$ -invariant measurable set  $\Omega(f)$  of full measure such that for every  $x \in \Omega(f)$ ,*

$$\lim_{t \rightarrow \infty} \pi(\beta_t)f(x) = \int_X f d\nu.$$

*In particular, this holds for admissible 1-parameter families and admissible sequences on  $S$ -algebraic groups.*

*Proof.* Writing  $f = f^+ - f^-$  for  $f^+, f^- \in L^p(\nu)$ ,  $f^+, f^- \geq 0$ , and assuming that the theorem holds for  $f^+$  and  $f^-$ , we can take

$$\Omega(f) = \Omega(f^+) \cap \Omega(f^-).$$

Hence, without loss of generality, we may assume that  $f \geq 0$ .

Consider then the conull measurable set of convergence:

$$C = \left\{ x \in X : \lim_{t \rightarrow \infty} \pi(\beta_t)f(x) = \int_X f d\nu \right\}.$$

Take a countable dense set  $\{g_i\}_{i \geq 1} \subset G$  and let

$$\Omega = \bigcap_{i \geq 1} g_i C.$$

Then  $\Omega$  is a measurable set of full measure, and for every  $x \in \Omega$  and every  $g_i$ , we have  $g_i^{-1}x \in C$ . Let  $\delta > 0$  and take  $\varepsilon > 0$  and  $\mathcal{O}$  as in (3.8) and (3.9). We may also assume that  $\mathcal{O}$  is symmetric. Then for any  $g \in G$  there exists  $g_i$  such that  $g_i \in g\mathcal{O}$ . Hence, for sufficiently large  $t$ ,

$$g_i G_{t-\varepsilon} \subset g G_t \subset g_i G_{t+\varepsilon}.$$

Therefore, for every  $x \in X$ ,

$$\begin{aligned} \pi(\beta_t)f(g^{-1}x) &= \frac{1}{m_G(G_t)} \int_{G_t} f(h^{-1}g^{-1}x) dm_G(h) \\ &= \frac{1}{m_G(G_t)} \int_{gG_t} f(u^{-1}x) dm_G(u) \leq \frac{1}{m_G(G_t)} \int_{g_i G_{t+\varepsilon}} f(u^{-1}x) dm_G(u) \\ &\leq \frac{1+\delta}{m_G(g_i G_{t+\varepsilon})} \int_{g_i G_{t+\varepsilon}} f(u^{-1}x) dm_G(u) = (1+\delta)\pi(\beta_{t+\varepsilon})f(g_i^{-1}x). \end{aligned}$$

This implies that for every  $g \in G$  and  $x \in \Omega$ , since  $g_i^{-1}x \in C$

$$\limsup_{t \rightarrow \infty} \pi(\beta_t)f(g^{-1}x) \leq (1+\delta) \int_X f d\mu$$

for every  $\delta > 0$ . Similarly, we show that

$$\liminf_{t \rightarrow \infty} \pi(\beta_t)f(g^{-1}x) \geq (1+\delta)^{-1} \int_X f d\mu.$$

Therefore, let us take  $\Omega(f) = G \cdot \Omega$ . Then  $\Omega(f)$  is strictly invariant under  $G$ , namely  $g\Omega(f) = \Omega(f)$  for every  $g \in G$ , and the complement of  $\Omega(f)$  is a null set. Thus  $\Omega(f)$  is a strictly invariant measurable set in the Lebesgue  $\sigma$ -algebra, namely in the completion of the standard Borel structure on  $X$  with respect to the measure  $\mu$ . □

An immediate corollary of the foregoing considerations is the following

**Corollary 5.23.** *Let  $G$  be an lcsc group, and suppose that  $\{G_t\}_{t>0}$  is a quasi-uniform family, with  $\beta_t$  satisfying the pointwise ergodic theorem in  $L^p(\nu)$ . Then the Haar-uniform averages on  $gG_th$  also satisfy it, for any fixed  $g, h \in G$ . In particular, this holds for admissible 1-parameter families and admissible sequences on  $S$ -algebraic groups.*

**5.6.2. Stability of admissible averages under translations.** When is the family  $gG_th$  itself already admissible if  $G_t$  is? This was asserted in Definition 1.1 for connected Lie groups. In this subsection we note that in the general case, the property of admissibility is stable under two-sided translations. Indeed, the sets  $\mathcal{O}_\varepsilon$  we used to defined admissibility

on  $S$ -algebraic groups satisfy the following. For every  $g \in G$ , there exists a positive constant  $c(g) > 0$  such that  $g\mathcal{O}_\varepsilon g^{-1}$  contains  $\mathcal{O}_{c(g)\varepsilon}$  for all  $0 < \varepsilon < \varepsilon_0$ . We can therefore easily conclude :

**Lemma 5.24. Stability under translations.** *Let  $G_t$  be a 1-parameter family of coarsely admissible averages on an  $S$ -algebraic group as in Definition 3.4. Then for any  $g, h \in G$ , the family  $gG_th$  is also coarsely admissible. If  $G_t$  is admissible, then so is  $gG_th$ .*

*Proof.* To see that for coarsely admissible averages  $G_t$ , the averages  $gG_th$  are also coarsely admissible note that for any bounded set  $B$ ,

$$BgG_thB \subset B'G_tB' \subset G_{t+c'}$$

and in addition  $g^{-1}G_{t+c'}h^{-1} \subset G_{t+c''}$ , so that

$$BgG_thB \subset G_{t+c'} \subset gG_{t+c''}h.$$

As to the second condition of coarse admissibility, by unimodularity,  $m_G(gG_{t+c}h) \leq dm_G(gG_th)$  and so  $gG_th$  is coarsely admissible.

Now let  $G_t$  be an admissible 1-parameter family, and  $h, g$  be fixed. For every open set  $\mathcal{O}_\varepsilon$  in the basis, the set  $g\mathcal{O}_\varepsilon g^{-1} \cap h^{-1}\mathcal{O}_\varepsilon h$  is open and contains  $\mathcal{O}_{\eta(\varepsilon)}$ . By definition of an appropriate basis, we can choose  $\eta(\varepsilon) \geq c_0\varepsilon$ , for some fixed positive  $c_0 = c_0(g, h) < 1$ , uniformly for all  $0 < \varepsilon < \varepsilon(g, h)$ . Then, checking the conditions in the definition of admissibility :

$$\mathcal{O}_{\eta(\varepsilon)}gG_th\mathcal{O}_{\eta(\varepsilon)} \subset g\mathcal{O}_\varepsilon G_t\mathcal{O}_\varepsilon h \subset gG_{t+c\varepsilon}h$$

so that for all  $0 < \varepsilon < \varepsilon'(g, h)$

$$\mathcal{O}_\varepsilon gG_th\mathcal{O}_\varepsilon \subset gG_{t+\varepsilon c/c_0}h.$$

When  $G$  is totally disconnected, and  $G_t$  satisfies  $KG_tK = G_t$ , clearly  $gG_th$  is also invariant under translation by the compact open subgroup  $K' = gKg^{-1} \cap hKh^{-1}$ .

As to the Lipschitz continuity of the measure of the family, we have of course, since  $G$  is unimodular

$$\begin{aligned} m_G(gG_{t+\varepsilon}h) &= m_G(G_{t+\varepsilon}) \leq (1 + c\varepsilon)m_G(G_t) = \\ &= (1 + c\varepsilon)m_G(gG_th). \end{aligned}$$

□

## 6. PROOF OF ERGODIC THEOREMS FOR LATTICE ACTIONS

**6.1. Induced action.** We now turn to consider an lcsc group  $G$  and a discrete lattice  $\Gamma$  in  $G$ . The existence of a lattice implies that  $G$  is unimodular, and we denote Haar measure by  $m_G$ , as before. Denote by  $m_{G/\Gamma}$  the corresponding measure on  $G/\Gamma$ . We normalize  $m_G$  so that  $m_{G/\Gamma}(G/\Gamma) = 1$ .

For a family of Borel subsets  $\{G_t\}_{t>0}$ , we consider the averages  $\lambda_t$  uniformly distributed on  $G_t \cap \Gamma$ . We will use the mean, maximal and pointwise ergodic theorems established for the averages  $\beta_t$  acting in a  $G$ -action, in order to establish similar ergodic theorems for the averages  $\lambda_t$  acting in a  $\Gamma$ -action. The fundamental link used to implement this reduction is of course the well-known construction of the *induced  $G$ -action* defined for a measure-preserving action of  $\Gamma$ , to which we now turn.

Thus let  $\Gamma$  act on a standard Borel space  $(X, \mathcal{B}, \mu)$ , preserving the probability measure  $\mu$ . Let

$$\tilde{Y} \stackrel{\text{def}}{=} G \times X.$$

Define the right action of  $\Gamma$  on  $\tilde{Y}$ :

$$(g, x) \cdot \gamma = (g\gamma, \gamma^{-1}x) \quad (g, x) \in \tilde{Y}, \gamma \in \Gamma, \quad (6.1)$$

and the left action of  $G$ :

$$g_1 \cdot (g, x) = (g_1g, x), \quad (g, x) \in \tilde{Y}, g_1 \in G. \quad (6.2)$$

The space  $\tilde{Y}$  is equipped with the product measure  $m_G \otimes \mu$ , which is preserved by these actions. Since the actions (6.1) and (6.2) commutes, there is a well-defined action of  $G$  on the factor-space

$$Y \stackrel{\text{def}}{=} \tilde{Y}/\Gamma.$$

We denote by  $\pi$  the projection map  $\pi : \tilde{Y} \rightarrow Y$ . Note that  $Y$  admits a natural map  $j : (g, x)\Gamma \mapsto g\Gamma$  onto  $G/\Gamma$ . This map is measurable and  $G$ -equivariant, and thus  $Y$  is a bundle over the homogeneous space  $G/\Gamma$ , with the fiber over each point  $g\Gamma$  identified with  $X$ .

For a bounded measurable function  $\chi : G \rightarrow \mathbb{R}$  with compact support and a measurable function  $\phi : X \rightarrow \mathbb{R}$ , we define  $\tilde{F} : \tilde{Y} \rightarrow \mathbb{R}$  by  $\tilde{F}(g, x) = \chi(g)\phi(x)$ . We then define  $F : Y \rightarrow \mathbb{R}$  by summing over  $\Gamma$ -orbits

$$F(y) = F((g, x)\Gamma) = \sum_{\gamma \in \Gamma} \chi(g\gamma)\phi(\gamma^{-1}x) = \sum_{\gamma \in \Gamma} \tilde{F}((g, x)\gamma). \quad (6.3)$$

There is a unique  $G$ -invariant Borel measure  $\nu$  on  $Y$  such that

$$\int_Y F d\nu = \left( \int_G \chi dm_G \right) \left( \int_X \phi d\mu \right). \quad (6.4)$$

For  $F$  defined above, we have the following expression for the averaging operators we will consider below. Let  $(h, x)\Gamma = y \in Y$ , and then

$$\begin{aligned} \pi_Y(\beta_t)F(y) &= \frac{1}{m_G(G_t)} \int_G F(g^{-1}y) d\beta_t(g) \\ &= \sum_{\gamma \in \Gamma} \frac{1}{m_G(G_t)} \left( \int_{G_t} \chi(g^{-1}h\gamma) dm_G(g) \right) \phi(\gamma^{-1}x) \end{aligned}$$

The latter expression will serve as the basic link between the averaging operators  $\beta_t$  on  $G$  acting on  $L^p(Y)$ , and the averaging operators  $\lambda_t$  acting on  $L^p(X)$ .

We now recall the following fact regarding induced actions, which will play an important role below. Namely, it will allow us to deduce results about the pointwise behaviour of the averages  $\lambda_t$  on the  $\Gamma$ -orbits in  $X$  from the pointwise behaviour of the averages  $\beta_t$  on  $G$ -orbits in  $Y$ .

Consider the factor map  $j : (Y, \nu) \rightarrow (G/\Gamma, m_{G/\Gamma})$ , which is a Borel measurable, everywhere defined,  $G$ -equivariant and measure-preserving. For a Lebesgue measurable set  $B \subset Y$ , the set  $B_{y\Gamma} = j^{-1}(y\Gamma) \cap B$  is a Lebesgue measurable subset of  $X$  for every  $y\Gamma \in G/\Gamma$ . (Recall that the Lebesgue  $\sigma$ -algebra is the completion of the Borel  $\sigma$ -algebra w.r.t. the measure at hand, namely  $\nu$  on  $Y$  or  $\mu$  on  $X$ ).

Any set  $B$  can be written as the disjoint union  $B = \coprod_{y\Gamma \in G/\Gamma} B_{y\Gamma}$ . Furthermore, the  $G$ -action is given by

$$gB = \coprod_{y\Gamma \in G/\Gamma} \alpha(g, y\Gamma) B_{y\Gamma}$$

where  $\alpha : G \times G/\Gamma \rightarrow \Gamma$  is a Borel cocycle associated with a Borel section of the canonical projection  $G \rightarrow G/\Gamma$ .

We can now state the following well-known fact, whose proof is included for completeness.

**Lemma 6.1.** *If  $B \subset Y$  is a Lebesgue measurable set with  $\nu(B) = 1$ , which is strictly  $G$ -invariant ( $gB = B$  for all  $g \in G$ ) then  $\mu(B_{y\Gamma}) = 1$ , for **every**  $y\Gamma \in G/\Gamma$  (and not only for almost every  $y\Gamma$ ).*

*Proof.* The map  $b : G/\Gamma \rightarrow \mathbb{R}_+$  given by  $y\Gamma \mapsto \mu(B_{y\Gamma})$  is everywhere defined, Lebesgue measurable, and strictly  $G$ -invariant, namely  $b(gy\Gamma) = b(y\Gamma)$  for all  $g \in G$  and  $y\Gamma \in G/\Gamma$ . Since  $G/\Gamma$  is a transitive  $G$ -space,  $b(y\Gamma)$  is strictly a constant, and this constant is of course 1.  $\square$



We conclude the introduction to induced actions with following simple fact.

**Lemma 6.2.** *Let  $1 \leq p \leq \infty$  and  $Q$  be a compact subset of  $G$ .*

- (a) *There exists  $a_{p,Q} > 0$  such that for every  $\phi \in L^p(\mu)$  and a bounded  $\chi : G \rightarrow \mathbb{R}$  such that  $\text{supp}(\chi) \subset Q$ , with  $F$  defined as in (6.3)*

$$\|F\|_{L^p(\nu)} \leq a_{p,Q} \cdot \|\chi\|_{L^p(m_G)} \cdot \|\phi\|_{L^p(\mu)}.$$

*Moreover, if  $Q$  is contained in a sufficiently small neighborhood of  $e$ , then*

$$\|F\|_{L^p(\nu)} = \|\chi\|_{L^p(m_G)} \cdot \|\phi\|_{L^p(\mu)}.$$

- (b) *There exists  $b_{p,Q} > 0$  such that for any measurable  $F : Y \rightarrow \mathbb{R}$ ,*

$$\|F \circ \pi\|_{L^p(m_G \otimes \mu|_{Q \times X})} \leq b_{p,Q} \cdot \|F\|_{L^p(\nu)}.$$

*When  $Q = \mathcal{O}_\varepsilon$  we denote  $b_{p,Q} = b_{p,\varepsilon}$ .*

**6.2. Reduction theorems.** We now turn to formulate the fundamental result reducing the ergodic theory of the lattice subgroup  $\Gamma$  to that of the enveloping group  $G$ .

Such a result necessarily involves an approximation argument based on smoothing, and thus the metric properties of a shrinking family of neighbourhoods in  $G$  come into play. The crucial property is finiteness of the upper local dimension of  $G$  (see Definition 3.5), namely

$$\varrho_0 \stackrel{\text{def}}{=} \limsup_{\varepsilon \rightarrow 0^+} \frac{\log m_G(\mathcal{O}_\varepsilon)}{\log \varepsilon} < \infty$$

We will assume this condition when considering admissible sets, throughout our discussion below. Note that for  $S$ -algebraic groups as in Definition 3.4, and for the sets  $\mathcal{O}_\varepsilon$  we chose in that case,  $\rho$  is simply the real dimension of the Archimedean factor, and thus vanishes for totally disconnected groups.

Let us note that the induced representation of  $G$  on  $L^p(Y)$ ,  $1 \leq p \leq \infty$ , contains the representation of  $G$  on  $L^p(G/\Gamma)$  as a subrepresentation. Thus, whenever a maximal inequality, exponential maximal inequality, norm decay estimate, spectral gap condition, mean or pointwise ergodic theorem hold for  $\pi_Y(\beta_t)$  acting on  $L^p(Y)$ , they also hold for  $\pi_{G/\Gamma}(\beta_t)$  acting on  $L^p(G/\Gamma)$ .

We now formulate the following reduction theorem, and emphasize that it is valid *for every lattice subgroup of every lcsc group*.

**Theorem 6.3. Reduction Theorem.** *Let  $G$  be an lcsc group,  $\mathcal{O}_\varepsilon$  of finite upper local dimension,  $G_t$  an increasing family of bounded Borel sets, and  $\Gamma$  a lattice subgroup. Let  $p \geq r \geq 1$ , and consider the averages  $\beta_t$  on  $G_t$  and  $\lambda_t$  on  $\Gamma \cap G_t$  as above. Then*

- (1) *If the family  $\{G_t\}_{t>0}$  is coarsely admissible, then the strong maximal inequality for  $\beta_t$  in  $(L^p(\nu), L^r(\nu))$  implies the strong maximal inequality for  $\lambda_t$  in  $(L^p(\mu), L^r(\mu))$ .*
- (2) *If the family  $\{G_t\}_{t>0}$  is admissible, then the mean ergodic theorem for  $\beta_t$  in  $L^p(\nu)$  implies the mean ergodic theorem for  $\lambda_t$  in  $L^p(\mu)$ .*
- (3) *If the family  $\{G_t\}_{t>0}$  is quasi-uniform, and the pointwise ergodic theorem holds for  $\beta_t$  in  $L^p(\nu)$ , then the pointwise ergodic theorem holds for  $\lambda_t$  in  $L^p(\mu)$ .*
- (4) *If the family  $\{G_t\}_{t>0}$  is admissible and  $r > \varrho_0$ , then the exponential mean ergodic theorem for  $\beta_t$  in  $(L^p(\nu), L^r(\nu))$  implies the exponential mean ergodic theorem for  $\lambda_t$  in  $(L^p(\mu), L^r(\mu))$  (but the rate may change).*
- (5) *Let the family  $\{G_t\}_{t>0}$  be admissible,  $p \geq r > \varrho_0$ , and assume  $\beta_t$  satisfies the exponential mean ergodic theorem in  $(L^p(\nu), L^r(\nu))$ , as well as the strong maximal inequality in  $L^q(\nu)$ , for  $q > 1$ . Then  $\lambda_t$  satisfies the exponential strong maximal inequality in  $(L^{p'}, L^{r'})$  with  $p', r'$  such that  $1/p' = (1 - u)/q$  and  $1/r' = (1 - u)/q + u/r$  for some  $u \in (0, 1)$ .*

The proof of Theorem 6.3 will occupy the rest of §6, and will be divided to a sequence of separate statements.

One basic ingredient in the proof of Theorem 6.3 is as follows.

**Theorem 6.4.** *Let  $G$ ,  $G_t$ ,  $\beta_t$  and  $\lambda_t$  be as in Theorem 6.3. Then*

- (1) *Suppose that the family  $\{G_t\}_{t>0}$  is coarsely admissible and  $\beta_t$  satisfies the strong maximal inequality in  $(L^p(m_{G/\Gamma}), L^r(m_{G/\Gamma}))$  for some  $p \geq r \geq 1$ . Then for some  $C > 0$  and all sufficiently large  $t$ ,*

$$C^{-1} \cdot m_G(G_t) \leq |\Gamma \cap G_t| \leq C \cdot m_G(G_t).$$

- (2) *Suppose that the family  $\{G_t\}_{t>0}$  is admissible and  $\beta_t$  satisfies the mean ergodic theorem in  $L^p(m_{G/\Gamma})$  for some  $p \geq 1$ . Then*

$$\lim_{t \rightarrow \infty} \frac{|\Gamma \cap G_t|}{m_G(G_t)} = 1.$$

- (3) Suppose that the family  $\{G_t\}_{t>0}$  is quasi-uniform and  $\beta_t$  satisfies the pointwise ergodic theorem in  $L^\infty(m_{G/\Gamma})$ . Then

$$\lim_{t \rightarrow \infty} \frac{|\Gamma \cap G_t|}{m_G(G_t)} = 1.$$

- (4) Suppose that the family  $\{G_t\}_{t>0}$  is admissible and  $\beta_t$  satisfies the exponential mean ergodic theorem in  $(L^p(m_{G/\Gamma}), L^r(m_{G/\Gamma}))$  for some  $p \geq r \geq 1$ . Then for some  $\alpha > 0$  (made explicit below),

$$\frac{|\Gamma \cap G_t|}{m_G(G_t)} = 1 + O(e^{-\alpha t}).$$

**6.3. Strong maximal inequality.** We now prove some results necessary for the proof of Theorem 6.4. In this subsection we assume that the family  $\{G_t\}_{t>0}$  is coarsely admissible, and as usual set  $\Gamma_t = G_t \cap \Gamma$ .

**Lemma 6.5.** (1)  $|\Gamma_t| \leq C m_G(G_t)$ .

- (2) Assuming the strong maximal inequality for  $\beta_t$  in  $(L^p(m_{G/\Gamma}), L^r(m_{G/\Gamma}))$  for some  $p \geq r \geq 1$ , we have  $|\Gamma_t| \geq C' m_G(G_t)$  for sufficiently large  $t$ .

*Proof.* Let  $B \subset G$  be a bounded measurable subset of positive measure, and we assume that  $B$  is small enough so that all of its right translates by elements of  $\Gamma$  are pairwise disjoint. Then by (3.3) and (3.4),

$$\begin{aligned} |\Gamma_t| &= \frac{1}{m_G(B)} \sum_{\gamma \in \Gamma_t} m_G(B\gamma) = \frac{1}{m_G(B)} m_G\left(\bigcup_{\gamma \in \Gamma_t} B\gamma\right) \\ &\leq \frac{1}{m_G(B)} m_G(G_{t+c}) \leq C m_G(G_t). \end{aligned}$$

This proves the first part of the lemma.

To prove the second part, we first show

**Claim.** There exists a compact set  $Q \subset G/\Gamma$  and  $x_0 \in G/\Gamma$  such that

$$\liminf_{t \rightarrow \infty} \pi_{G/\Gamma}(\beta_t) \chi_Q(x_0) = \liminf_{t \rightarrow \infty} \frac{m_G(\{g \in G_t : gx_0 \in Q\})}{m_G(G_t)} > 0.$$

*Proof.* Suppose that the claim is false. For a compact set  $Q \subset G/\Gamma$ , denote by  $\psi$  the characteristic function of the set  $(G/\Gamma) \setminus Q$ , the complement of  $Q$ . Then for every  $x \in G/\Gamma$ ,

$$\sup_{t \geq t_0} \pi_{G/\Gamma}(\beta_t) \psi(x) \geq \limsup_{t \rightarrow \infty} \pi_{G/\Gamma}(\beta_t) \psi(x) = 1.$$

On the other hand,

$$\|\psi\|_{L^p(G/\Gamma)} = m_{G/\Gamma}((G/\Gamma) \setminus Q)^{1/p},$$

and it can be made arbitrary small by increasing  $Q$ . This contradicts the strong maximal inequality and proves the claim.  $\square$

Continuing with the proof of Lemma 6.5, denote by  $\chi_Q$  the characteristic function of the set  $Q$ . Then for some  $x_0 \in G/\Gamma$

$$\liminf_{t \rightarrow \infty} \pi_{G/\Gamma}(\beta_t) \chi_Q(x_0) = C_0 > 0.$$

There exists a non-negative measurable function  $\tilde{\chi} : G \rightarrow \mathbb{R}$  with compact support such that

$$\chi_Q(g\Gamma) = \sum_{\gamma \in \Gamma} \tilde{\chi}(g\gamma)$$

since the projection  $C_c^+(G) \rightarrow C_c^+(G/\Gamma)$  by summing over  $\Gamma$ -orbits is onto.

Letting  $x_0 = g_0\Gamma$ , we conclude that

$$\int_{G_t} \sum_{\gamma \in \Gamma} \tilde{\chi}(g^{-1}g_0\gamma) dm_G(g) \geq \frac{1}{2} C_0 m_G(G_t)$$

for sufficiently large  $t$ . Now, if  $\tilde{\chi}(g^{-1}g_0\gamma) \neq 0$  for some  $g \in G_t$ , then

$$\gamma \in g_0^{-1} \cdot G_t \cdot (\text{supp } \tilde{\chi}) \subset G_{t+c}$$

by (3.3). Hence,

$$\begin{aligned} \int_{G_t} \sum_{\gamma \in \Gamma} \tilde{\chi}(g^{-1}g_0\gamma) dm_G(g) &\leq \sum_{\gamma \in \Gamma_{t+c}} \int_{G_t^{-1}g_0\gamma} \tilde{\chi} dm_G \\ &\leq |\Gamma_{t+c}| \cdot \int_G \tilde{\chi} dm_G. \end{aligned}$$

Now Lemma 6.5 follows from (3.4).  $\square$

We now prove the following result, reducing the maximal inequality for  $\lambda_t$  to the maximal inequality for  $\beta_t$ , under the assumption of coarse admissibility.

**Theorem 6.6.** *Suppose that  $\beta_t$  satisfies the strong maximal inequality in  $(L^p(\nu), L^r(\nu))$ , then  $\lambda_t$  satisfies the strong maximal inequality  $(L^p(\mu), L^r(\mu))$ .*

*Proof.* Take  $\phi \in L^p(\mu)$ .

First, we observe that it suffices to prove the theorem for  $\phi \geq 0$ . Write

$$\phi = \phi^+ - \phi^-$$

where  $\phi^+, \phi^- : X \rightarrow \mathbb{R}_+$  are Borel functions such that

$$\max\{\phi^+, \phi^-\} \leq |\phi|.$$

Assuming that the strong maximal inequality holds for  $\pi_X(\lambda_t)\phi^+$  and  $\pi_X(\lambda_t)\phi^-$ , we get

$$\begin{aligned} \left\| \sup_{t \geq t_0} |\pi_X(\lambda_t)\phi| \right\|_{L^r(\mu)} &\leq \left\| \sup_{t \geq t_0} |\pi_X(\lambda_t)\phi^+| \right\|_{L^r(\mu)} + \left\| \sup_{t \geq t_0} |\pi_X(\lambda_t)\phi^-| \right\|_{L^r(\mu)} \\ &\leq C\|\phi^+\|_{L^p(\mu)} + C\|\phi^-\|_{L^p(\mu)} \leq 2C\|\phi\|_{L^p(\mu)}. \end{aligned}$$

Hence, we can assume that  $\phi \geq 0$ .

Let  $B$  be a positive-measure compact subset of  $G$ , small enough so that all of its right translates under  $\Gamma$  are disjoint, and let

$$\chi = \frac{\chi_B}{m_G(B)},$$

and  $F : Y \rightarrow \mathbb{R}$  be defined as in (6.3).

**Claim.** *There exists  $c, d > 0$  such that for all sufficiently large  $t$ , and every  $h \in B$  and  $x \in X$ ,*

$$\pi_X(\lambda_t)\phi(x) \leq d \cdot \pi_Y(\beta_{t+c})F(\pi(h, x)).$$

*Proof.* For  $(h, x) \in G \times X$ , we have

$$\begin{aligned} \pi_Y(\beta_t)F(\pi(h, x)) &= \frac{1}{m_G(G_t)} \int_{G_t} \left( \sum_{\gamma \in \Gamma} \chi(g^{-1}h\gamma) \phi(\gamma^{-1} \cdot x) \right) dm_G(g) \\ &= \frac{1}{m_G(G_t)} \sum_{\gamma \in \Gamma} \left( \int_{G_t} \chi(g^{-1}h\gamma) dm_G(g) \right) \phi(\gamma^{-1} \cdot x). \end{aligned}$$

By (3.3), for  $\gamma \in \Gamma_t$  and  $h \in B$ ,

$$\text{supp}(g \mapsto \chi(g^{-1}h\gamma)) = h\gamma \text{supp}(\chi)^{-1} \subset G_{t+c}.$$

Hence,

$$\int_{G_{t+c}} \chi(g^{-1}h\gamma) dm_G(g) = 1.$$

Also, by Lemma 6.5 and (3.4),

$$|\Gamma_t| \geq C'm_G(G_{t+c}).$$

Applying the previous arguments to  $\pi(\beta_{t+c})$ , and summing only on  $\gamma \in \Gamma_t$ , we conclude that for  $(h, x) \in B \times X$ ,

$$\begin{aligned} \pi_Y(\beta_{t+c})F(\pi(h, x)) &\geq \frac{1}{m_G(G_{t+c})} \sum_{\gamma \in \Gamma_t} \left( \int_{G_{t+c}} \chi(g^{-1}h\gamma) dm_G(g) \right) \phi(\gamma^{-1} \cdot x) \\ &= \frac{1}{m_G(G_{t+c})} \sum_{\gamma \in \Gamma_t} \phi(\gamma^{-1} \cdot x) \geq C'' \pi_X(\lambda_t)\phi(x). \end{aligned}$$

This proves the claim.  $\square$

Continuing with the proof of Theorem 6.6, we now take the supremum over  $t$  on both sides. Let us lift  $\pi_X(\lambda_t)\phi$  to be defined on  $B \times X$  (depending only the second coordinate). By the claim, for sufficiently large  $t'_0 > 0$ , integrating over  $h \in B$  we obtain

$$\begin{aligned} \left\| \sup_{t \geq t'_0} |\pi_X(\lambda_t)\phi| \right\|_{L^r(\mu)} &= m_G(B)^{-1/r} \left\| \sup_{t \geq t'_0} |\pi_X(\lambda_t)\phi| \right\|_{L^r(m_G \otimes \mu|_{B \times X})} \\ &\leq C' \left\| \sup_{t \geq t'_0} |\pi_Y(\beta_{t+c})(F \circ \pi)| \right\|_{L^r(m_G \otimes \mu|_{B \times X})}. \end{aligned}$$

Now  $\pi_Y(\beta_t)(F \circ \pi) = (\pi_Y(\beta_t)F) \circ \pi$ , since the left  $G$ -action on  $G \times X$  commutes with the right  $\Gamma$ -action. Hence, by Lemma 6.2(b) and the strong maximal inequality for  $\beta_t$  in  $(L^p(\nu), L^r(\nu))$ ,

$$\begin{aligned} \left\| \sup_{t \geq t'_0} |\pi_X(\lambda_t)\phi| \right\|_{L^r(\mu)} &\leq C' b_{r,B} \left\| \sup_{t \geq t'_0} |\pi_Y(\beta_{t+c})F| \right\|_{L^r(\nu)} \leq C'' \|F\|_{L^p(\nu)} \\ &= C'' \|\chi\|_{L^p(m_G)} \cdot \|\phi\|_{L^p(\mu)} \leq C \|\phi\|_{L^p(\mu)}. \end{aligned}$$

where the equality uses the fact that  $B$  has disjoint right translates under  $\Gamma$  and Lemma 6.2(a).

This concludes the proof of Theorem 6.6.  $\square$

**6.4. Mean ergodic theorem.** We now turn from maximal inequalities to establishing convergence results for averages on  $\Gamma$ , using smoothing to approximate discrete averages by absolutely continuous ones, and thus utilizing the finiteness of the upper local dimension of  $G$ . Generalizing the definition of upper local dimension somewhat, consider a base of neighborhoods  $\{\mathcal{O}_\varepsilon\}_{0 < \varepsilon < 1}$  of  $e$  in  $G$  such that  $\mathcal{O}_\varepsilon$ 's are symmetric, bounded, and increasing with  $\varepsilon$ . We assume that the family  $\{G_t\}_{t>0}$  satisfy the following conditions:

- There exists  $c > 0$  such that for every small  $\varepsilon > 0$  and  $t \geq t(\varepsilon)$ ,

$$\mathcal{O}_\varepsilon \cdot G_t \cdot \mathcal{O}_\varepsilon \subset G_{t+c\varepsilon}. \quad (6.5)$$

- For

$$\delta_\varepsilon = \limsup_{t \rightarrow \infty} \frac{m_G(G_{t+\varepsilon} - G_t)}{m_G(G_t)},$$

and for some  $p \geq 1$ , we have :

$$\delta_\varepsilon^p \cdot m_G(\mathcal{O}_\varepsilon)^{-1} \rightarrow 0 \quad \text{as } \varepsilon \rightarrow 0^+. \quad (6.6)$$

Note that if the family  $\{G_t\}_{t>0}$  is admissible and

$$\varrho_0 = \limsup_{\varepsilon \rightarrow 0^+} \frac{\log m_G(\mathcal{O}_\varepsilon)}{\log \varepsilon} < \infty,$$

then (6.6) holds for  $p > \varrho_0$ .

Note that (6.6) implies that  $\delta_\varepsilon \rightarrow 0$  as  $\varepsilon \rightarrow 0^+$ . For every  $\delta > \delta_\varepsilon$  and for sufficiently large  $t$ ,

$$m_G(G_{t+\varepsilon}) \leq (1 + \delta)m_G(G_t). \quad (6.7)$$

**Lemma 6.7.** *Under condition (6.6), if the mean ergodic theorem holds for  $\beta_t$  in  $L^q(m_{G/\Gamma})$  for some  $q \geq 1$ , then*

$$|\Gamma_t| \sim m_G(G_t) \quad \text{as } t \rightarrow \infty.$$

*Proof.* Let

$$\chi_\varepsilon = \frac{\chi_{\mathcal{O}_\varepsilon}}{m_G(\mathcal{O}_\varepsilon)}$$

and

$$\phi_\varepsilon(g\Gamma) = \sum_{\gamma \in \Gamma} \chi_\varepsilon(g\gamma).$$

Note that  $\phi$  is a measurable bounded function on  $G/\Gamma$  with compact support,

$$\int_G \chi_\varepsilon dm_G = 1, \quad \text{and} \quad \int_{G/\Gamma} \phi_\varepsilon dm_{G/\Gamma} = 1.$$

It follows from the mean ergodic theorem that for every  $\delta > 0$ ,

$$m_{G/\Gamma}(\{g\Gamma \in G/\Gamma : |\pi_{G/\Gamma}(\beta_t)\phi_\varepsilon(g\Gamma) - 1| > \delta\}) \rightarrow 0 \quad \text{as } t \rightarrow \infty.$$

In particular, for sufficiently large  $t$ , there exists  $g_t \in \mathcal{O}_\varepsilon$  such that  $|\pi_{G/\Gamma}(\beta_t)\phi_\varepsilon(g_t\Gamma) - 1| \leq \delta$ , or equivalently

$$1 - \delta \leq \frac{1}{m_G(G_t)} \int_{G_t} \phi_\varepsilon(g^{-1}g_t\Gamma) dm_G(g) \leq 1 + \delta \quad (6.8)$$

Thus let us now prove the following

**Claim.** *Given  $0 < \varepsilon \leq \varepsilon_0$ , for every  $t \geq t_0 + \varepsilon_0$  and for every  $h \in \mathcal{O}_\varepsilon$ ,*

$$\int_{G_{t-c\varepsilon}} \phi_\varepsilon(g^{-1}h\Gamma) dm_G(g) \leq |\Gamma_t| \leq \int_{G_{t+c\varepsilon}} \phi_\varepsilon(g^{-1}h\Gamma) dm_G(g).$$

Indeed, if  $\chi_\varepsilon(g^{-1}h\gamma) \neq 0$  for some  $g \in G_{t-c\varepsilon}$  and  $h \in \mathcal{O}_\varepsilon$ , then

$$\gamma \in h^{-1} \cdot G_{t-c\varepsilon} \cdot (\text{supp } \chi_\varepsilon) \subset G_t.$$

Hence,

$$\int_{G_{t-c\varepsilon}} \phi_\varepsilon(g^{-1}h\Gamma) dm_G(g) \leq \sum_{\gamma \in \Gamma_t} \int_{G_t} \chi_\varepsilon(g^{-1}h\gamma) dm_G(g) \leq |\Gamma_t|.$$

In the other direction, for  $\gamma \in \Gamma_t$  and  $h \in \mathcal{O}_\varepsilon$ ,

$$\text{supp}(g \mapsto \chi_\varepsilon(g^{-1}h\gamma)) = h\gamma(\text{supp } \chi_\varepsilon)^{-1} \subset G_{t+c\varepsilon}.$$

Since  $\chi_\varepsilon \geq 0$ ,

$$\int_{G_{t+c\varepsilon}} \phi_\varepsilon(g^{-1}h\Gamma) dm_G(g) \geq \sum_{\gamma \in \Gamma_t} \int_{G_{t+c\varepsilon}} \chi_\varepsilon(g^{-1}h\gamma) dm_G(g) \geq |\Gamma_t|.$$

and this establishes the claim.  $\square$

Continuing with the proof of Lemma 6.7, let us take  $h = g_t$  defined above. By the claim and (6.8),

$$|\Gamma_t| \leq (1 + \delta)m_G(G_{t+\varepsilon}),$$

and the upper estimate on  $|\Gamma_t|$  follows from (6.7). The lower estimate is proved similarly.  $\square$

We now generalize Lemma 6.7, and prove the following result, reducing the mean ergodic theorem for  $\lambda_t$  to the mean ergodic theorem for  $\beta_t$ .

**Theorem 6.8.** *Under condition (6.6), if the mean ergodic theorem holds for  $\beta_t$  in  $L^p(\nu)$ , then the mean ergodic theorem holds for  $\lambda_t$  in  $L^p(\mu)$ .*

*Proof.* Take small  $\varepsilon > 0$  and  $\delta \in (\delta_\varepsilon, 1)$ , where  $\delta_\varepsilon$  (as well as  $p$ ) are defined by (6.6).

We need to show that for every  $\phi \in L^p(\mu)$

$$\left\| \pi_X(\lambda_t)\phi - \int_X \phi d\mu \right\|_{L^p(\mu)} \rightarrow 0 \quad \text{as } t \rightarrow \infty,$$

and without loss of generality, we may assume that  $\phi \geq 0$ . Let

$$\chi_\varepsilon = \frac{\chi_{\mathcal{O}_\varepsilon}}{m_G(\mathcal{O}_\varepsilon)}$$

and  $F_\varepsilon : Y \rightarrow \mathbb{R}$  be defined as in (6.3). Then  $F_\varepsilon \in L^p(\nu)$ , and

$$\int_Y F_\varepsilon d\nu = \int_X \phi d\mu.$$

**Step 1.** *For every  $(g, x) \in \mathcal{O}_\varepsilon \times X$  and sufficiently large  $t$ ,*

$$(1+\delta)^{-1}\pi_Y(\beta_{t-c\varepsilon})F_\varepsilon(\pi(g, x)) \leq \pi_X(\lambda_t)\phi(x) \leq (1+\delta)\pi_Y(\beta_{t+c\varepsilon})F_\varepsilon(\pi(g, x))$$

To prove the first inequality, note that by Lemma 6.7 and (6.7),

$$(1 + \delta)^{-1}m_G(G_{t+c\varepsilon}) < |\Gamma_t| < (1 + \delta)m_G(G_{t-c\varepsilon})$$

for sufficiently large  $t$ .



For  $(h, x) \in G \times X$ ,

$$\begin{aligned} \pi_Y(\beta_t)F_\varepsilon(\pi(h, x)) &= \frac{1}{m_G(G_t)} \int_{G_t} \left( \sum_{\gamma \in \Gamma} \chi_\varepsilon(g^{-1}h\gamma) \phi(\gamma^{-1} \cdot x) \right) dm_G(g) \\ &= \frac{1}{m_G(G_t)} \sum_{\gamma \in \Gamma} \left( \int_{G_t} \chi_\varepsilon(g^{-1}h\gamma) dm_G(g) \right) \phi(\gamma^{-1} \cdot x). \end{aligned}$$

If  $\chi_\varepsilon(g^{-1}h\gamma) \neq 0$  for some  $g \in G_t$  and  $h \in \mathcal{O}_\varepsilon$ , then by (6.5),

$$\gamma \in h^{-1}g \operatorname{supp}(\chi_\varepsilon) \subset G_{t+c\varepsilon}.$$

Using that

$$\int_G \chi_\varepsilon dm_G = 1 \quad \text{and} \quad \chi_\varepsilon \geq 0, \quad (6.9)$$

we deduce that for  $(h, x) \in \mathcal{O}_\varepsilon \times X$ ,

$$\begin{aligned} \pi_Y(\beta_t)F_\varepsilon(\pi(h, x)) &= \frac{1}{m_G(G_t)} \sum_{\gamma \in \Gamma_{t+c\varepsilon}} \left( \int_{G_t} \chi_\varepsilon(g^{-1}h\gamma) dm_G(g) \right) \phi(\gamma^{-1} \cdot x) \\ &\leq \frac{1}{m_G(G_t)} \sum_{\gamma \in \Gamma_{t+c\varepsilon}} \phi(\gamma^{-1} \cdot x) \leq (1 + \delta) \pi_X(\lambda_{t+c\varepsilon}) \phi(x). \end{aligned}$$

To prove the second inequality, note that by (3.5), for  $\gamma \in \Gamma_{t-c\varepsilon}$  and  $h \in \mathcal{O}_\varepsilon$ ,

$$\operatorname{supp}(g \mapsto \chi_\varepsilon(g^{-1}h\gamma)) = h\gamma \operatorname{supp}(\chi_\varepsilon)^{-1} \subset G_t.$$

By (6.9), this implies that for  $(h, x) \in \mathcal{O}_\varepsilon \times X$ ,

$$\begin{aligned} \pi_Y(\beta_t)F_\varepsilon(\pi(h, x)) &\geq \frac{1}{m_G(G_t)} \sum_{\gamma \in \Gamma_{t-c\varepsilon}} \left( \int_{G_t} \chi_\varepsilon(g^{-1}h\gamma) dm_G(g) \right) \phi(\gamma^{-1} \cdot x) \\ &= \frac{1}{m_G(G_t)} \sum_{\gamma \in \Gamma_{t-c\varepsilon}} \phi(\gamma^{-1} \cdot x) \geq (1 + \delta)^{-1} \pi_X(\lambda_{t-c\varepsilon}) \phi(x). \end{aligned}$$

Using Lemma 6.7 and (6.7) again, and then shifting indices completes the proof of Step 1.

We now continue with the proof of Theorem 6.8. To simplify notations, we write for a measurable function  $\Psi : Y \rightarrow \mathbb{R}$

$$\|\Psi\|_{p,\varepsilon} \stackrel{\text{def}}{=} \|\Psi \circ \pi\|_{L^p(m_G \otimes \mu|_{\mathcal{O}_\varepsilon \times X})}$$

Now by Lemma 6.2(b), for each fixed  $\varepsilon > 0$

$$\|\Psi\|_{p,\varepsilon} \leq b_{p,\varepsilon} \|\Psi\|_{L^p(\nu)} \quad (6.10)$$

and clearly, if  $\varepsilon' < \varepsilon$  we may take  $b_{p,\varepsilon'} \leq b_{p,\varepsilon}$ .

**Step 2.** For every sufficiently small fixed  $\varepsilon > 0$ ,

$$\|\pi_Y(\beta_{t+c\varepsilon})F_\varepsilon - \pi_Y(\beta_t)F_\varepsilon\|_{p,\varepsilon} \rightarrow 0 \quad \text{as } t \rightarrow \infty$$

and

$$\limsup_{t \rightarrow \infty} \|\pi_Y(\beta_t)F_\varepsilon\|_\varepsilon \leq b_{p,\varepsilon} \|\phi\|_{L^1(\mu)}.$$

For the proof, let us note that by the triangle inequality and (6.10),

$$\begin{aligned} \|\pi_Y(\beta_{t+c\varepsilon})F_\varepsilon - \pi_Y(\beta_t)F_\varepsilon\|_{p,\varepsilon} &\leq b_{p,\varepsilon} \left\| \pi_Y(\beta_{t+c\varepsilon})F_\varepsilon - \int_Y F_\varepsilon d\nu \right\|_{L^p(\nu)} \\ &\quad + b_{p,\varepsilon} \left\| \pi_Y(\beta_t)F_\varepsilon - \int_Y F_\varepsilon d\nu \right\|_{L^p(\nu)}. \end{aligned}$$

Similarly,

$$\begin{aligned} \|\pi_Y(\beta_t)F_\varepsilon\|_{p,\varepsilon} &\leq b_{p,\varepsilon} \left\| \pi_Y(\beta_t)F_\varepsilon - \int_Y F_\varepsilon d\nu \right\|_{L^p(\nu)} + b_{p,\varepsilon} \int_Y F_\varepsilon d\nu \\ &= b_{p,\varepsilon} \left\| \pi_Y(\beta_t)F_\varepsilon - \int_Y F_\varepsilon d\nu \right\|_{L^p(\nu)} + b_{p,\varepsilon} \int_X \phi d\mu. \end{aligned}$$

Hence, Step 2 follows from the mean ergodic theorem for  $\beta_t$  in  $L^p(\nu)$ .

To complete the proof of Theorem 6.8, we need to estimate

$$\left\| \pi_X(\lambda_t)\phi - \int_X \phi d\mu \right\|_{L^p(\mu)} = m_G(\mathcal{O}_\varepsilon)^{-1/p} \left\| \pi_X(\lambda_t)\phi - \int_X \phi d\mu \right\|_{L^p(m_G \otimes \mu|_{\mathcal{O}_\varepsilon \times X})}$$

where we have extended  $\pi_X(\lambda_t)\phi$  to a function on  $\mathcal{O}_\varepsilon \times X$  in the obvious manner. By the triangle inequality,

$$\begin{aligned} &\left\| \pi_X(\lambda_t)\phi - \int_X \phi d\mu \right\|_{L^p(m_G \otimes \mu|_{\mathcal{O}_\varepsilon \times X})} \\ &\leq \left\| \pi_X(\lambda_t)\phi - (1+\delta)^{-1}\pi_Y(\beta_{t-c\varepsilon})(F_\varepsilon \circ \pi) \right\|_{L^p(m_G \otimes \mu|_{\mathcal{O}_\varepsilon \times X})} \\ &\quad + \left\| (1+\delta)^{-1}\pi_Y(\beta_{t-c\varepsilon})(F_\varepsilon \circ \pi) - \int_X \phi d\mu \right\|_{L^p(m_G \otimes \mu|_{\mathcal{O}_\varepsilon \times X})}. \end{aligned}$$

We estimate the last two summands as follows. First, using Step 1, we estimate the first summand by

$$\begin{aligned} &\left\| \pi_X(\lambda_t)\phi - (1+\delta)^{-1}\pi_Y(\beta_{t-c\varepsilon})(F_\varepsilon \circ \pi) \right\|_{L^p(m_G \otimes \mu|_{\mathcal{O}_\varepsilon \times X})} \\ &\leq \left\| (1+\delta)\pi_Y(\beta_{t+c\varepsilon})F_\varepsilon - (1+\delta)^{-1}\pi_Y(\beta_{t-c\varepsilon})F_\varepsilon \right\|_{p,\varepsilon} \\ &\leq \|\pi_Y(\beta_{t+c\varepsilon})F_\varepsilon - \pi_Y(\beta_{t-c\varepsilon})F_\varepsilon\|_{p,\varepsilon} + \delta \left( \|\pi_Y(\beta_{t+c\varepsilon})F_\varepsilon\|_{p,\varepsilon} + \|\pi_Y(\beta_{t-c\varepsilon})F_\varepsilon\|_{p,\varepsilon} \right). \end{aligned}$$

Hence, it follows from Step 2 that

$$\limsup_{t \rightarrow \infty} \left\| \pi_X(\lambda_t)\phi - (1 + \delta)^{-1} \pi_Y(\beta_{t-c\varepsilon})(F_\varepsilon \circ \pi) \right\|_{L^p(m_G \otimes \mu|_{\mathcal{O}_\varepsilon \times X})} \leq 2b_{p,\varepsilon} \delta \|\phi\|_{L^1(\mu)}.$$

Second, observing that for  $\delta < 1$

$$\begin{aligned} \left\| (1 + \delta)^{-1} \pi_Y(\beta_{t-c\varepsilon})F_\varepsilon - \int_X \phi d\mu \right\|_{p,\varepsilon} &\leq \left\| \pi_Y(\beta_{t-c\varepsilon})F_\varepsilon - \int_X \phi d\mu \right\|_{p,\varepsilon} \\ &\quad + 2\delta \|\pi_Y(\beta_{t-c\varepsilon})F_\varepsilon\|_{p,\varepsilon}, \end{aligned}$$

we deduce from step 2 that the second summand is estimated by

$$\limsup_{t \rightarrow \infty} \left\| (1 + \delta)^{-1} \pi_Y(\beta_{t-c\varepsilon})F_\varepsilon - \int_X \phi d\mu \right\|_{p,\varepsilon} \leq 4b_{p,\varepsilon} \delta \|\phi\|_{L^1(\mu)},$$

where  $b_{p,\varepsilon}$  are uniformly bounded.

We have thus shown that for every  $\delta \in (\delta_\varepsilon, 1)$ , and a constant  $B$  independent of  $\delta$  and  $\varepsilon$ ,

$$\limsup_{t \rightarrow \infty} \left\| \pi_X(\lambda_t)\phi - \int_X \phi d\mu \right\|_{L^p(\mu)} \leq B\delta m_G(\mathcal{O}_\varepsilon)^{-1/p} \|\phi\|_{L^1(\mu)}.$$

By our choice of  $\delta_\varepsilon$  in (6.6), this concludes the proof of Theorem 6.8.  $\square$

**6.5. Pointwise ergodic theorem.** In this section, we assume only that the family  $\{G_t\}_{t>0}$  is quasi-uniform. Recall that we showed in Corollary 5.23 that then  $gG_th$  satisfy the pointwise ergodic theorem if  $G_t$  does.

**Lemma 6.9.** *Suppose that the pointwise ergodic theorem holds in  $L^\infty(G/\Gamma)$  for the quasi-uniform family  $\{gG_t\}$ , for every  $g \in G$ . Then*

$$|\Gamma_t| \sim m_G(G_t) \quad \text{as } t \rightarrow \infty.$$

*Proof.* Let  $f$  be any measurable bounded function on  $G/\Gamma$ .  $G/\Gamma$  being a homogeneous  $G$ -space, it follows from Theorem 5.22 that the pointwise ergodic theorem holds for *every point* in  $G/\Gamma$ . In particular, this holds for the point  $e\Gamma$ , and so

$$\frac{1}{m_G(G_t)} \int_{G_t} f(g^{-1}\Gamma) dm_G(g) \rightarrow \int_{G/\Gamma} f dm_{G/\Gamma}$$

for every measurable bounded  $f$ . The lemma is then proved as Proposition 6.1 in [GW].  $\square$

**Theorem 6.10.** *If the pointwise ergodic theorem holds for the quasi-uniform family  $\beta_t$  in  $L^p(\nu)$ , then the pointwise ergodic theorem holds for  $\lambda_t$  in  $L^p(\mu)$ .*

*Proof.* We need to show that for every  $\phi \in L^p(\mu)$ ,

$$\pi_X(\lambda_t)\phi(x) \rightarrow \int_X \phi d\mu \quad \text{as } t \rightarrow \infty$$

for  $\mu$ -a.e.  $x \in X$  and without loss of generality, we may assume that  $\phi \geq 0$ .

Take  $\delta > 0$  and let  $\varepsilon > 0$  and  $\mathcal{O}$  be as in (3.8) and (3.9). Let

$$\chi = \frac{\chi_{\mathcal{O}}}{m_G(\mathcal{O})}$$

and  $F : Y \rightarrow \mathbb{R}$  be defined as in (6.3). Then  $F \in L^p(\nu)$  and

$$\int_Y F d\nu = \int_X \phi d\mu.$$

Recall that it follows from Corollary 5.23 that the pointwise ergodic theorem holds for the family  $gG_t$  in  $L^\infty \subset L^p$ , for every  $g \in G$ . Using also the assumption of Theorem 6.10, and Theorem 5.22,

$$\pi_Y(\beta_t)F(y) \rightarrow \int_Y \phi d\nu \quad \text{as } t \rightarrow \infty \quad (6.11)$$

for  $y$  in a  $G$ -invariant subset of  $Y$  of full measure. Then it follows from Lemma 6.1 that (6.11) holds for  $y = \pi(e, x)$  for  $x$  in a  $\Gamma$ -invariant subset of  $X$  of full measure. Arguing as in the proof of Proposition 2.1 in [GW], one shows that for every such  $x$ ,

$$\begin{aligned} \limsup_{t \rightarrow \infty} \pi_X(\lambda_t)\phi(x) &\leq (1 + \delta) \int_X \phi d\mu, \\ \liminf_{t \rightarrow \infty} \pi_X(\lambda_t)\phi(x) &\geq (1 + \delta)^{-1} \int_X \phi d\mu, \end{aligned}$$

for every  $\delta > 0$ . This completes the proof of Theorem 6.10.  $\square$

**6.6. Exponential mean ergodic theorem.** In this section we assume that the family  $\{G_t\}_{t>0}$  is admissible, and as usual w.r.t. a family  $\mathcal{O}_\varepsilon$  of finite upper local dimension  $\varrho_0$ . By definition for  $\varrho > \varrho_0$  and small  $\varepsilon > 0$ ,

$$m_G(\mathcal{O}_\varepsilon) \geq C_\rho \varepsilon^\varrho. \quad (6.12)$$

**Theorem 6.11.** *If the exponential mean ergodic theorem holds for the admissible family  $\beta_t$  in  $(L^p(m_{G/\Gamma}), L^r(m_{G/\Gamma}))$  for some  $p \geq r \geq 1$ , then*

$$\frac{|\Gamma_t|}{m_G(G_t)} = 1 + O(e^{-\alpha t}) \quad \text{where } \alpha = \frac{\theta_{p,r}}{\varrho(1 + r - \frac{r}{p}) + r}.$$

When the estimate  $\left\| \pi_{G/\Gamma}^0(\beta_t) \right\|_{L_0^2 \rightarrow L_0^2} \leq C e^{-\theta t}$  holds, we can take  $\theta_{p,r} = r\theta$ .

*Proof.* As usual let

$$\chi_\varepsilon = \frac{\chi_{\mathcal{O}_\varepsilon}}{m_G(\mathcal{O}_\varepsilon)}$$

and

$$\phi_\varepsilon(g\Gamma) = \sum_{\gamma \in \Gamma} \chi_\varepsilon(g\gamma),$$

so that  $\phi_\varepsilon$  is a bounded function on  $G/\Gamma$  with compact support,

$$\int_G \chi_\varepsilon dm_G = 1, \quad \text{and} \quad \int_{G/\Gamma} \phi_\varepsilon dm_{G/\Gamma} = 1.$$

It follows from the norm estimate given by the  $(L^p, L^r)$ -exponential mean ergodic theorem for  $\beta_t$  acting on  $G/\Gamma$  that for some fixed  $\theta_{p,r} > 0$  and  $C > 0$ , and for every  $\delta > 0$ ,  $t > 0$  and  $\varepsilon > 0$

$$m_{G/\Gamma}(\{x \in G/\Gamma : |\pi_{G/\Gamma}(\beta_t)\phi_\varepsilon(x) - 1| > \delta\}) \leq C\delta^{-r} \|\phi_\varepsilon\|_{L^p(G/\Gamma)}^r e^{-\theta_{p,r}t}.$$

By Lemma 6.2(a), for sufficiently small  $\varepsilon > 0$

$$\|\phi_\varepsilon\|_{L^p(G/\Gamma)} = m_G(\mathcal{O}_\varepsilon)^{1/p-1}.$$

We will choose both of the parameters  $\varepsilon$  and  $\delta$  as a function of  $t$ , and begin by requiring that the following condition holds:

$$C\delta^{-r} m_G(\mathcal{O}_\varepsilon)^{r/p-r} e^{-\theta_{p,r}t} = \frac{1}{2} m_G(\mathcal{O}_\varepsilon). \quad (6.13)$$

Then for sufficiently large  $t$ ,

$$m_{G/\Gamma}(\{x \in G/\Gamma : |\pi_{G/\Gamma}(\beta_t)\phi_\varepsilon(x) - 1| > \delta\}) \leq \frac{1}{2} m_G(\mathcal{O}_\varepsilon).$$

Now as soon as  $\mathcal{O}_\varepsilon$  maps injectively into  $G/\Gamma$ , we have

$$m_{G/\Gamma}(\mathcal{O}_\varepsilon\Gamma) = m_G(\mathcal{O}_\varepsilon)$$

and so we deduce that for every sufficiently large  $t$ , there exists  $g_t \in \mathcal{O}_\varepsilon$  such that

$$|\pi_{G/\Gamma}(\beta_t)\phi_\varepsilon(g_t\Gamma) - 1| \leq \delta.$$

Then using the claim from Lemma 6.7 and (3.6), we have for sufficiently large  $t$

$$|\Gamma_t| \leq (1 + \delta)m_G(G_{t+c\varepsilon}) \leq (1 + \delta)(1 + c\varepsilon)m_G(G_t),$$

provided only that  $\varepsilon$ ,  $\delta$  and  $t$  satisfy condition (6.13).

In order to balance the two significant parts of the error estimate let us take  $c\varepsilon = \delta$ . Then (6.13) together with (6.12) yield

$$C'e^{-\theta_{p,r}t} = \varepsilon^r m_G(\mathcal{O}_\varepsilon)^{1+r-\frac{r}{p}} \geq C'' \varepsilon^{\varrho(1+r-\frac{r}{p})+r}.$$

Thus we take both  $\varepsilon$  and  $\delta$  to be constant multiples of

$$\exp\left(-\frac{\theta_{p,r}t}{\varrho(1+r-\frac{r}{p})+r}\right)$$

and conclude that

$$\left|\frac{|\Gamma_t|}{m_G(G_t)} - 1\right| \leq B \exp\left(-\frac{\theta_{p,r}t}{\varrho(1+r-\frac{r}{p})+r}\right)$$

where  $B$  is independent of  $t$ .

The lower estimate is proved similarly.

The last statement of the theorem follows immediately from Riesz-Thorin interpolation.  $\square$

We now turn to the mean ergodic theorem with exponential rate of convergence.

**Theorem 6.12.** *Let  $\beta_t$  be an admissible family,  $\mathcal{O}_\varepsilon$  of finite upper local dimension, and let  $p \geq r > \varrho > \varrho_0$ . If the exponential mean ergodic theorem holds for  $\beta_t$  in  $(L^p(\nu), L^r(\nu))$ , then the exponential mean ergodic theorem holds for  $\lambda_t$  in  $(L^p(\mu), L^r(\mu))$ .*

*Proof.* We need to show that for some  $\zeta_p > 0$ ,  $C_p > 0$  and every  $\phi \in L^p(\mu)$

$$\left\|\pi_X(\lambda_t)\phi - \int_X \phi d\mu\right\|_{L^r(\mu)} \leq C e^{-\zeta_p t} \|\phi\|_{L^p(\mu)}.$$

Without loss of generality, we may assume that  $\phi \geq 0$ . Let

$$\chi_\varepsilon = \frac{\chi_{\mathcal{O}_\varepsilon}}{m_G(\mathcal{O}_\varepsilon)}$$

and  $F_\varepsilon : Y \rightarrow \mathbb{R}$  be defined as in (6.3). Then  $F_\varepsilon \in L^p(\nu)$ , and

$$\int_Y F_\varepsilon d\nu = \int_X \phi d\mu.$$

In particular,

$$\left\|\pi_Y(\beta_t)F_\varepsilon - \int_Y F_\varepsilon d\nu\right\|_{L^r(\nu)} \leq C e^{-\theta t} \|F_\varepsilon\|_{L^p(\nu)} \quad (6.14)$$

for some  $\theta = \theta_{p,r} > 0$ .

Repeating the arguments in Steps 1-2 of the proof of Theorem 6.8, we derive that

(a) For sufficiently large  $t$  and every  $(g, x) \in \mathcal{O}_\varepsilon \times X$ ,

$$\eta^{-1} \pi_Y(\beta_{t-c\varepsilon}) F_\varepsilon(\pi(g, x)) \leq \pi_X(\lambda_t) \phi(x) \leq \eta \pi_Y(\beta_{t+c\varepsilon}) F_\varepsilon(\pi(g, x))$$

where

$$\eta = (1 + c\varepsilon)(1 + O(e^{-\alpha t})),$$

$\alpha$  the error estimate in the lattice point count from Theorem 6.11.

(b) For sufficiently large  $t$  and small  $\varepsilon > 0$ ,

$$\|\pi_Y(\beta_{t+c\varepsilon}) F_\varepsilon - \pi_Y(\beta_t) F_\varepsilon\|_{L^r(m_G \otimes \mu|_{\mathcal{O}_\varepsilon \times X})} \ll e^{-\theta t} \|F_\varepsilon\|_{L^p(\nu)}$$

and

$$\|\pi_Y(\beta_t) F_\varepsilon\|_{L^r(m_G \otimes \mu|_{\mathcal{O}_\varepsilon \times X})} \ll e^{-\theta t} \|F_\varepsilon\|_{L^p(\nu)} + \|\phi\|_{L^1(\mu)}.$$

Using (a), (b) and (6.14), we deduce as in the proof of Theorem 6.8 that

$$\begin{aligned} & \left\| \pi_X(\lambda_t) \phi - \int_X \phi d\mu \right\|_{L^r(\mu)} \\ & \leq C' m_G(\mathcal{O}_\varepsilon)^{-1/r} (e^{-\theta t} \|F_\varepsilon\|_{L^p(\nu)} + (\varepsilon + e^{-\alpha t}) \|\phi\|_{L^1(\mu)}) \end{aligned}$$

for every small  $\varepsilon > 0$ .

Using Lemma 6.2(a) and (b), we can estimate

$$\|F_\varepsilon\|_{L^p(\nu)} \leq c_{p,\varepsilon} m_G(\mathcal{O}_\varepsilon)^{\frac{1}{p}-1} \|\phi\|_{L^p(\mu)}.$$

Let now  $\varrho$  be such that  $\varrho_0 < \varrho < r$ . By Hölder's inequality,  $\|\phi\|_{L^1(\mu)} \leq \|\phi\|_{L^p(\mu)}$ , and collecting terms, we obtain

$$\begin{aligned} & \left\| \pi_X(\lambda_t) \phi - \int_X \phi d\mu \right\|_{L^r(\mu)} \\ & \leq C'' m_G(\mathcal{O}_\varepsilon)^{-1/r} (e^{-\theta t} m_G(\mathcal{O}_\varepsilon)^{1/p-1} + \varepsilon + e^{-\alpha t}) \|\phi\|_{L^p(\mu)} \\ & \leq (e^{-\theta t} \varepsilon^{-\varrho(1+1/r-1/p)} + \varepsilon^{1-\varrho/r} + e^{-\alpha t}) \|\phi\|_{L^p(\mu)}. \end{aligned}$$

Setting

$$\varepsilon = \exp\left(-\frac{\theta_{p,r} t}{1 + \varrho - \varrho/p}\right),$$

we have

$$\left\| \pi_X(\lambda_t) \phi - \int_X \phi d\mu \right\|_{L^p(\mu)} \ll e^{-\zeta t} \|\phi\|_{L^p(\mu)}$$

with

$$\zeta = \min\left\{\alpha, \frac{(1 - \varrho/r)\theta_{p,r}}{1 + \varrho - \varrho/p}\right\} > 0.$$

This concludes the proof of Theorems 6.12.  $\square$

**6.7. Exponential strong maximal inequality.** We now prove that the exponential decay of the norms  $\pi_Y^0(\beta_t)$  in  $L_0^2(Y)$ , together with the ordinary strong maximal inequality for  $\pi_Y(\beta_t)$  in some  $L^q(Y)$  imply the exponential strong maximal inequality for  $\lambda_t$  following the method developed in [MNS][N3].

**Theorem 6.13.** *Let  $\beta_t$  be admissible averages w.r.t.  $\mathcal{O}_\varepsilon$  of finite upper local dimension  $\varrho_0$ , satisfying*

- *the exponential mean ergodic theorem in  $(L^p(\nu), L^r(\nu))$  with  $\varrho_0 < r \leq p$ ,*
- *the strong maximal inequality in  $L^q(\nu)$  for some  $q \geq 1$ .*

*Then  $\lambda_t$  satisfies the exponential strong maximal inequality in  $(L^v(\mu), L^w(\mu))$  for  $v, w$  such that  $1/v = (1-u)/q$  and  $1/w = (1-u)/q + u/r$  for some  $u \in (0, 1)$ .*

*Proof.* By Theorem 6.12,  $\pi_X(\lambda_t)$  satisfies the exponential mean ergodic theorem: for every  $f \in L_0^p(\mu)$ , and  $\theta = \theta_{p,r} > 0$

$$\|\pi_X(\lambda_t)f\|_{L^r(\mu)} \leq Ce^{-\theta t}\|f\|_{L^p(\mu)}. \quad (6.15)$$

Consider an increasing sequence  $\{t_i\}$  that contains all positive integers and divides each interval of the form  $[n, n+1]$ ,  $n \in \mathbb{N}$ , into  $\lfloor e^{r\theta n/4} \rfloor$  subintervals of equal length. Then

$$t_{i+1} - t_i \leq e^{-r\theta \lfloor t_i \rfloor / 4}, \quad (6.16)$$

and

$$\sum_{i \geq 0} e^{-r\theta t_i/2} \leq \sum_{n \geq 0} \lfloor e^{r\theta n/4} \rfloor e^{-r\theta n/2} < \infty.$$

Hence, it follows from (6.15) that

$$\int_X \left( \sum_{i \geq 0} e^{r\theta t_i/2} |\pi_X(\lambda_{t_i})f(x)|^r \right) d\mu(x) \leq C' \|f\|_{L^p(\mu)}^r.$$

Setting

$$B_r(x, f) \stackrel{\text{def}}{=} \left( \sum_{i \geq 0} e^{r\theta t_i/2} |\pi_X(\lambda_{t_i})f(x)|^r \right)^{1/r},$$

we have, for some  $C''$  independent of  $f$ :

$$|\pi_X(\lambda_{t_i})f(x)| \leq B_r(x, f)e^{-\theta t_i/2}, \quad (6.17)$$

$$\|B_r(\cdot, f)\|_{L^r(\mu)} \leq C'' \|f\|_{L^p(\nu)}. \quad (6.18)$$

**Claim.** *For any sufficiently large  $t$ , there exists  $t_i$  such that  $|t - t_i| \ll e^{-r\theta \lfloor t \rfloor / 4}$ , and then for every  $f \in L^\infty(\mu)$ ,*

$$|\pi_X(\lambda_t)f - \pi_X(\lambda_{t_i})f| \leq e^{-\eta t} \|f\|_{L^\infty(\mu)}$$



with some  $\eta > 0$ , independent of  $t$  and given explicitly below.

To prove the claim, note that it follows from (6.16) that  $t_i$  satisfying the first property exists. Without loss of generality we suppose that  $t > t_i$ . Then,

$$\begin{aligned} & \pi_X(\lambda_t)f(x) - \pi_X(\lambda_{t_i})f(x) \\ &= \frac{1}{|\Gamma_t| \cdot |\Gamma_{t_i}|} \left( |\Gamma_{t_i}| \sum_{\gamma \in \Gamma_t} f(\gamma^{-1} \cdot x) - |\Gamma_t| \sum_{\gamma \in \Gamma_{t_i}} f(\gamma^{-1} \cdot x) \right) \\ &= -\frac{|\Gamma_t - \Gamma_{t_i}|}{|\Gamma_t| \cdot |\Gamma_{t_i}|} \sum_{\gamma \in \Gamma_{t_i}} f(\gamma^{-1} \cdot x) + \frac{1}{|\Gamma_t|} \sum_{\gamma \in \Gamma_t - \Gamma_{t_i}} f(\gamma^{-1} \cdot x) \\ &\leq \frac{2|\Gamma_t - \Gamma_{t_i}|}{|\Gamma_t|} \|f\|_{L^\infty(\mu)}. \end{aligned}$$

Applying the estimate provided by Theorem 6.11 and (3.6), we get

$$\begin{aligned} \frac{|\Gamma_t - \Gamma_{t_i}|}{|\Gamma_t|} &= 1 - \frac{|\Gamma_{t_i}|}{|\Gamma_t|} = 1 - \frac{1 + O(e^{-\alpha t_i})}{1 + O(e^{-\alpha t})} \cdot \frac{m_G(G_{t_i})}{m_G(G_t)} \\ &\leq 1 - (1 + O(e^{-\alpha t})) \cdot \frac{1}{1 + c(t - t_i)}. \end{aligned}$$

This implies the claim.  $\square$

Continuing with the proof of Theorem 6.13, we use (6.17) and the Claim, and deduce that for  $\delta = \min\{\theta/2, \eta\}$  and every  $f \in L_0^\infty(\mu)$ ,

$$\begin{aligned} |\pi_X(\lambda_t)f(x)| &\leq |\pi_X(\lambda_{t_i})f(x)| + |\pi_X(\lambda_t)f(x) - \pi_X(\lambda_{t_i})f(x)| \\ &= (B_r(x, f) + \|f\|_{L^\infty(\mu)}) e^{-\delta t}. \end{aligned}$$

Hence, by (6.17), (6.18) for some  $t_0, C > 0$ , and every  $f \in L_0^\infty(\mu)$

$$\left\| \sup_{t \geq t_0} e^{\delta t} |\pi_X(\lambda_t)f| \right\|_{L^r(\mu)} \leq C \|f\|_{L^\infty(\mu)} \quad (6.19)$$

where we have used the fact that since  $\mu$  is a probability measure, for  $f \in L^\infty(\mu)$ ,  $\|f\|_{L^r(\mu)} \leq \|f\|_{L^\infty(\mu)}$ .

Now for a measurable function  $\tau : X \rightarrow [t_0, \infty)$  and  $z \in \mathbb{C}$ , we consider the linear operator

$$U_z^\tau f(x) = e^{z\delta\tau(x)} \left( \pi_X(\lambda_{\tau(x)})f(x) - \int_X f d\mu \right).$$

By the strong maximal inequality for  $\lambda_t$  in  $L^q(\mu)$  (which holds using Theorem 6.3(1) and our second assumption), when  $\operatorname{Re} z = 0$ , the operator

$$U_z^\tau : L^q(\mu) \rightarrow L^q(\mu)$$

is bounded. By (6.19), when  $\operatorname{Re} z = 1$ , the operator

$$U_z^\tau : L^\infty(\mu) \rightarrow L^r(\mu)$$

is also bounded, with bounds independent of the function  $\tau$ . Hence, by the complex interpolation theorem (see e.g. [MNS] for a fuller discussion) for every  $u \in (0, 1)$  and  $v, w$  such that

$$1/v = (1 - u)/q \quad \text{and} \quad 1/w = (1 - u)/q + u/r,$$

we have

$$\left\| \sup_{t \geq t_0} e^{u\delta t} \left| \pi_X(\lambda_t) f - \int_X f d\mu \right| \right\|_{L^w(\mu)} \leq C \|f\|_{L^v(\mu)}.$$

This completes the proof of Theorem 6.13.  $\square$

### 6.8. Completion of proofs of ergodic theorems for lattices.

#### 1) Completion of the proof of Theorem 4.7.

Clearly, parts (1), (2) and (3) of Theorem 6.3 together imply Theorem 4.7, provided only that the admissible averages  $\beta_t$  do indeed satisfy the mean, maximal and pointwise ergodic theorems in  $L^p(\nu)$  (and as a result also in  $L^p(m_{G/\Gamma})$ ). This follows immediately from Theorem 4.2, taking also into account the fact that since we are considering the action induced to  $G^+$ , the action is necessarily totally weak-mixing, since  $G^+$  has no non-trivial finite-dimension representations.  $\square$

#### 2) Completion of the proof of Theorem 4.8.

The formulation of Theorem 6.3(4) incorporates the assumption that  $p \geq r > \varrho_0$ , where  $\varrho_0$  is the upper local dimension. Thus in order to complete the proof of Theorem 4.8 we must remove this restriction.

Let us consider the exponentially fast mean ergodic theorem in  $(L^p, L^r)$  first. By Theorem 4.3  $\beta_t$  on  $G$  satisfies this theorem for all  $p = r > 1$ , and hence by Theorem 6.12, we obtain that  $\lambda_t$  satisfies it if  $p > \varrho$ . But clearly  $\lambda_t - \int_X d\mu$  has norm bounded by 2 in every  $L^p$ ,  $1 \leq p \leq \infty$ . By Riesz-Thorin interpolation, it follows that  $\lambda_t$  satisfies the exponentially fast mean ergodic theorem in every  $L^p$ ,  $1 < p < \infty$ , and hence in  $(L^p, L^r)$ ,  $p \geq r \geq 1$ ,  $(p, r) \neq (1, 1)$ .

As to the exponential maximal inequality, note first that by Theorem 4.3  $\beta_t$  satisfies the  $(L^\infty, L^2)$  exponential-maximal inequality in every action of  $G$  where  $\|\pi(\beta_t)\|_{L^2} \leq C \exp(-\theta t)$ . It follows that it satisfies the exponential-maximal inequality in  $(L^\infty, L^r)$ , for a finite  $r > \varrho_0$ . By Theorem 5.13,  $\beta_t$  also satisfy the standard strong maximal inequality in every  $L^q$ ,  $q > 1$ . Thus by Theorem 6.13 the exponential maximal inequality in  $(L^v, L^w)$  holds for the averages  $\lambda_t$  (provided the norm exponential decay condition holds in the induced action, which is the case under our assumptions). By their explicit formula it is clear that

we can choose  $v$  to be as close as we like to 1, thus determining a consequent  $w < v$  and some positive rate of exponential decay.

This completes the proof of Theorem 4.8.  $\square$

Finally, we remark that the ergodic theorems stated for connected semisimple Lie groups and their lattices in §§1.2, 1.3, and 1.4 all follow from Theorem 4.2, Theorem 4.3 Theorem 4.7 and Theorem 4.8, together with Theorem 6.11 (or more precisely Corollary 7.1 below). This is a straightforward verification, bearing in mind that every unitary representation of a connected semisimple Lie group with finite center is totally weak-mixing.

The only comment necessary is regarding Theorem 1.12, which asserts that  $\lambda_t$  defined using the Riemannian averages associated with the Killing form satisfy the pointwise ergodic action in any ergodic action of any lattice  $\Gamma$ , even if the induced action is reducible. Theorem 4.7 establishes this result based on Theorem 4.2, since the Riemannian averages are indeed admissible and well-balanced. This fact follows from the discussion in [MNS] (see also the Appendix below). It follows that an exponential decay estimate holds for the Riemannian sphere averages  $\|\pi(\partial\nu_t)\|$ , even in the case of a reducible action, and thus pointwise convergence on a dense subspace holds.

An alternative, more direct argument for the latter conclusion is the fact that in [MNS, Thm. 1] the pointwise ergodic theorem for  $\beta_t$  is proved in full generality, even for reducible actions, and thus the result for  $\lambda_t$  follows from Theorem 6.3(3).

It might be worth commenting that for the *sequences* of averages  $\beta_n$  and  $\lambda_n$  the entire Sobolev space argument is superfluous, of course. Since the maximal inequality holds, as well as pointwise convergence on dense subspace, it follows that the pointwise ergodic theorem holds for both sequences, even in the reducible case.

This concludes the proofs of the ergodic theorems for lattice actions.  $\square$

We now turn to discuss equidistribution.

**6.9. Equidistribution in isometric actions.** Let us prove the following generalization of Theorem 1.9.

**Theorem 6.14.** *Let  $G$  be an  $S$ -algebraic group as in Definition 3.4, over fields of characteristic zero. Let  $\Gamma \subset G^+$  be a lattice and  $G_t \subset G^+$  an admissible 1-parameter family or sequence. Let  $(S, m)$  be an isometric action of  $\Gamma$  on a compact metric space  $S$ , preserving an ergodic*

probability measure  $m$  of full support. If  $\Gamma$  is an irreducible lattice, then

$$\lim_{n \rightarrow \infty} \max_{s \in S} \left| \pi_S(\lambda_t)f(s) - \int_S f dm \right| = 0$$

and in particular  $\pi_S(\beta_t)f(s) \rightarrow \int_S f dm$  for every  $s \in S$ .

For any  $S$ -algebraic  $G$  and lattice  $\Gamma$ , the same results holds provided that  $G_t$  are left-radial and balanced.

*Proof.* When  $G$  is defined over fields of characteristic zero, an action of  $G$  induced by an isometric ergodic action of an irreducible lattice is an irreducible action of  $G$ , as shown in [St]. Then, according to Theorem 4.7,  $\lambda_t$  satisfies the mean ergodic theorem in  $L^2(S, m)$ . The mean ergodic theorem holds also for general  $G$  and  $\Gamma$ , provided only that  $\lambda_t$  is balanced and left-radial, as asserted in Theorem 4.7.

The proof is therefore complete using the following proposition.  $\square$

**Proposition 6.15.** (see [G]) *Let the discrete group  $\Gamma$  act isometrically on a compact metric space  $(S, m)$ , preserving a probability measure of full support. If a 1-parameter family (or sequence) of averages  $\lambda_t$  on  $\Gamma$  satisfy the mean ergodic theorem in  $L^2(S, m)$ , then  $\pi_S(\lambda_t)f$  converges uniformly to the constant  $\int_S f dm$  for every continuous  $f \in C(S)$ .*

*Proof.* Our proof is a straightforward generalization of [G] (where the case of free groups is considered), and is brought here for completeness.

Given a function  $f \in C(S)$ , consider the set  $C(f)$  consisting of  $f$  together with  $\pi_S(\lambda_t)f$ ,  $t \in \mathbb{R}_+$ .  $C(f)$  constitutes an equicontinuous family of functions, since  $\Gamma$  acts isometrically on  $S$ . Thus  $C(f)$  has compact closure in  $C(S)$ , w.r.t. the uniform norm. Let  $f_0 \in C(S)$  be the uniform limit of  $\pi_S(\lambda_{t_i})f$  for some subsequence  $t_i \rightarrow \infty$ . Then  $f_0$  is of course also the limit of  $\pi_S(\lambda_{t_i})f$  in the  $L^2(S, m)$ -norm. Given that  $\lambda_t$  satisfy the mean ergodic theorem, it follows that  $f_0(s) = \int_S f dm$  for  $m$ -almost all  $s \in S$ . Since  $m$  has full support, the last equality holds on a dense subset of  $S$ , and since  $f_0$  is continuous, it must hold everywhere. Thus  $\pi_S(\lambda_{t_i})f$  converges uniformly to the constant  $\int_S f dm$ , and this holds for every subsequence  $t_i \rightarrow \infty$ . It follows that the latter constant is the unique limit point in the uniform closure of the family  $\pi_S(\lambda_t)f$ . Hence

$$\lim_{t \rightarrow \infty} \left\| \pi_S(\lambda_t)f - \int_S f dm \right\|_{C(S)} = 0 .$$

$\square$

## 7. COMMENTS AND COMPLEMENTS

**7.1. Explicit error term.** Let us start by stating the following error estimate.

**Corollary 7.1.** *Let  $G$  be an  $S$ -algebraic group as in Definition 3.4. Let  $\Gamma$  be a lattice subgroup and  $G_t$  an admissible family, both contained in  $G^+$ . If  $G_t$  are well-balanced, or the lattice is irreducible, then the number of lattice points in  $G_t \cap \Gamma$  is estimated by (for all  $\varepsilon > 0$ )*

$$\left| \frac{|\Gamma_t|}{m_G(G_t)} - 1 \right| \leq B_\varepsilon \exp \left( -\frac{(\theta - \varepsilon)t}{\varrho_0 + 1} \right),$$

where  $\theta$  need only satisfy (for all  $\varepsilon > 0$ )

$$\|\pi_{G/\Gamma}(\beta_t)\|_{L^2_0(G/\Gamma)} \leq C_\varepsilon e^{-(\theta - \varepsilon)t}.$$

If  $\pi = \pi_{G/\Gamma}^0$  has a strong spectral gap, then  $\pi^{\otimes n} \subset \infty \cdot \lambda_G$  for some  $n$ , and the spectral parameter  $\theta$  is given explicitly in term of the rate of volume growth of  $G_t$  by

$$\theta = \frac{1}{2n} \limsup_{t \rightarrow \infty} \frac{1}{t} \log m_G(B_t).$$

We note that Corollary 7.1 is an immediate corollary of Theorem 6.11, taking  $r = p = 2$  and  $\varrho_0$  to be the upper local dimension. In addition one uses Remark 5.10, and the fact that  $S$ -arithmetic groups as in Definition 3.4 have the Kunze-Stein property. This is well known in the real case [Co1], and was proved by A. Veca [V, Thm. 1] in the totally disconnected, simply connected case.

We remark that a somewhat weaker rate can be established using (in effect) just the radial Kunze-Stein phenomenon (which is much easier to establish). This proceeds by using the fact that admissible averages are  $(K, C)$ -radial, and estimating the norm of the corresponding radialized averages directly using Proposition 5.9(2) and the standard estimate of the  $\Xi$ -function.

Of course,  $\varrho_0 = \dim_{\mathbb{R}} G$  when  $G$  is a connected Lie group and  $\mathcal{O}_\varepsilon$  are Riemannian balls. Furthermore,  $\varrho_0 = 0$  when  $G$  is a totally disconnected  $S$ -algebraic group.

**Remark 7.2. Lattice point counting problem.** Corollary 7.1 constitutes a quantitative solution to the lattice point counting problem in admissible domains. For a systematic discussion of quantitative counting results for more general domains, and more general groups, together with many applications, we refer to [GN].

### 7.2. Exponentially fast convergence versus equidistribution.

In this section we give an example of a connected semisimple Lie group  $H$  without compact factors acting by translations on a homogeneous space  $G/\Gamma$  of finite volume and Haar uniform admissible averages  $\beta_t$  on  $H$  such that

- equidistribution of  $H$ -orbits fails (i.e., there exist dense orbits for which the averages do not converge to the Haar measure),
- An exponentially fast pointwise ergodic theorem holds (i.e., for almost all starting points the averages converge to the Haar measure exponentially fast).

This example was originally constructed in [GW], Section 12.3.

Let

$$H = H_1 \times H_2 = \mathrm{SL}(2, \mathbb{R}) \times \mathrm{SL}(2, \mathbb{R})$$

and

$$r : H \rightarrow \mathrm{SL}(2l, \mathbb{R})$$

be the representation of  $H$  which is a tensor product of irreducible representations of  $H_1$  and  $H_2$  of dimensions 2 and  $l > 2$  respectively. We fix a norm  $\|\cdot\|$  on  $M(2l, \mathbb{R})$  and define

$$H_t = \{h \in H : \|r(h)\| < e^t\}.$$

Note that the sets  $H_t$  are not balanced, as shown in [GW].

Let  $G = \mathrm{SL}(2l, \mathbb{R})$  and  $\Gamma = \mathrm{SL}(2l, \mathbb{Z})$ . For  $x \in G/\Gamma$  and  $t > 0$ , consider the Radon probability measure

$$\mu_{x,t}(f) = \frac{1}{m_H(H_t)} \int_{H_t} f(h^{-1}x) dm_H(h), \quad f \in C_c(G/\Gamma).$$

**Proposition 7.3.** (1) *There exists  $x \in G/\Gamma$  such that  $\overline{Hx} = G/\Gamma$ , but Haar measure  $m_{G/\Gamma}$  is not an accumulation point of the sequence  $\mu_{x,t}$ ,  $t \rightarrow \infty$ , in the weak\* topology.*

(2) *For a.e.  $x \in G/\Gamma$ ,  $\mu_{x,t} \rightarrow m_{G/\Gamma}$  as  $t \rightarrow \infty$  in the weak\* topology. Moreover, for every  $p > r \geq 1$ , there exists  $\theta > 0$  such that for  $f \in L^p(m_{G/\Gamma})$  and a.e.  $x \in G/\Gamma$ ,*

$$\left| \mu_{x,t}(f) - \int_{G/\Gamma} f d\mu_{G/\Gamma} \right| \leq C(x, f) e^{-\theta_{p,r} t}$$

with

$$\|C(\cdot, f)\|_{L^r(\mu_{G/\Gamma})} \leq C \|f\|_{L^p(\mu_{G/\Gamma})}.$$

*Proof.* Part (1) was proved in [GW], Section 12.3.

To prove part (2), it suffices to observe that the representation of  $G$  on  $L_0^2(G/\Gamma)$  has spectral gap. Being simple, the spectral gap is strong, and so some tensor power of the representation embeds in  $\infty \cdot \lambda_G$ , as

follows from the spectral transfer principle (see Theorem 5.3, or [N3]). Thus the same tensor power of the representation of  $H$  on  $L_0^2(G/\Gamma)$  (restricted to  $H$ ) embeds in  $\infty \cdot \lambda_H$  and so  $H$  has a strong spectral gap as well. Therefore the desired result follows from Theorem 4.3.  $\square$

**7.2.1. Remark about balanced sets.** The last example owes its existence to the fact that the averages considered are not balanced. Let us therefore give an easy geometric criterion for a family of sets defined by a matrix norm on a product of simple groups to be balanced.

Let  $G = G_1 \cdots G_s$  be a connected semisimple Lie group where  $G_i$ 's are the simple factors and

$$\mathfrak{a} = \mathfrak{a}_1 \oplus \cdots \oplus \mathfrak{a}_s \quad (7.1)$$

a Cartan subalgebra of  $G$  where  $\mathfrak{a}_i$ 's are Cartan subalgebras of  $G_i$ 's. We fix a system of simple roots  $\Phi = \Phi_1 \cup \cdots \cup \Phi_s$  for  $\mathfrak{a}$  where  $\Phi_i$  is a system of simple roots for  $\mathfrak{a}_i$  and denote by

$$\mathfrak{a}^+ = \mathfrak{a}_1^+ \oplus \cdots \oplus \mathfrak{a}_s^+$$

the corresponding positive Weyl chamber.

Let  $r : G \rightarrow \mathrm{GL}(d, \mathbb{R})$  be a representation of  $G$ . For a norm  $\|\cdot\|$  on  $M_d(\mathbb{R})$ , let

$$G_t = \{g \in G : \|r(g)\| < t\}.$$

Let  $\Psi_r$  be the set of weights of  $\mathfrak{a}$ , and

$$\mathfrak{p}_r = \{H \in \mathfrak{a}^+ : \lambda(H) \leq 1 \text{ for all } \lambda \in \Psi_r\},$$

Finally, let

$$\delta = \max\{\rho(H) : H \in \mathfrak{p}_r\}$$

where  $\rho$  denotes the half sum of the positive roots of  $\mathfrak{a}$ .

We can now formulate the following

**Proposition 7.4.** *The sets  $G_t$  are balanced iff the set  $\{\rho = \delta\} \cap \mathfrak{p}_\rho$  is not contained in any proper subsum of the direct sum (7.1).*

The Proposition follows from [GW], Section 7.

## 8. APPENDIX : VOLUME ESTIMATES AND VOLUME REGULARITY

The appendix is devoted to establishing admissibility or Hölder-admissibility of the standard radial averages and more general ones, as well as to establishing conditions sufficient for the averages to be balanced or well balanced. We will also discuss boundary-regularity and differentiability properties of volume functions for some metrics, particularly  $CAT(0)$ -metrics.

**8.1. Admissibility of standard radial averages.** We begin with a proof of Theorem 3.14, whose statement we recall.

**Theorem 3.14.** *For an  $S$ -algebraic group  $G = G(1) \cdots G(N)$  as in Definition 3.4, the following families of sets  $G_t \subset G$  are admissible, where  $a_i$  are any positive constants.*

- (1) *Let  $S$  consist of infinite places, and let  $G(i)$  be a closed subgroup of the isometry group of a symmetric space  $X_i$  of nonpositive curvature equipped with the Cartan–Killing metric. For  $u_i, v_i \in X_i$ , define*

$$G_t = \{(g_1, \dots, g_N) : \sum_i a_i d_i(u_i, g_i \cdot v_i) < t\}.$$

- (2) *Let  $S$  consist of infinite places, and let  $\rho_i : G(i) \rightarrow \mathrm{GL}(V_i)$  be proper rational representations. For norms  $\|\cdot\|_i$  on  $\mathrm{End}(V_i)$ , define*

$$G_t = \{(g_1, \dots, g_N) : \sum_i a_i \log \|\rho_i(g_i)\|_i < t\}.$$

- (3) *For infinite places, let  $X_i$  be the symmetric space of  $G(i)$  equipped with the Cartan–Killing distance  $d_i$ , and for finite places, let  $X_i$  be the Bruhat–Tits building of  $G(i)$  equipped with the path metric  $d_i$  on its 1-skeleton. For  $u_i \in X_i$ , define*

$$G_t = \{(g_1, \dots, g_N) : \sum_i a_i d_i(u_i, g_i \cdot u_i) < t\}.$$

- (4) *Let  $\rho_i : G(i) \rightarrow \mathrm{GL}(V_i)$  be proper representations, rational over the fields of definition  $F_i$ . For infinite places, let  $\|\cdot\|_i$  be a Euclidean norm on  $\mathrm{End}(V_i)$ , and assume that  $\rho_i(G(i))$  is self-adjoint :  $\rho_i(G(i))^t = \rho_i(G(i))$ . For finite places, let  $\|\cdot\|_i$  be the max-norm on  $\mathrm{End}(V_i)$ . Define*

$$G_t = \{(g_1, \dots, g_N) : \sum_i a_i \log \|\rho_i(g_i)\|_i < t\}.$$

The proof is divided into several propositions. To handle Archimedean groups we will employ some arguments originating in [DRS] and [EMS], and in the general case of  $S$ -algebraic groups we will also employ convolution arguments which will be developed below. We note that the latter arguments will utilize knowledge of the behavior of  $\mathrm{vol}(B_t)$  for *all*  $t > 0$ , and we will thus consider below the behavior for  $t$  large and for  $t$  small, separately.



**Proposition 8.1.** *Let  $G$  be a connected semisimple group with finite center and  $X$  the corresponding symmetric space equipped with the Cartan–Killing metric  $d$ . For  $u \in X$ , set*

$$G_t = \{g \in G; d(u, g \cdot u) < t\}.$$

*Then there exists  $c > 0$  such that for all  $t \geq 0$  and  $\epsilon \in (0, 1)$ ,*

$$\text{vol}(G_{t+\epsilon}) - \text{vol}(G_t) \leq c\epsilon \max\{1, \text{vol}(G_t)\}.$$

*Proof.* Note that the stabilizer of  $u$  is a maximal compact subgroup  $K$  of  $G$ , and for a Cartan subgroup  $A$  of  $G$ , the map  $a \mapsto a \cdot u$ ,  $a \in A$ , is an isometry. We introduce polar coordinates  $(r, \omega) \in \mathbb{R}^+ \times S^+$  on the Lie algebra of  $A$ . With respect to the Cartan decomposition  $G = KA^+K$ , the Haar measure on  $G$  is given by  $\xi(r, \omega) dr d\omega dk$  with a nonnegative smooth density function  $\xi$ . Then

$$\text{vol}(G_{t+\epsilon}) - \text{vol}(G_t) = \int_{S^+} \int_t^{t+\epsilon} \xi(r, \omega) dr d\omega = \epsilon \int_{S^+} \xi(\sigma(\omega), \omega) d\omega$$

for some  $\sigma(\omega) \in [t, t + \epsilon]$ . This implies the claim for  $t \in [0, 1]$ . To establish the claim for  $t > 1$ , we use the following property of the function  $\xi$  (see [EMS], Lemma A.3): there exists  $c > 0$  such that for every  $r > 1$  and  $\omega \in S^+$ ,

$$\xi(r, \omega) \leq c \int_0^r \xi(s, \omega) ds. \quad (8.1)$$

□

**Proposition 8.2.** *Let  $d$  be the Cartan–Killing metric on a symmetric space  $X$  of nonpositive curvature,  $u, v \in X$ , and  $G$  a closed connected semisimple subgroup of the isometry group of  $X$ . Define the sets*

$$G_t = \{g \in G; d(u, g \cdot v) < t\}.$$

*Then there exist  $c, t_0 > 0$  such that for all  $t > t_0$  and  $\epsilon \in (0, 1)$ ,*

$$\text{vol}(G_{t+\epsilon}) - \text{vol}(G_t) \leq c\epsilon \text{vol}(G_t).$$

*Proof.* It follows from Mostow’s theorem that there exist a maximal compact subgroup  $K$  of  $G$  and an associated Cartan subgroup  $A$  such that  $K \subset \text{Stab}(v)$  and the map  $a \mapsto a \cdot v$ ,  $a \in A$ , is an isometry. Consider polar coordinates  $(r, \omega) \in \mathbb{R}^+ \times S^+$  on the Lie algebra of  $A$ , and set

$$S_t(k) = \{(r, \omega); d(k^{-1}u, \exp(r\omega)v) < t\}.$$

Then

$$\text{vol}(G_t) = \int_K \int_{S_t(k)} \xi(r, \omega) dr d\omega dk.$$

For  $p \in Ku$ , we consider the function

$$f_p(x) = \frac{1}{2}d(p, x)^2, \quad x \in X.$$

We have

$$\text{grad } f_p = -\exp_x^{-1}(p),$$

and for the unit-speed geodesic ray  $\gamma_\omega(r) = \exp(r\omega)v$ ,

$$\begin{aligned} \frac{d}{dr}f_p(\gamma_\omega(r)) &= \langle (\text{grad } f_p)_{\gamma_\omega(r)}, \gamma'_\omega(r) \rangle_{\gamma_\omega(r)}, \\ \frac{d^2}{dr^2}f_p(\gamma_\omega(r)) &= \langle \nabla_{\gamma'_\omega(r)}(\text{grad } f_p)_{\gamma_\omega(r)}, \gamma'_\omega(r) \rangle_{\gamma_\omega(r)}. \end{aligned}$$

Since the space  $X$  has nonpositive sectional curvature, we deduce (see [J], Theorem 4.6.1) that

$$\langle \nabla_w(\text{grad } f_p)_x, w \rangle_x \geq \|w\|_x^2 \quad \text{for every } w \in T_x X.$$

Hence,

$$\frac{d^2}{dr^2}f_p(\gamma_\omega(r)) \geq 1. \quad (8.2)$$

Therefore, there exists  $r_0 > 0$  and  $\alpha > 0$  such that for every  $r > r_0$ ,  $p \in Ku$ ,  $\omega \in S^d$ , we have

$$\frac{d}{dr}f_p(\gamma_\omega(r)) \geq \alpha r.$$

For  $\epsilon > 0$  and  $r > r_0$ ,

$$\begin{aligned} d(p, \exp((r + \epsilon)\omega)v) &= \sqrt{2f_p(\gamma_\omega(r + \epsilon))} \geq \sqrt{2f_p(\gamma_\omega(r)) + 2\alpha r\epsilon} \\ &= d(p, \exp(r\omega)v) \sqrt{1 + 2\alpha r\epsilon/d(p, \gamma_\omega(r))^2}. \end{aligned}$$

Since it follows from the triangle inequality that for some  $c > 0$ ,

$$r - c \leq d(p, \gamma_\omega(r)) \leq r + c \quad \text{for all } p \in Ku \text{ and } \omega \in S^+,$$

we conclude that for some  $\beta > 0$ ,

$$d(p, \exp((r + \epsilon)\omega)v) \geq d(p, \exp(r\omega)v) + \beta\epsilon. \quad (8.3)$$

for sufficiently large  $r$  and sufficiently small  $\epsilon > 0$ . This implies that for sufficiently large  $t$ , the sets  $S_t(k)$  are star-shaped. Let  $r_t(k, \omega)$  be the unique solution of the equation.

$$d(k^{-1}u, \exp(r\omega)v) = t.$$

Note that, by (8.3), for sufficiently large  $t$  and  $\epsilon \in (0, 1)$ ,

$$r_{t+\epsilon}(k, \omega) \leq r_t(k, \omega) + \beta^{-1}\epsilon.$$

Setting

$$m_t(k, \omega) = \int_0^{r_t(k, \omega)} \xi(r, \omega) dr,$$

we have

$$\begin{aligned} m_{t+\epsilon}(k, \omega) - m_t(k, \omega) &\leq \int_0^{r_t(k, \omega) + \beta^{-1}\epsilon} \xi(r, \omega) r dr - \int_0^{r_t(k, \omega)} \xi(r, \omega) dr \\ &= \beta^{-1}\epsilon \cdot \xi(\sigma, \omega). \end{aligned}$$

for some  $\sigma \in [r_t(k, \omega), r_t(k, \omega) + \beta^{-1}\epsilon]$ . Now it follows from (8.1) that

$$m_{t+\epsilon}(k, \omega) - m_t(k, \omega) \leq (\beta^{-1}c)\epsilon \cdot m_{t+\epsilon}(k, \omega).$$

This shows that

$$\text{vol}(G_{t+\epsilon}) = \int_K \int_{S^d} m_{t+\epsilon}(k, \omega) d\omega dk \leq (\beta^{-1}c)\epsilon \cdot \text{vol}(G_{t+\epsilon}) + \text{vol}(G_t),$$

which implies the proposition.  $\square$

**Proposition 8.3.** (cf [EMS, Appendix]) *Let  $\rho : G \rightarrow \text{GL}(V)$  is a proper representation of a connected semisimple Lie group  $G$ ,  $\|\cdot\|$  a norm on  $\text{End}(V)$ , and*

$$G_t = \{g \in G; \log \|\rho(g)\| < t\}.$$

*Then there exist  $c, t_0 > 0$  such that for all  $t > t_0$  and all  $\epsilon \in (0, 1)$ ,*

$$\text{vol}(G_{t+\epsilon}) - \text{vol}(G_t) \leq c\epsilon \text{vol}(G_t).$$

*Proof.* We employ the argument from Appendix of [EMS], but since this argument is not quite complete (see (8.4) below), we provide a sketch which indicates that in our setting it is indeed applicable and provides the Lipschitz estimate.

We fix a Cartan decomposition  $G = KA^+K$  and use polar coordinates  $(r, \omega)$  on the Lie algebra of  $A$ . Let

$$S_t(k_1, k_2) = \{(r, \omega); \|\rho(k_1 \exp(r\omega)k_2)\| < e^t\}.$$

Then

$$\text{vol}(G_t) = \int_{K \times K} \int_{S_t(k_1, k_2)} \xi(r, \omega) dr d\omega dk_1 dk_2.$$

Since  $\rho(A)$  is (simultaneously) diagonalizable over  $\mathbb{R}$ , there exist  $v_i \in \text{End}(\mathbb{R}^n)$  such that

$$\rho(\exp(r\omega)) = \sum_i e^{r\lambda_i(\omega)} v_i.$$

Since all norms are equivalent and  $\rho(K)$  is compact, there exist  $c_1, c_2 > 0$  such that for every  $k_1, k_2 \in K$ ,  $r > 0$ , and  $\omega \in S^+$ ,

$$c_1 \exp(r \max_i \lambda_i(\omega)) \leq \|\rho(k_1 \exp(r\omega)k_2)\| \leq c_2 \exp(r \max_i \lambda_i(\omega)). \quad (8.4)$$

Note that the lower estimate needs further argument in the generality of [EMS], since there the  $v_i$ 's depend on  $\omega$ . But for constant  $v_i$ , using these estimates, the argument from [EMS], Lemma A.4, shows that

- (1) There exists  $t_0 > 0$  such that for  $t > t_0$  the sets  $S_t(k_1, k_2)$  are star-shaped.
- (2) There exists  $r_0 > 0$  such that for every  $r > r_0$ ,  $\omega \in S^d$ , and  $\epsilon \in [0, 1)$ , we have

$$\|\rho(k_1 \exp((r + \epsilon)\omega)k_2)\| \geq g(\epsilon) \cdot \|\rho(k_1 \exp(r\omega)k_2)\|$$

where  $g : [0, 1) \rightarrow [1, \infty)$  is explicit smooth function such that  $g(0) = 1$  and  $g' > 0$ . In particular, there exists  $\beta > 0$  such that  $g(\epsilon) \geq e^{\beta\epsilon}$ .

Let  $r_t(k_1, k_2, \omega)$  denote the unique solution of the equation

$$\|\rho(k_1 \exp(r\omega)k_2)\| = e^t.$$

Then it follows that

$$r_{t+\epsilon}(k_1, k_2, \omega) \leq r_t(k_1, k_2, \omega) + \beta^{-1}\epsilon.$$

Finally, the Lipschitz property of the sets  $G_t$  can be proved as in Proposition 8.1 above.  $\square$

Let us note the following consequence of the foregoing arguments. Let  $H$  be a symmetric subgroup of a connected semisimple Lie group with finite center, embedded a Zariski closed  $G$ -orbit in a linear space  $V$ .

**Corollary 8.4.** *Proposition 8.3 applies to subsets of affine symmetric varieties  $G/H$  defined by an arbitrary norm on the ambient vector space.*

*Proof.* Indeed, for symmetric varieties one has a decomposition of the form  $G = KAH$  where  $A$  is simultaneously diagonalizable, and the arguments utilized in the proof of Proposition 8.3 apply without any material changes.  $\square$

**Proposition 8.5.** *Let  $\rho : G \rightarrow \mathrm{GL}(V)$  is a proper representation of a connected semisimple Lie group  $G$  such that  ${}^t\rho(G) = \rho(G)$ ,  $\|\cdot\|$  the Euclidean norm on  $\mathrm{End}(V)$ , and*

$$G_t = \{g \in G; \log \|\rho(g)\| < t\}.$$

Then there exist  $c > 0$  such that for all  $t > 0$  and all  $\epsilon \in (0, 1)$ ,

$$\text{vol}(G_{t+\epsilon}) - \text{vol}(G_t) \leq c\epsilon \max\{1, \text{vol}(G_t)\}.$$

*Proof.* Let  $t_0$  be as in Proposition 8.3. It remains to prove the claim for  $t \leq t_0$ .

Since  $\rho(G)$  is self-adjoint, there exist a maximal compact subgroup  $K$  such that  $\rho(K) \subset \text{SO}(V)$  and a Cartan subgroup such that  $\rho(A)$  is diagonal. For  $k_1, k_2 \in K$  and  $a \in \text{Lie}(A)$ ,

$$\|\rho(k_1 \exp(a) k_2)\|^2 = \sum_i e^{2\lambda_i(a)}.$$

where  $\lambda_i$ 's are characters of  $\text{Lie}(A)$  such that  $\sum_i \lambda_i = 0$ . We use polar coordinates  $(r, \omega)$  on  $A$  and set

$$f_\omega(r) = \sum_i e^{2r\lambda_i(\omega)}.$$

Then

$$\text{vol}(G_t) = \int_{(r, \omega): \log f_\omega(r) < 2t} \xi(r, \omega) dr d\omega.$$

Since  $f''_\omega > 0$  and  $f'_\omega(0) = 0$ , the function  $\log f_\omega$  is increasing. Let  $r_\omega$  be the inverse function of  $\log f_\omega$  and  $r_0 = \max\{r_\omega(2t_0)\}$ . By the mean value theorem, there exists  $\alpha > 0$  such that

$$f'_\omega(r) \geq \alpha r \quad \text{for } r \in [0, r_0].$$

Then for some  $\beta > 0$ ,

$$r'_\omega(t) \leq \beta r_\omega(t)^{-1} \quad \text{for } r \in [0, 2t_0]. \quad (8.5)$$

Since for some  $c > 0$ ,

$$\xi(r, \omega) \leq c r \quad \text{for } r \in [0, r_0] \text{ and } \omega \in S^d,$$

we have

$$\begin{aligned} \text{vol}(G_{t+\epsilon}) - \text{vol}(G_t) &= \int_{S^d} \int_{r_\omega(2t)}^{r_\omega(2t+2\epsilon)} \xi(r, \omega) dr d\omega \\ &\leq c \int_{S^d} (r_\omega(2t+2\epsilon)^2 - r_\omega(2t)^2) d\omega. \end{aligned}$$

Now the proposition follows from (8.5).  $\square$

**8.2. Convolution arguments.** We now turn to discuss convolution arguments, which together with the foregoing result will complete the proof of Theorem 3.14. Let  $G_i$ ,  $i = 1, 2$ , be locally compact noncompact groups, and let  $d_i : G_i \rightarrow [t_0, \infty)$ ,  $i = 1, 2$ , be proper continuous functions. We set

$$\begin{aligned} v_i(t) &= \text{vol}(\{g_i \in G_i; d_i(g_i) < t\}), \\ v(t) &= \text{vol}(\{(g_1, g_2) \in G_1 \times G_2; d_1(g_1) + d_2(g_2) < t\}). \end{aligned}$$

**Proposition 8.6.** *Suppose that there exist  $c > 0$  and  $s_0 > t_0$  such that for all sufficiently small  $\epsilon > 0$  and for all  $t > s_0$ ,*

$$v_i(t + \epsilon) \leq (1 + c\epsilon) v_i(t), \quad i = 1, 2.$$

*Then for all sufficiently small  $\epsilon > 0$  and for all  $t > 2s_0 + 2$ ,*

$$v(t + \epsilon) \leq (1 + 3c\epsilon) v(t).$$

*Proof.* Let

$$\begin{aligned} w_1(t) &:= \text{vol}(\{(g_1, g_2); d_1(g_1) + d_2(g_2) < t, d_1(g_1) \geq s_0 + 1, d_2(g_2) < s_0 + 1\}), \\ w_2(t) &:= \text{vol}(\{(g_1, g_2); d_1(g_1) + d_2(g_2) < t, d_1(g_1) < s_0 + 1, d_2(g_2) \geq s_0 + 1\}), \\ w_3(t) &:= \text{vol}(\{(g_1, g_2); d_1(g_1) + d_2(g_2) < t, d_1(g_1) \geq s_0 + 1, d_2(g_2) \geq s_0 + 1\}). \end{aligned}$$

For sufficiently small  $\epsilon$  and for all  $t$ , we have

$$\begin{aligned} &w_1(t + \epsilon) - w_1(t) \\ &\leq \int_{g_2: d_2(g_2) < s_0 + 1} \text{vol}(\{g_1 : \max\{s_0 + 1, t - d_2(g_2)\} \leq d_1(g_1) < t + \epsilon - d_2(g_2)\}) dg_2 \\ &\leq \int_{g_2: t - d_2(g_2) > s_0} (v_1(t - d_2(g_2) + \epsilon) - v_1(t - d_2(g_2))) dg_2 \\ &\leq c\epsilon \int_{g_2: t - d_2(g_2) > s_0} v_1(t - d_2(g_2)) dg_2 \leq c\epsilon v(t). \end{aligned}$$

Using similar argument, one shows that

$$w_i(t + \epsilon) - w_i(t) \leq c\epsilon v(t), \quad i = 1, 2, 3,$$

for sufficiently small  $\epsilon$  and for all  $t$ . Since for  $t > 2s_0 + 2$ ,

$$v(t + \epsilon) - v(t) = (w_1(t + \epsilon) - w_1(t)) + (w_2(t + \epsilon) - w_2(t)) + (w_3(t + \epsilon) - w_3(t)),$$

this implies the claim.  $\square$

A very similar argument establishes the following :

**Proposition 8.7.** *Suppose that there exist  $c > 0$  and  $s_0 > t_0$  such that for all  $t > s_0$ ,*

$$v_i(t + 1) \leq c v_i(t), \quad i = 1, 2.$$

Then for all  $t > 2s_0 + 2$ ,

$$v(t+1) \leq (1+3c)v(t).$$

**Proposition 8.8.** *Suppose that there exist  $c > 0$  such that for all sufficiently small  $\epsilon > 0$  and for all  $t \geq t_0$ ,*

$$v_1(t+\epsilon) - v_1(t) \leq c\epsilon \max\{v_1(t), 1\}.$$

*Then there exists  $s_0 \geq 0$  such that for all sufficiently small  $\epsilon > 0$  and for all  $t \geq 2t_0$ ,*

$$v(t+\epsilon) - v(t) \leq c\epsilon v(t+s_0).$$

*Proof.* Since

$$v(t) = \int_{G_2} v_1(t - d_2(g_2)) dg_2 = \int_{g_2: t-d_2(g_2) \geq t_0} v_1(t - d_2(g_2)) dg_2,$$

it follows that for all sufficiently small  $\epsilon > 0$  and  $t \geq 2t_0$ ,

$$\begin{aligned} v(t+\epsilon) - v(t) &\leq c\epsilon \int_{g_2: t-d_2(g_2) \geq t_0} \max\{v_1(t - d_2(g_2)), 1\} dg_2 \\ &\leq c\epsilon \int_{g_2: t-d_2(g_2) \geq t_0} \max\{v_1(t - d_2(g_2)), 1\} dg_2. \end{aligned}$$

Since  $G_1$  is noncompact,  $v(t) \rightarrow \infty$  as  $t \rightarrow \infty$  and there exists  $s_0 > 0$  such that for all  $t > s_0 + t_0$ , we have  $v_1(t) > 1$ . Then

$$\begin{aligned} v(t+\epsilon) - v(t) &\leq c\epsilon \int_{g_2: t-d_2(g_2) \geq t_0} v_1(s_0 + t - d_2(g_2)) dg_2 \\ &\leq c\epsilon v(t+s_0). \end{aligned}$$

□

*Proof of Theorem 3.14.* (1) follows from Propositions 8.1 and 8.6. (2) follows from Propositions 8.3 and 8.6. (3) follows from Propositions 8.2, 8.7 and 8.8. (4) follows from Propositions 8.5, 8.7 and 8.8. □

As we saw, the properties of balancedness and well-balancedness play an important role in the proofs of the ergodic theorems. To complete our discussion of the averages discussed in in Theorem 3.14, let us note the following.

First, the following criterion is sufficient to establish that the averages  $(\sum_i a_i d_i^p)^p$  are in fact well-balanced, provided  $1 < p < \infty$ .

**Proposition 8.9.** *Suppose that for some  $s_0 > t_0$ ,  $a_i, b_i > 0$ ,  $u_i \geq 0$  and  $w_i > 0$ ,*

$$a_i t^{u_i} e^{w_i t} \leq v_i(t) \leq b_i t^{u_i} e^{w_i t} \quad \text{for } t > s_0 \text{ and } i = 1, 2.$$

Then the sets

$$G_t = \{(g_1, g_2) : d_1(g_1)^p + d_2(g_2)^p < t^p\}$$

are well-balanced.

*Proof.* For  $s \in [0, 1]$ ,

$$\text{vol}(G_t) \geq v_1((1 - s^p)^{1/p}t)v_2(st) \gg (1 - s^p)^{u_1/p} s^{u_2} t^{u_1+u_2} \exp(\kappa(s)t)$$

where  $\kappa(s) = w_1(1 - s^p)^{1/p} + w_2s$ . It follows from convexity of  $\kappa$  that for some  $s_0 \in (0, 1)$ ,  $\kappa(s_0) > w_1, w_2$ . This implies the claim.  $\square$

Typically, the averages defined by the distances  $\sum_i a_i d_i$  are not balanced. However, we have

**Proposition 8.10.** *Under the assumptions of Proposition 8.9, there exist  $\alpha_1, \alpha_2 > 0$  such that the sets*

$$G_t = \{(g_1, g_2) : \alpha_1 d_1(g_1) + \alpha_2 d_2(g_2) < t\}$$

are balanced.

*Proof.* Choosing  $\alpha_1, \alpha_2 > 0$  suitably and rescaling the distance functions we may assume that  $\alpha_1 = \alpha_2 = 1$  and  $w_1 = w_2$ . We have

$$\text{vol}(G_t) \geq v_1(t/2)v_2(t/2) \gg t^{u_1+u_2} \exp(w_1 t).$$

This implies the claim unless  $u_1 = u_2 = 0$ . In this case,

$$\text{vol}(G_t) \gg \int_{t-d_2(g_2) > s_0} e^{w_1(t-d_2(g_2))} dg_2.$$

Since  $v_2(t) \gg e^{w_2 t}$ , we have  $\int_{G_2} e^{-w_2 d_2(g)} dg = \infty$ . This implies the proposition.  $\square$

**8.3. Admissible, well-balanced, boundary-regular families.** The present subsection is devoted to the proof of Theorem 3.17, whose formulation we recall.

**Theorem 3.17.** *Let  $G = G_1 \cdots G_s$  be an  $S$ -algebraic group and  $\ell_i$  denote the standard  $CAT(0)$ -metric on either the symmetric space  $X_i$  or the Bruhat–Tits building  $X_i$  associated to  $G_i$ . For  $p > 1$  and  $u_i \in X_i$ , define*

$$G_t = \{(g_1, \dots, g_s) : \sum_i \ell_i(u_i, g_i u_i)^p < t^p\}.$$

Let  $m$  be a Haar measure  $G$ .



- (i) *There exist  $\alpha, \beta > 0$  such that for every nontrivial projection  $\pi : G \rightarrow L$ ,*

$$m(G_t \cap \pi^{-1}(L_{\alpha t})) \ll e^{-\beta t} \cdot m_t(G_t),$$

*namely the averages are well-balanced.*

- (ii) *If  $G$  has at least one Archimedean factor, then the family  $G_t$  is admissible, and writing  $m = \int_0^\infty m_t dt$  where  $m_t$  is a measure supported on  $\partial G_t$ , the following estimate holds :*

*There exist  $\alpha, \beta > 0$  such that for every nontrivial projection  $\pi : G \rightarrow L$ ,*

$$m_t(\partial G_t \cap \pi^{-1}(L_{\alpha t})) \ll e^{-\beta t} \cdot m_t(\partial G_t),$$

*namely the averages are boundary-regular.*

In the proof, we use the following lemma:

**Lemma 8.11.** *With notation as in Theorem 3.17, there exists  $\eta > 0$  such that for every  $\epsilon > 0$  and  $t \gg 0$ ,*

$$e^{(\eta-\epsilon)t} \ll_\epsilon m(G_t) \ll_\epsilon e^{(\eta+\epsilon)t}.$$

*Proof.* Note that the stabilizer of  $u_i$  is a maximal compact subgroup  $K_i$  of  $G_i$ . For archimedean factors, one can choose a Cartan subgroup  $A_i$  of  $G_i$  equipped with a scalar product such that the map  $a \mapsto a \cdot u_i$ ,  $a \in A_i$ , is an isometry. Then Cartan decomposition  $G_i = K_i A_i^+ K_i$  holds, and a Haar measure on  $G_i$  is given by  $dk_1 d\nu_i(a) dk_2$  where

$$d\nu_i(a) = \prod_{\alpha \in \Sigma_i^+} \sinh(\alpha(a))^{n_{i,\alpha}} da, \quad (8.6)$$

$\Sigma_i^+$  denotes the set of positive roots, and  $n_{i,\alpha}$  is the dimension of the root space. For non-Archimedean factors, there exists a lattice  $A_i$  in the centralizer of a maximal split torus, equipped with a scalar product such that the map  $a \mapsto a \cdot u_i$ ,  $a \in A_i$ , is an isometry and  $G_i = K_i A_i^+ K_i$ . Let

$$d\nu_i = \sum_{a \in A_i^+} \text{vol}(K_i a K_i) \delta_a.$$

Note that

$$q_i^{2\rho_i(a)} \ll \text{vol}(K_i a K_i) \ll q_i^{2\rho_i(a)} \quad (8.7)$$

where  $q_i$  is the order of the residue field and  $2\rho_i$  is the sum of positive roots. Consider a measure  $\nu = \otimes_i \nu_i$  on  $A^+ = \prod_i A_i^+$ , and set  $d(a) = (\sum_i \|a_i\|_i^p)^{1/p}$ . Let  $\tilde{A}^+ = \prod_i (A_i^+ \otimes \mathbb{R})$ ,  $d\tilde{\nu}_i(a) = q_i^{2\rho_i(a)} da$  for non-Archimedean factors,  $\tilde{\nu}_i = \nu_i$  for Archimedean factors, and  $\tilde{\nu} = \otimes_i \tilde{\nu}_i$ . It follows from (8.7) that there exists  $c > 0$  such that for  $t \gg 0$ ,

$$\tilde{\nu}(\{a \in \tilde{A} : d(a) < t-c\}) \ll \nu(\{a \in A : d(a) < t\}) \ll \tilde{\nu}(\{a \in \tilde{A} : d(a) < t+c\}).$$

Hence, the proof is reduced to estimation of the integral given by  $I(t) := \int_{a \in \tilde{A}^+ : d(a) < t} d\tilde{\nu}(a)$ . Let

$$2\rho = \sum_i (\log q_i) 2\rho_i \quad \text{and} \quad \eta = \sup\{2\rho(a) : a \in \tilde{A}^+, d(a) < t\}$$

(here we set  $q_i = e$  for Archimedean factors). We have

$$I(t) \ll t^{(\dim \tilde{A}^+ - 1)} e^{\eta t}. \quad (8.8)$$

For every  $\epsilon > 0$ , there exists a closed cone  $C$  contained in the interior of  $\tilde{A}^+$  such that for  $a \in C$ ,  $2\rho(a) > (\eta - \epsilon)d(a)$ . Then

$$I(t) \gg \int_{a \in C : d(a) < t} e^{2\rho(a)} da \gg e^{(\eta - \epsilon)t}.$$

This implies the claim.  $\square$

*Proof of Theorem 3.17.* To prove part (i), let  $G = LL'$  be a nontrivial decomposition of  $G$ . Using Lemma 8.11, we deduce that for  $s, \epsilon \in (0, 1)$  and  $t \gg 0$ ,

$$m(G_t) \geq m_L(L_{(1-s^p)^{1/p}t}) m_{L'}(L'_{st}) \gg_\epsilon \exp(\kappa(s, \epsilon)t)$$

where  $\kappa(s, \epsilon) = (\eta - \epsilon)(1 - s^p)^{1/p} + (\eta' - \epsilon)s$ . It follows from convexity of  $\kappa(\cdot, \epsilon)$  that for some  $s_0, \epsilon_0 \in (0, 1)$ , we have  $\kappa(s_0, \epsilon_0) > \eta, \eta'$ . Hence, there exists  $\beta > 0$  such that for every  $t \gg 0$ ,

$$m_L(L_t) \ll e^{-\beta t} \cdot m(G_t). \quad (8.9)$$

For  $\alpha > 0$ , and  $t \gg 0$ , we have

$$m(G_t \cap L_{\alpha t} L') \leq m_L(L_{\alpha t}) m_{L'}(L'_t) \ll m_L(L_{\alpha t}) e^{-\beta t} \cdot m(G_t).$$

This implies that the averages in question are well-balanced.

As to part (ii), namely admissibility, the property that

$$\mathcal{O}_\epsilon G_t \mathcal{O}_\epsilon \subset G_{t+c\epsilon} \quad \text{for some } c > 0 \text{ and every } \epsilon, t > 0$$

follows from the triangle inequalities for  $d_i$ 's and the  $L^p$ -norm. Now we show that

$$m(G_{t+\epsilon}) \leq (1 + c\epsilon) m(G_t) \quad \text{for some } c > 0 \text{ and every } t \gg 0, \epsilon \in (0, 1). \quad (8.10)$$

Let  $d(g) = (\sum_i \ell_i(u_i, gu_i)^p)^{1/p}$ . Write  $G = MN$  where  $M$  is an archimedean factor and  $N$  is its complement. Setting

$$v(t) = m_M(M_t) \quad \text{and} \quad w(t, n) = v((t^p - d(n)^p)^{1/p}),$$

we have

$$m(G_t) = \int_{d(n) < t} w(t, n) dn.$$

We claim that the function  $v$  is differentiable and

$$v'(t) \ll \max\{t, v(t)\} \quad \text{for all } t > 0. \quad (8.11)$$

To prove this, we consider the Cartan decomposition  $M = KA^+K$  (as in the proof of Lemma 8.11) and introduce polar coordinates  $(r, \omega) \in \mathbb{R}^+ \times S^+$  on the Lie algebra of  $A$ . The Haar measure is given by  $\xi(r, \omega) dk_1 dr d\omega dk_2$  with explicit density  $\xi$  (see (8.6)). We get

$$m_M(M_{t+\epsilon}) - m_M(M_t) = \int_{S^+} \int_t^{t+\epsilon} \xi(r, \omega) dr d\omega = \epsilon \int_{S^+} \xi(\sigma(\omega), \omega) d\omega \quad (8.12)$$

for some  $\sigma(\omega) \in [t, t + \epsilon]$ . Since  $\xi(r, \omega) \ll r$  for  $r \in [0, 1]$ , this implies (8.11) for  $t \in [0, 1]$ . To establish (8.11) for  $t > 1$ , we use the property of the function  $\xi$  stated in (8.1). It follows from (8.11) that

$$w'(t, n) \ll \max\{1, w(t, n)\}.$$

uniformly on  $t > 0$  and  $n \in N$  satisfying  $d(n) < t$ , and we deduce the estimate

$$m(G_{t+\epsilon}) - m(G_t) \ll \epsilon \int_{d(n) < t} \max\{1, w(t + \epsilon, n)\} dn$$

for every  $t > 0$  and  $\epsilon \in (0, 1)$ . There exists  $t_0 > 1$  such that  $w(t + t_0, n) > 1$  for every  $t > 0$  and  $n \in N$  such that  $d(n) < t$ . Then

$$m(G_{t+\epsilon}) - m(G_t) \ll \epsilon \int_{d(n) < t} w(t + t_0, n) dn \leq \epsilon m(G_{t+t_0}).$$

Now (8.10) follows from Lemma 8.11. This proves that the sets  $G_t$  are admissible. We have decomposition of the Haar measure on  $M$ :  $m_M = \int_0^\infty m_{M,t} dt$ , where  $m_{M,t}$  is measure supported on  $\partial M_t$ . Note that

$$m_{M,t}(\partial M_t) = v'(t).$$

The Haar measure on  $G$  has a decomposition:  $m = \int_0^\infty m_t dt$ , where

$$dm_t(m, n) = t^{p-1} (t^p - d(n)^p)^{1/p-1} dm_{M, (t^p - d_N(n)^p)^{1/p}}(m) dn.$$

Hence, we have

$$m_t(\partial G_t) = \int_{d(n) < t} w'(t, n) dn.$$

As in (8.8),

$$m_M(M_t) = \int_{S^+} \int_0^t \xi(s, \omega) ds d\omega \ll t^{\dim A - 1} e^{\eta t}$$

where  $\eta = \max\{2\rho(\omega) : \omega \in S^+\}$  and  $2\rho$  is the sum of positive roots of  $A$ . Choosing a small neighborhood  $U$  of  $\omega_0$  satisfying  $2\rho(\omega_0) = \eta$ , we deduce that using (8.12) that for every  $\delta > 0$  and  $t \gg 0$ ,

$$m_M(M_{t+\epsilon}) - m_M(M_t) \geq \epsilon \int_U \xi(\sigma(\omega), \omega) d\omega \gg \epsilon \cdot e^{(\eta-\delta)t},$$

This implies that for every  $\delta > 0$  and  $t \gg 0$ ,  $v'(t) \gg v((1-\delta)t)$ . Hence, for  $\alpha \in (0, 1)$  and  $t \gg 0$ ,

$$m_t(\partial G_t) \geq \int_{d(n) < \alpha t} w'(t, n) dn \gg \int_{d(n) < \alpha t} w(t, n) dn \geq m(G_{\alpha t}). \quad (8.13)$$

For  $\alpha \in (0, 1)$  and  $t \gg 0$ ,

$$\begin{aligned} m_t(\partial G_t \cap M_{\alpha t} N) &= \int_{(1-\alpha^p)^{-1/p} t < d(n) < t} w'(t, n) dn \\ &\ll \int_{(1-\alpha^p)^{-1/p} t < d(n) < t} \max\{1, w(t, n)\} dn \\ &\leq m_N(N \cap G_t) + m(G_t \cap M_{\alpha t} N). \end{aligned}$$

Also, for every nontrivial simple factor  $\pi : N \rightarrow L$ ,

$$\begin{aligned} m_t(\partial G_t \cap M\pi^{-1}(L_{\alpha t})) &= \int_{n \in \pi^{-1}(L_{\alpha t}), d(n) < t} w'(t, n) dn \\ &\ll \int_{n \in \pi^{-1}(L_{\alpha t}), d(n) < t} \max\{1, w(t, n)\} dn \\ &\leq m_N(\pi^{-1}(L_{\alpha t}) \cap G_t) + m(G_t \cap M\pi^{-1}(L_{\alpha t})). \end{aligned}$$

Now boundary-regularity follows from (8.9), (i), (8.13).  $\square$

**8.4. Admissible sets on principal homogeneous spaces.** We now consider sets defined by a norm on principal homogeneous spaces, which appear in the discussion of integral equivalence of forms in two or more variables in §2.3.

**Proposition 8.12.** *Let  $G$  be a connected semisimple Lie group with finite center,  $\rho : G \rightarrow \mathrm{GL}(V)$  an irreducible representation, and  $v_0 \in V$  with compact stabilizer. We fix a norm on  $V$  and set*

$$G_t = \{g \in G : \log \|\rho(g)v_0\| < t\}$$

*Let  $\pi$  denote the projection on the highest weight space. If  $0 \notin \pi(\rho(K)v_0)$  for a maximal compact subgroup  $K$  of  $G$ , then the sets  $G_t$  are admissible.*

In particular, the proposition applies to the following example: the group  $G = \mathrm{SL}_k(\mathbb{R})$  acting on the space  $W_{n,k}$  of homogeneous polynomials of degree  $n$  and  $f_0 \in W_{n,k}$  is such that  $f(x) \neq 0$  for all  $x \in \mathbb{R}^d \setminus \{0\}$ .

In the proof we use the following lemma.

**Lemma 8.13** (cf. [EMS], Lemma A.4). *Let  $\lambda_i(\omega) \in \mathbb{R}$  and  $v_i(k) \in V$  depend on parameters  $\omega, k$  and for every  $s \geq 0$ ,*

$$\exp(s \max_i \lambda_i(\omega)) \ll \left\| \sum_i e^{s\lambda_i(\omega)} v_i(k) \right\| \ll \exp(s \max_i \lambda_i(\omega))$$

*uniformly on  $\omega, k$ . Then there exists  $T_0 > 0$  such that for every  $T > T_0$ , the set*

$$\left\{ s \geq 0 : \left\| \sum_i e^{s\lambda_i(\omega)} v_i(k) \right\| < T \right\}$$

*is an interval  $[0, r(T, \omega, k)]$  and*

$$r((1 + \epsilon)T, \omega, k) - r(T, \omega, k) \ll \epsilon \quad (8.14)$$

*uniformly on  $\omega, k, \epsilon \in (0, 1)$ , and  $T > T_0$ .*

We note that the statement of Lemma A.4 in [EMS] is somewhat weaker than the statement above, but the proof there implies the lemma in this generality.

*Proof of Proposition 8.12.* We fix a Cartan decomposition  $G = KA^+K$ . For a weight  $\lambda$  of  $\mathrm{Lie}(A)$ , we denote by  $\pi_\lambda$  the projection on the weight space of  $\lambda$ . Then for  $g \in k_1 \exp(a)k_1 \in G$ ,

$$gv_0 = \sum_\lambda e^{\lambda(a)} k_1 \pi_\lambda(k_2 v_0)$$

This implies that

$$\max_{\lambda, k_2} e^{\lambda(a)} \|\pi_\lambda(k_2 v_0)\| \ll \|gv_0\| \ll \max_{\lambda, k_2} e^{\lambda(a)} \|\pi_\lambda(k_2 v_0)\|,$$

and it follows from the assumption  $0 \notin \pi(Kv_0)$  that

$$e^{\lambda_{\max}(a)} \ll \|gv_0\| \ll e^{\lambda_{\max}(a)}. \quad (8.15)$$

It is straightforward to check that  $\mathcal{O}_\epsilon G_t \subset G_{t+c\epsilon}$  for some  $c > 0$ . Now we show that  $G_t \mathcal{O}_\epsilon \subset G_{t+c\epsilon}$  as well. For  $g = k_1 \exp(a)k_2$  and  $h$  in  $G$ , we have

$$\begin{aligned} \|ghv_0 - gv_0\| &\ll \sum_\lambda e^{\lambda(a)} \|\pi_\lambda(k_2(hv_0 - v_0))\| \ll e^{\lambda_{\max}(a)} \|hv_0 - v_0\| \\ &\ll \|gv_0\| d(h, e). \end{aligned}$$

This estimate implies the claim.

It remains to show that for sufficiently small  $\epsilon > 0$  and for sufficiently large  $t$ ,

$$m_G(G_{t+\epsilon}) - m_G(G_t) \ll \epsilon. \quad (8.16)$$

Since (8.15) holds, we may apply Lemma 8.13 with vectors  $v'_i$ s given by  $k_1\pi_\lambda(k_2v_0)$  with  $k_1, k_2 \in K$ . This implies the Lipschitz estimate (8.14), and (8.16) is deduced as in [EMS, Proposition A.5].  $\square$

**Proposition 8.14.** *Under the assumptions of Proposition 8.12, there exist  $c > 0$ ,  $a > 0$ , and  $b = 1, \dots, \text{rank}(G)$  such that*

$$\text{vol}(G_t) \sim ct^{b-1}e^{at} \quad \text{as } t \rightarrow \infty.$$

*Proof.* Fix a Cartan decomposition  $G = KA^+K$ . Then the Haar measure on  $G$  is given by  $\xi(a)dk_1dadk_2$ . For  $k_1, k_2 \in K$ , set

$$A_t(k_1, k_2) = \{a \in A : \log \|k_1ak_2\| < t\}.$$

We have

$$\text{vol}(G_t) = \int_{K \times K} \int_{A_t(k_1, k_2)} \xi(a)dadk_1dk_2.$$

By [GW, §7],

$$\int_{A_t(k_1, k_2)} \xi(a)da \sim c(k_1, k_2)t^{b(k_1, k_2)}e^{a(k_1, k_2)t} \quad \text{as } t \rightarrow \infty.$$

Also, it follows from (8.15) that

$$t^{b-1}e^{at} \ll \int_{A_t(k_1, k_2)} \xi(a)da \ll t^{b-1}e^{at}$$

for sufficiently large  $t$  and  $k_1, k_2 \in K$ . Hence, the parameters  $a(k_1, k_2)$  and  $b(k_1, k_2)$  are constant, and the claim follows from the dominated convergence theorem.  $\square$

**8.5. Tauberian arguments and Hölder continuity.** Finally, we will now establish the Hölder-admissibility property of averages defined by a regular proper function on an algebraic varieties with a regular volume form. Our approach uses the following Tauberian theorem which is proved using the argument of [CT], Theorem A.1.

**Proposition 8.15.** *Let  $v : [0, \infty) \rightarrow [0, \infty)$  and  $f(s) = \int_0^\infty x^{-s}v(x)dx$ .*

- (1) *Let  $v(t)$  be increasing for sufficiently large  $t$ . Assume that the integral  $f(s)$  converges for  $\text{Re}(s) \gg 0$ , admits meromorphic continuation to  $\text{Re}(s) > a - \delta_0$ , and in this domain it has unique pole  $s = a$  of multiplicity  $b$  and satisfies*

$$\left| f(s) \frac{(s-a)^b}{s^b} \right| = O((1 + \text{Im}(s))^\kappa)$$

for some  $\kappa > 0$ . Then

$$v(t) = t^{a-1}P(\log t) + O(t^{a-1-\delta}) \quad \text{as } t \rightarrow \infty$$

for some nonzero polynomial  $P$  and  $\delta > 0$ .

- (2) Let  $v(t)$  be increasing for sufficiently small  $t$ . Assume that the integral  $f(s)$  converges for  $\operatorname{Re}(s) \ll 0$ , admits meromorphic continuation to  $\operatorname{Re}(s) < a + \delta_0$ , and in this domain it has unique pole  $s = a$  of multiplicity  $b$  and satisfies

$$\left| f(s) \frac{(s-a)^b}{s^b} \right| = O((1 + \operatorname{Im}(s))^\kappa)$$

for some  $\kappa > 0$ . Then

$$v(t) = t^{a-1}P(\log t) + O(t^{a-1+\delta}) \quad \text{as } t \rightarrow 0^+$$

for some nonzero polynomial  $P$  and  $\delta > 0$ .

*Proof.* The first statement is essentially proved in [CT] (in the context of Dirichlet series), and the second statement is proved similarly. We give a sketch of the proof for the second statement.

For negative  $a' < a$ , define

$$w_k(t) = \frac{(-1)^{k+1}k!}{2\pi i} \int_{a'+i\mathbb{R}} f(s)t^s \frac{ds}{s^{k+1}}.$$

This integral is absolutely convergent for  $k > \kappa$ . Applying Cauchy formula for the region  $a' < \operatorname{Re}(s) < a + \delta_0/2$ ,  $\operatorname{Im}(s) \leq S$  with  $S \rightarrow \infty$  we deduce that for some nonzero polynomial  $P_k$ ,

$$w_k(t) = t^a P_k(\log t) + O(t^{a+\delta_0/2}) \quad \text{as } t \rightarrow 0^+. \quad (8.17)$$

It follows from the formula

$$\int_{a'+i\mathbb{R}} \lambda^s \frac{ds}{s^{k+1}} = \begin{cases} -\frac{2\pi i}{k!} (\log \lambda)^k & 0 < \lambda \leq 1, \\ 0 & \lambda < 1 \end{cases}$$

that

$$w_k(t) = (-1)^k \int_t^\infty (\log(t/x))^k v(x) dx = \int_t^\infty (\log(x/t))^k v(x) dx.$$

Now we derive asymptotic expansion for  $w_{k-1}$  assuming that (8.17) holds. By the intermediate value theorem, for every  $t > 0$  and  $\eta \in (0, 1)$ ,

$$\begin{aligned} w_{k-1}(t) &\leq \frac{\int_t^\infty ((\log(x/t(1-\eta)))^k - (\log(x/t))^k) v(x) dx}{-k \log(1-\eta)} \\ &\leq \frac{w_k(t(1-\eta)) - w_k(t)}{-k \log(1-\eta)}, \end{aligned}$$

and

$$\begin{aligned} w_{k-1}(t) &\geq \frac{\int_{t(1+\eta)}^{\infty} ((\log(x/t))^k - (\log(x/t(1+\eta)))^k) v(x) dx}{k \log(1+\eta)} \\ &\quad + \frac{1}{\log(1+\eta)} \int_t^{t(1+\eta)} (\log(x/t))^k v(x) dx \\ &\geq \frac{w_k(t) - w_k(t(1+\eta))}{k \log(1+\eta)}. \end{aligned}$$

Taking  $\eta = t^\epsilon$  with small  $\epsilon > 0$  and using (8.17), we deduce that from the above estimates that

$$w_{k-1}(t) = t^a P_{k-1}(\log t) + O((\log t)^{\deg P_k} t^{a+\epsilon} + t^{a+\delta_0/2-\epsilon}) \quad \text{as } t \rightarrow 0^+$$

for some nonzero polynomial  $P_{k-1}$  (see [CT], proof of Theorem A.1, for a detailed computation). This implies that (8.17) holds for all  $k \geq 0$ . To complete the proof, we observe that for small  $t > 0$  and  $\eta \in (0, 1)$ ,

$$\frac{1}{t\eta}(w_0(t(1-\eta)) - w_0(t)) \leq v(t) \leq \frac{1}{t\eta}(w_0(t) - w_0(t(1+\eta))).$$

Setting  $\eta = t^\epsilon$  with small  $\epsilon > 0$ , we deduce the required asymptotic expansion for  $v(t)$  from the asymptotic expansion for  $w_0(t)$ .  $\square$

We will now employ Proposition 8.15 and prove the Hölder continuity of the volume function in the context of algebraic functions on algebraic varieties.

**Theorem 8.16.** *Let  $X$  be a real algebraic variety equipped with a regular volume form  $\omega$  and  $\Psi : X \rightarrow \mathbb{R}$  a nonconstant regular proper function. Then the function  $g(t) = \int_{\Psi(x) < t} d\omega$  is uniformly Hölder on finite intervals.*

*Proof.* At regular values of  $\Psi$ ,

$$g'(t) = \int_{\Psi^{-1}(t)} \frac{d\nu_t}{\|\nabla \Psi\|}$$

where  $\nu_t$  is the induced measure on the fiber  $\Psi^{-1}(t)$ . We claim that for  $t$  in a neighborhood of an isolated critical value  $t_0$ ,

$$g'(t) \ll |t - t_0|^{-r} \tag{8.18}$$

for some  $r > 0$ . Let  $Z$  be the set of critical points of  $\Psi$  in  $\Psi^{-1}(t_0)$ . By Łojasiewicz's inequality (see, for example, [BM], Theorem 6.4),

$$\|(\nabla \Psi)_x\| \gg d(x, Z)^r$$

for some positive  $r$ . For  $x \in \Psi^{-1}(t)$  and  $z \in \Psi^{-1}(t_0)$ ,

$$d(x, z) \gg |\Psi(x) - \Psi(z)| = |t - t_0|.$$



This implies (8.18), and by the intermediate value theorem, for  $t_0 < t_1 < t_2$  in a neighborhood of  $t_0$ ,

$$|g(t_2) - g(t_1)| \ll |t_1 - t_0|^{-r} \cdot |t_2 - t_1|. \quad (8.19)$$

Next, we show that  $g$  is Hölder at critical values of  $\Psi$ . For  $c > 0$ , we consider the function  $v$  defined by  $v(t) = \int_{c \leq \Psi < c+t} d\omega$  for  $t < 1$  and  $v(t) = 0$  for  $t \geq 1$ , and its transform

$$f(s) = \int_0^\infty t^{-s} v(t) dt = \int_{c \leq \Psi(x) < c+1} \frac{1 - (\Psi(x) - c)^{1-s}}{1-s} d\omega(x)$$

which is absolutely convergent for  $\operatorname{Re}(s) < 1$ . Applying Hironaka resolution of singularities to the function  $F(g) = \Psi(x) - c$ , we deduce that there exists an atlas of maps  $\phi_i : (-1, 1)^d \rightarrow U_i$ ,  $U_i$  is open in  $X$ , such that  $\phi_i$ 's are diffeomorphisms on sets of full measure, and

$$F(\phi_i(x)) = x^{\alpha_i} F_i(x) \quad \text{and} \quad d\omega(\phi_i(x)) = x^{\beta_i} \rho_i(x) dx$$

where  $x^{\alpha_i}$  and  $x^{\beta_i}$  denote monomials, and  $F_i$  and  $\rho_i$  are positive smooth functions. Let  $\{\eta_i\}$  be a partition of unity subordinate to the cover  $\{U_i\}$  such that

$$\sum_i \eta_i = 1 \text{ on } \{c \leq \Psi(x) \leq c + 1/2\} \text{ and } \operatorname{supp}(\eta_i) \subset \{\Psi(x) < c + 1\}.$$

We have

$$\begin{aligned} & \int_{c \leq \Psi(x) < c+1} (\Psi(x) - c)^{1-s} dm_G(g) \\ &= \sum_i \int_{x \in (-1, 1)^d : x^{\alpha_i} \geq 0} x^{\beta_i + (1-s)\alpha_i} F_i(x)^{1-s} \rho_i(x) \eta_i(\phi_i(x)) dx + \xi(s) \end{aligned} \quad (8.20)$$

where  $\xi(s)$  is an integral over the region  $\Psi(x) > c + 1/2$ , hence, holomorphic, and the other integrals can be meromorphic continued integrating by parts:

$$\begin{aligned} & \int_{(0, 1)^d} x^{\beta_i + (1-s)\alpha_i} F_i(x)^{1-s} \rho_i(x) \eta_i(\phi_i(x)) dx \\ &= \left( \prod_j (1 + \beta_j + (1-s)\alpha_{j,j}) \right)^{-1} \int_{(0, 1)^d} x^{1+\beta_i + (1-s)\alpha_i} (F_i(x)^{1-s} \rho_i(x) \eta_i(\phi_i(x)))' dx. \end{aligned}$$

Therefore, (8.20) implies that the conditions of Proposition 8.15(2) are satisfied, and hence,

$$v(t) = t^{a-1} P(\log t) + O(t^{a-1+\delta}) \quad \text{as } t \rightarrow 0^+$$

for some  $a \geq 1$ . If  $a = 1$ , then  $\text{vol}(\{x : \Psi(x) = c\}) > 0$ , but the set  $\{x : \Psi(x) = c\}$  is a proper algebraic subvariety of  $X$ . Hence,  $a > 1$  and we deduce the Hölder estimate

$$\text{vol}(\{x : c \leq \Psi(x) < c + t\}) \ll t^\alpha. \quad (8.21)$$

with  $\alpha < a - 1$ .

Since  $g$  is a polynomial function it has only finitely many critical values. The function  $g$  is  $C^1$  on the set of regular values. Hence, it remains to show that  $g$  is Hölder in a neighborhood of a critical values. For instance, consider the case when  $t_1$  and  $t_2$  are in a neighborhood of a critical value  $t_0$  and  $t_0 < t_1 < t_2$ . The other cases are treated similarly. We have

$$|g(t_2) - g(t_1)| \ll (t_1 - t_0)^\alpha + (t_2 - t_0)^\alpha \ll (t_1 - t_0)^\alpha + (t_2 - t_1)^\alpha. \quad (8.22)$$

When  $(t_1 - t_0)^{-r} \leq (t_2 - t_1)^{-1/2}$ , the Hölder estimate follows from (8.19), and when the opposite inequality holds, the Hölder estimate follows from (8.22). This completes the proof.  $\square$

We now obtain the following

**Theorem 8.17.** *Let  $X$  be a real algebraic variety equipped with a regular volume form  $\omega$ ,  $\Psi : X \rightarrow [1, \infty)$  a proper function, and*

$$v(t) = \text{vol}(\{x \in X : \Psi(x) < t\}).$$

*Then for some  $a \geq 1$ , a nonzero polynomial  $P$ , and  $\delta > 0$ , we have*

$$v(t) = t^{a-1}P(\log t) + O(t^{a-1-\delta}) \quad \text{as } t \rightarrow \infty.$$

*Proof.* First note that since the function  $\theta(t) = \max\{\|x\| : \Psi(x) < t\}$  is semialgebraic, there exists  $M > 0$  such that  $\theta(t) \ll t^M$  for sufficiently large  $t$ . This implies that for some  $N > 0$  and  $t \gg 0$ , we have  $v(t) \ll t^M$ .

Now let  $w(t) = v(t) - v(1)$  for  $t \geq 1$  and  $w(t) = 0$  for  $t < 1$ . Consider the transform of  $w$ :

$$f(s) = \int_0^\infty t^{-s} w(t) dt$$

which is convergent for  $\text{Re}(s) > M + 1$  and

$$f(s) = (s - 1)^{-1} \int_X \Psi(x)^{-s+1} d\omega(x)$$

Applying Hironaka resolution of singularities, we may assume  $X$  is semialgebraic subset of a smooth projective variety  $Y$ , and there exists an atlas of maps  $\phi_i : (-1, 1)^d \rightarrow Y$ ,  $U_i$  is open in  $Y$ , such that  $\phi_i$ 's are

diffeomorphisms on sets of full measure, and  $\phi_i^{-1}(U_i \cap X)$  is a union of quadrants, and

$$\Psi(\phi_i(x))^{-1} = x^{\alpha_i} \Psi_i(x), \quad d\omega(\phi_i(x)) = x^{\beta_i} \rho_i(x) dx,$$

where  $x^{\alpha_i}$  and  $x^{\beta_i}$  denote monomials, and  $F_i$  and  $\rho_i$  are smooth functions nonvanishing on  $(-1, 1)^d$ . Let  $\{\eta_i\}$  be a partition of unity subordinate to the cover  $\{U_i\}$ . Then

$$\int_X \Psi(x)^{-s+1} d\omega(x) = \sum_i \int_{\phi_i^{-1}(U_i \cap X)} x^{(s-1)\alpha_i + \beta_i} \Psi_i(x)^{s-1} \rho_i(x) \eta_i(\phi_i(x)) dx.$$

Integrating by parts, we deduce that this expression has meromorphic continuation and satisfies the conditions of Proposition 8.15. This implies the claim.  $\square$

**Theorem 8.18.** *Let  $X$  be a real algebraic variety equipped with a regular volume form  $\omega$  and  $\Psi : X \rightarrow [1, \infty)$  a nonconstant regular proper function. Then for some  $\beta > 0$ , the function  $g(t) = \int_{\Psi < t} d\omega$  satisfies*

$$g((1 + \epsilon)t) - g(t) \ll \epsilon^\beta \max\{1, g(t)\} \quad \text{for all } \epsilon \in (0, 1) \text{ and } t \geq 0.$$

*Proof.* On finite intervals, this is already proved in Theorem 8.16. Since  $\Psi$  is regular, it has only finitely many critical points. Thus, it remains to consider an interval  $t \gg 0$  which contains no critical points. It follows from Theorem 8.17 that for  $t \geq \epsilon^{-\alpha}$  with arbitrary  $\alpha > 0$ , we have

$$g((1 + \epsilon)t) - g(t) \ll \epsilon^\beta g(t)$$

where  $\beta > 0$  depends on  $\alpha$ . To prove the estimate for  $t < \epsilon^{-\alpha}$ , we use that

$$g'(t) = \int_{\Psi=t} \|(\nabla \Psi)_x\|^{-1} d\omega_t$$

where  $\omega_t$  is the regular volume form on  $\{\Psi = t\}$  induced by  $\omega$ . Since the function  $t \mapsto \max\{\|(\nabla \Psi)_x\|^{-1} : \Psi(x) = t\}$  is semialgebraic, it follows that there exists  $M > 0$  such that for  $\Psi(x) \gg 0$ ,

$$\|\nabla(\log \Psi)_x\|^{-1} \ll \Psi(x)^M.$$

Similarly, for  $\Psi(x) \gg 0$ ,

$$\|x\| \ll \Psi(x)^N.$$

This implies that for some  $N > 0$  and  $t \gg 0$ , we have

$$g'(t) \ll t^N.$$

Then when  $0 \ll t < \epsilon^{-\alpha}$  with  $\alpha < 1/N$ , we have Hölder estimate

$$g(t + \epsilon) - g(t) \ll \epsilon^{1-\alpha N}.$$

Hence, the claim follows.  $\square$

Finally, we combine the foregoing arguments to prove Hölder-admissibility of families defined by a height function on a product of affine varieties, and in particular on  $S$ -algebraic group (as stated in Theorem 3.15).

**Theorem 8.19.** *Let  $X = X_1 \cdots X_N$  be a product of affine varieties  $X_i$  over local fields equipped with regular volume forms. We denote by  $\|\cdot\|_i$  either the Euclidean norm for Archimedean places or the max-norm for non-Archimedean places and set*

$$X_t = \{(x_1, \dots, x_N) : \sum_i \log \|x_i\|_i < t\}.$$

*If at least one of the factors of  $X$  is Archimedean, then the function  $t \mapsto \text{vol}(X_t)$  is uniformly Hölder.*

*Proof.* Setting  $v_i(t) = \text{vol}(\{x_i : \log \|x_i\| < t\})$ , the claim is deduced applying Propositions 8.8 and 8.7 inductively. Using restriction of scalars, we can assume that all Archimedean factors are real. For Archimedean  $v_i$ 's, the assumption of Proposition 8.8 follow from Theorem 8.18 and the assumption of Proposition 8.7 follows from Proposition 8.17. Hence, it remains to verify the assumption of Proposition 8.7 at non-Archimedean places. In this case, it follows from [De] that  $\int_{X_i} \|x\|_i^s d\omega_i(x)$  is a rational function of  $q_i^s$  and  $q_i^{-s}$  where  $q_i$  is the order of the residue field. Hence,

$$w_i(n) := \text{vol}(\{x \in X_i : \|x\|_i = q_i^n\}) = \sum_j p_{ij}(n) q_i^{a_{ij}n}$$

for rational polynomials  $p_{ij}$  and  $a_{ij} \in \mathbb{Z}$ . This implies that  $v_i(t) = \sum_{n < t} w_i(n)$  satisfies  $v_i(t+1) \ll v_i(t)$  for sufficiently large  $t$ .

Now the claim follows from Propositions 8.8 and 8.7. □

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